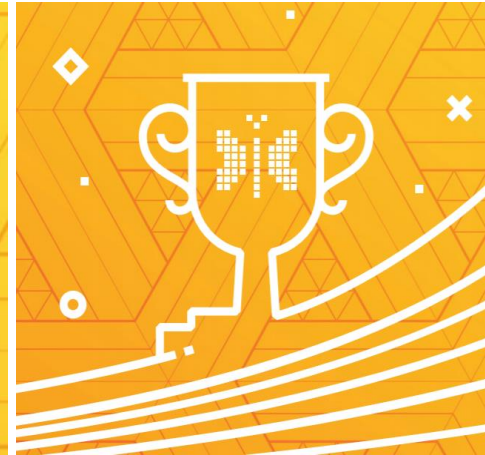




Ministry of Housing and Urban Affairs  
Government of India



# India Smart Cities Awards Contest 2019



# India Smart Cities Awards Contest (ISAC)

**Objective:** To reward cities, projects and innovative ideas promoting 'smart' development in cities.

**Eligible Participants:** Aimed at Smart Cities only - respective Smart City SPVs to submit proposals

**Evaluation:** Based on innovation, impact and replicability / scalability & Overall City Performance

# ISAC 2019 - Two Stage Submission

## Qualifying Stage:

### Assessment of Smart City's overall Performance

Documentary Evidences to be submitted by 15 Mar 2019

- Accelerated project performance as on 8 Mar 2019;
- Necessary governance structures as on 8 Mar 2019;
- Scale of stakeholder engagement & citizen outreach;

## Proposal Stage:

### Submission of Nominations for Awards

City Proposals to be submitted by 30 April 2019

- Online application forms for 'project award' and 'innovative idea award' as per prescribed format
- Project & Innovative Idea Awards will be based on city proposals
- City Award will be based on score achieved by Smart Cities in Qualifying Stage and marks obtained for the city proposals received for project awards and innovative idea awards during Proposal Stage.
- Video documentation on Mission Outcomes

# City Award – Evaluation Criteria

## STAGE-I

### Project Implementation Performance

(30 marks)

*\*Thresholds vary for Smart Cities selected in Rounds 1, FT, 2, 3 & 4.*

Value of tendered projects (%) – 10 marks  
•As per round-wise threshold values



Value of projects completed/ under implementation (%) – 10 marks  
•As per round-wise threshold values



Utilisation of Central releases (%) – 10 marks  
•As per round-wise threshold values



### Performance of SPV Governance

(10 marks)

Number of board meetings held (at-least 4 meetings FY 2018-19) – 1.5 marks

Whether SPV has published Annual Report for FY 2017-18 (Y/N) – 1.5 marks

Whether SPV appointed independent and women directors as per companies Act 2013? Y/N – 1 mark each

Audit for years of SPV operation until 1 April 2018? 1.5 marks



Whether all mandatory disclosure as per companies Act 2013 complied with? Y/N – 1.5 marks

Vacancies against approved organogram of SPV (higher marks for lesser vacancies) – 2.0 marks

### Stakeholder Engagement & Citizen Outreach

(10 marks)

CLAF meeting during 26 Jan- 1 Feb 2019 showcasing Mission Progress – 1.5 marks

At-least 6 CLAF meetings since 1 April 2018 – 1.5 marks for 6; 0.5 mark for 3 and above and 0 for <3

Citizen Outreach\* on SCM projects – 2.5 marks



Whether all under implementation/completed projects geo-tagged with photographs – 1.5 marks.

Citizen Feedback from at-least 10% ABD population through multiple channels – 1.5 marks

At-least 6 Public Places with bill boards showcasing SCM projects – 1.5 marks



## STAGE-II

### Achievement of Project Outcomes across 7 themes

(50 marks)

Project Award Nominations submitted for all 7 Urban Themes and Innovative Idea Awards(Max. 40 marks based on evaluation)

Maximum 10 marks for documenting Mission Outcomes in a HD film (5 minutes duration)

# Stage-1: Desired Project Implementation Performance



## Threshold Values for Smart Cities across four rounds

### Value of tendered Projects

#### Round-1, FT &2

- 100% = 10 marks
- 90-100 = 8 marks
- 80-90 = 6 marks
- 60-80 = 4 marks
- <60 = 0 marks

#### Round -3

- >60% = 10
- 50-60 = 8
- 40-50 = 6
- 30-40 = 4
- <30 = 0 marks

#### Round-4

- >30% = 10
- 25-30 = 8
- 20-25 = 6
- 15-20 = 4
- <15 = 0 marks

### Value of Completed/Under implementation Projects

#### Round 1, FT &2

- >90% = 10 marks
- 80-90 = 8 marks
- 70-80 = 6 marks
- 60-70 = 4 marks
- <60 % = 0 marks

#### Round-3

- >50% = 10 marks
- 45-50 = 8 marks
- 40-45 = 6 marks
- 35-40 = 4 marks
- <35% = 0 marks

#### Round-4

- >30% = 10 marks
- 25-30 = 8 marks
- 20-25 = 6 marks
- 15-20 = 4 marks
- <15% = 0 marks

### Utilization of Central Funds Released

#### Round 1, FT &2

- 80 - 100% = 10 marks
- 70-80 = 8 marks
- 60-70 = 6 marks
- 50-60 = 4 marks
- 40-50 = 2 marks
- <50 = 0

#### Round-3

- >60% = 10
- 50-60 = 8
- 40-50 = 6
- 30-40 = 4
- 20- 30 = 2
- <20 = 0

#### Round-4

- >40% = 10
- 30-40 = 8
- 20-30 = 6
- 10-20 = 4
- 05-10 = 2
- <5 = 0

Note: The above percentage values of tendered, under implementation and completed projects is measured against the total value of projects uploaded in the online project MIS of Smart Cities. The range values will include the starting value.

# Performance of SPV Governance – 10 marks



Meetings held since 1<sup>st</sup> April 18: 4 or more will secure 1.5 marks; <4 meetings will secure 0 mark

Whether SPV has published Annual Report for FY 2017-18 (Y/N); If yes, 1.5 marks and If no, then 0 mark

Whether SPV appointed independent directors on the SPV board? If yes, 1 mark, If no, 0 mark

Whether SPV appointed women directors on SPV board? If yes, 1 mark, If no, 0 mark (Yes/No);

Whether Audit undertaken for years of SPV operation until 1 April 2018? (Yes/No) If Yes, 1.5 mark, If no, 0 mark  
[applicable for smart cities selected till Round-3 only; Other cities will secure marks if Audit Committees are put in place]

Whether all mandatory disclosure as per companies Act 2013 complied with? Y/N; If yes, 1.5 marks, If no, 0 mark

Number of vacancies against approved organogram of SPV (highest of 2.0 marks for least vacancies);  
Range values for filling up of SPV staff positions: 90% and above posts filled will secure 2.0 marks; 70 – 90% will secure 1.0 mark; <70% will secure 0 mark

## Citizen Outreach – 2.5 marks



At least 3 events of Media Coverage on SCM Projects in the local or national news papers between 1 Feb 2019 to 8 March 2019

(Atleast 3 events will secure 0.5 marks, else 0 marks)

At least 3 events of citizen centered campaign with minimum 100 people attending between 1 Feb 2019 to 8 Mar 2019 (Pictorial evidence of conducting the campaigns need to be uploaded on SPV website)

(Atleast 3 events will secure 0.5 marks, else 0 marks)

Campaign of Smart Cities Mission Achievements and Way Forward on Social Media between 1 Feb 2019 – 8 Mar 2019. (Likes/followers achieved during campaign period). Disclaimer: Paid campaigns will not be counted.

Relative marking with best among participating cities getting 1.0 mark and rest in proportion.

Impact assessments carried out for projects with external independent agencies (Yes/No)

(If Yes, 0.5 marks, If No, 0 mark)

Smart City to provide as documentary evidence, a copy of impact assessment report along with self certification by CEO

# Billboards and Public Places



Smart Cities shall be divided in three population categories

>1 million – 15 public places

5 lakh to 10 lakh – 10 public places

<5 lakh – 6 public places

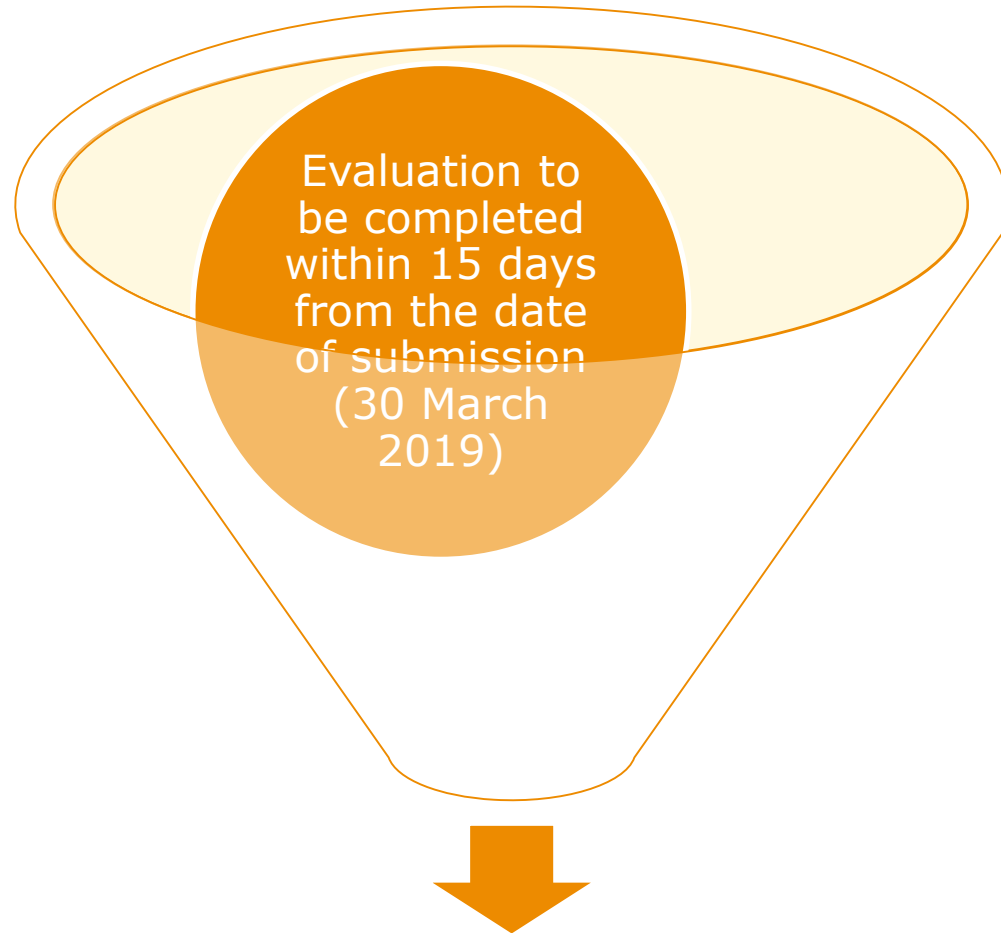
- Minimum thresholds for Smart Cities on number of public places as per above criteria.
- Marks will be based on performance by Cities based on evidence shared.
- Publicity material should have been displayed for a duration of at least 15 days
- Documentary Evidence to be submitted: Self certification and photographs
- Best performing city will get max marks; rest in proportion



# Last Date for Qualifying Stage **15 March 2019**

The cut-off date for Smart Cities to accomplish the tasks relating to desired Project and Governance Performance as per threshold values and engage with key stakeholders, undertake citizen-centric campaigns is 8 March 2019.

Submission of evidences and requisite documentation on above tasks by 15 March 2019 through Online Submission



Smart City achieving 30 marks (out of total 50 marks) will qualify to participate in Stage-2, i.e. Proposal Stage. Only qualifying cities will be invited to participate in Proposal Stage

# 3 categories of awards



1 >

## Project Award

- Completed projects (as on 1<sup>st</sup> April 2019).
- Separate awards for each of the 7 (Seven) Urban themes.
- Balance of innovation and proven impact
- Video documentation of project/initiative.



2 >

## Innovative idea Award

- Recognize exceptional innovation across 7 Urban themes
- Cross-cutting themes (integrating more than one category).
- Should contribute to the successful transformation of cities
- Demonstrated multi-stakeholder partnership models & civic collaboration



3 >

## City Award

- Overall City Performance to be assessed
- Proposals submitted for 'Project Award' and 'Innovative Idea Award' will be considered for evaluation
- Video documentation on Mission Outcomes

Cross-cutting themes- innovation, impact, differentiators and outcomes

Citizen participation  
IT connectivity  
ICT-enabled government services

Water Supply  
Water Management  
Sanitation  
Waste Water Management  
Solid Waste Management

  
**Water & Sanitation**

  
**Innovative Idea**

  
**Governance**

Mixed Use  
Compactness  
Public Open Spaces  
Housing & inclusiveness

  
**Built Environment**

# 7 Urban Themes

Transportation & Mobility  
Walkability

  
**Transport & Mobility**

Education  
Health  
Safety & Security

  
**Social Aspects**

Reduced pollution (Air Quality)  
Energy Supply  
Energy Source  
Energy Efficiency  
Underground electric wiring

  
**Urban Environment**

  
**Culture & Economy**

Identity & Culture  
Economy & Employment

# Key elements of Nomination Form – Online Submission

Executive Summary - Brief description of the proposal

Background and Context – Description of problem that was to be addressed

Implementation Objective – Details of Solutions

Scope of implementation - Elaborate the work done under the project

Innovative characteristics of the proposal

Results - Output/ Outcomes and Impacts derived from the project

Replicability / Scalability of the proposal

Photographs/Video Documentation is mandatory

# Project Award – Evaluation Rubric

Score	Innovation	Impact	Replicability/Scalability
5	<ul style="list-style-type: none"> <li>➤ Demonstrates highly innovative approach, including survey of existing approaches &amp; explanation of why current project is innovative; AND</li> <li>➤ Clearly defines strong need(s) or unresolved problem(s) being addressed under the innovative approach.</li> </ul>	<ul style="list-style-type: none"> <li>➤ Demonstrates impacts (or potential impacts) that are clear, tangible, and significant over an established period of time; includes focus on sustainability and/ or citizen engagement; AND</li> <li>➤ Scope of impact/size of impacted population is demonstrably significant; AND</li> <li>➤ Demonstrated impacts (or potential impacts) are shown to have not been achieved by other interventions</li> </ul>	<ul style="list-style-type: none"> <li>&gt; Has established track record of success; Clearly lays out development cycle, including information about current status; addresses questions of replicability / scalability, involves public-private-partnerships including alternative financing mechanism as well as citizen engagement</li> </ul>
3	<ul style="list-style-type: none"> <li>➤ Demonstrates innovative approach within SCM but does not provide comparative assessment with existing approaches; AND</li> <li>➤ Clearly defines strong need(s) or unresolved problem(s) being addressed</li> </ul>	<ul style="list-style-type: none"> <li>➤ Demonstrated impacts (or potential impacts) are clear, tangible, and significant over an established period of time; includes focus on sustainability or citizen engagement; AND</li> <li>➤ Scope of impact/size of impacted population (or potential impacts) is demonstrably significant</li> </ul>	<ul style="list-style-type: none"> <li>&gt; Clearly lays out development cycle, including information about current status; addresses questions of replicability / scalability</li> </ul>
2	<ul style="list-style-type: none"> <li>➤ Demonstrates an approach that is limited or minimally innovative; OR</li> <li>➤ Defines a need or unresolved problem being addressed that is limited or relatively non-urgent</li> </ul>	<ul style="list-style-type: none"> <li>➤ Demonstrated impacts (or potential impacts) are clear and tangible, but not significant; OR</li> <li>➤ Scope of impact/size of impacted population (or potential impacts) is limited or replicated elsewhere</li> </ul>	<ul style="list-style-type: none"> <li>&gt; Lays out development cycle, including information about current status, but replicability / scalability remains doubtful or not all questions of techno-commercial sustainability are addressed</li> </ul>
1	<ul style="list-style-type: none"> <li>➤ Does not demonstrate innovation: other projects, initiatives, or strategies have already taken a similar approach; OR</li> <li>➤ Does not respond to a need or unresolved problem</li> </ul>	<ul style="list-style-type: none"> <li>➤ Demonstrated impacts (or potential impacts) are minimal or negligible; OR</li> <li>➤ Scope of impact/size of impacted population (or potential impacts) is minimal or negligible</li> </ul>	<ul style="list-style-type: none"> <li>&gt; Does not make persuasive case for replicability / scalability</li> </ul>
0	<ul style="list-style-type: none"> <li>➤ Does not include any information regarding the innovative characteristics of the proposal</li> <li>➤ Does not include any information regarding the need(s) or unresolved problem(s) being addressed</li> </ul>	<ul style="list-style-type: none"> <li>➤ Does not include any information regarding the impact of the proposal</li> <li>➤ Does not include any information regarding the scope of impact or size of impacted population</li> </ul>	<ul style="list-style-type: none"> <li>&gt; Does not include any information regarding the replicability / scalability of the proposal.</li> </ul>

**Note: High-Resolution Video Film/ Photographs depicting project outcomes is mandatory**

# Innovation, Impact, Replicability/Scalability

## Innovation – Criteria considered

- Demonstration of an innovative approach (including assessment of advantages over previous approaches)
- Identification of a need or an unresolved problem being addressed
- Identification of a critical aspect not identified before

## Impact – Criteria considered

- Demonstration of clear, significant, and tangible impacts (or potential impacts)
- Quantification of the scope of the impacts (or potential impacts)
- Focus on sustainability and/or citizen engagement
- Demonstration that the impacts have not been achieved by other initiatives
- In case of research or comparative analysis, demonstration of clear contribution to future action plans and to decision making processes.

## Replicability / Scalability – Criteria considered

- Demonstration of a track record of success with proven credentials covering replicability/ scalability of strategies / projects within the framework of Smart City Proposal.
- Demonstration of key features that facilitate scaling or replication with or without adaptation.
- Identification of collaborative aspects (People Public-Private) including project implementation through alternative financing mechanisms

# Innovative Idea Award – Criteria

Benefits accrued by stakeholders in terms of

- People
- Process
- Technology

Key differentiators

Scalability

Positive Outcomes



# City Award – Scoring Criteria

<b>ISAC 2019 – Two Stage Submission</b>	<b>Maximum Marks</b>
<b>Qualifying Stage (Stage-1)</b>	<b>50</b>
• Accelerated Project Implementation Performance	30
• Putting in place necessary Governance structures	10
• Scale of Stakeholder Engagement & Citizen Outreach	10
<b>Proposal Stage (Stage-2)</b>	<b>50</b>
• Marks obtained by city across seven urban themes for Project Award Category and Innovative Idea Award Category*	40
• Marks obtained for documenting the Mission outcomes in a HD film of 5 minute duration	10
<b>Total</b>	<b>100 marks</b>

*\*Note: In case of multiple nominations under the same urban theme, the marks of the project securing the highest marks under the particular theme only will be considered for evaluation of City Award or Project Award.*

# Round-wise recognition of Cities

Following Cities will also be rewarded for their overall performance, round-wise.

- 3 Best Performing Cities from Round-1
- 3 Best Performing Cities from Fast-track and Round-2
- 3 Best Performing Cities from Round-3
- 1 Best Performing City from Round-4

The criterion for selection will be the same as adopted for City Award



Last Date for ISAC 2019 Final  
proposal Stage

**30 April 2019**


Smart Cities to submit Nominations through online  
Form for Project Award & Innovative Idea Award

# Proposal Evaluation

Scrutiny by SCM Team for completeness of documentation (4 – 10 May 2019)

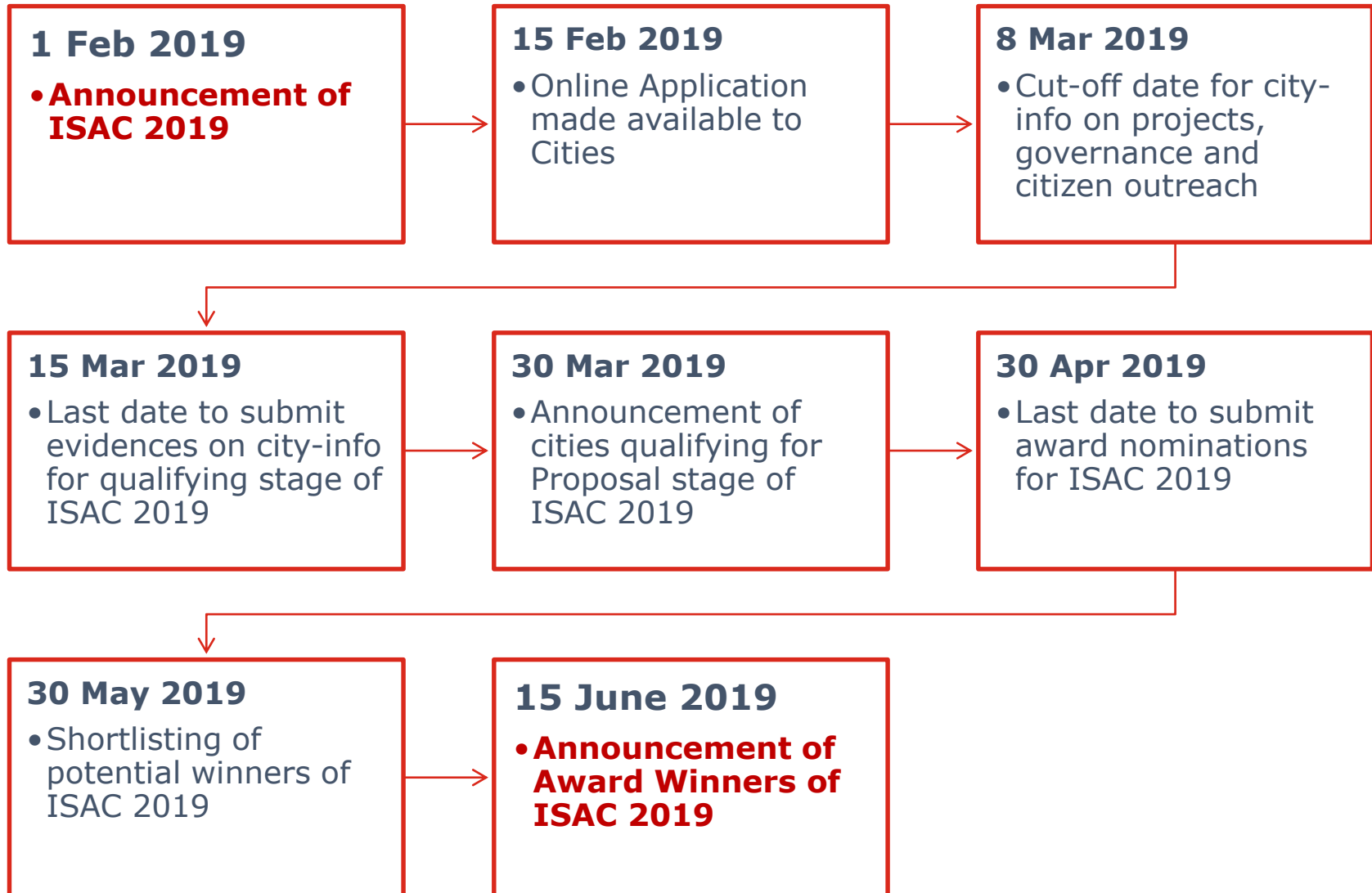
A large, light green downward-pointing arrow connects the first step to the second.

Screening of Proposals by Jury  
(innovation, impact and replicability / scalability)  
(30 May 2019)

A large, orange downward-pointing arrow connects the second step to the third.

Final decision by Apex Committee

# Timelines



# Any Questions?



India Smart Cities Awards Contest (ISAC) 2019

Smart Cities Mission

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