

19/11/2016

## REQUEST FOR PROPOSAL (RFP)

RFP No.: PMIDC/PD/SCM/JSCL/2016-17/10353  
Client: Jalandhar Smart City Limited

Country: INDIA

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***Project Name:*** Implementation of Smart City Projects under Smart City Mission in Jalandhar City of Punjab.

***Name of Assignment:*** Selection of Project Management Consultant (PMC) for assisting Jalandhar Smart City Limited (JSCL) to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab.

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Issued on: 19<sup>th</sup> November, 2016

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## **DISCLAIMER**

The information contained in this Request for Proposal document ("RFP") or subsequently provided to Consultants, whether verbally or in documentary or any other form by or on behalf of the Client or any of its employees or advisers, is provided to Consultants on the terms and conditions set out in this RFP and such other terms and conditions subject to which such information is provided.

This RFP is not an agreement and is neither an offer nor invitation by the Client to the prospective Consultants or any other person. The purpose of this RFP is to provide interested Consultants with information that may be useful to them in the formulation of their Proposals pursuant to this RFP. This RFP includes statements, which reflect various assumptions and assessments arrived at by the Client in relation to the Consultancy. Such assumptions, assessments and statements do not purport to contain all the information that each Consultant may require. This RFP may not be appropriate for all persons, and it is not possible for the Client, its employees or advisers to consider the objectives, technical expertise and particular needs of each party who reads or uses this RFP. The assumptions, assessments, statements and information contained in this RFP, may not be complete, accurate, adequate or correct. Each Consultant should, therefore, conduct its own investigations and analysis and should check the accuracy, adequacy, correctness, reliability and completeness of the assumptions, assessments and information contained in this RFP and obtain independent advice from appropriate sources.

Information provided in this RFP to the Consultants is on a wide range of matters, some of which depends upon interpretation of law. The information given is not an exhaustive account of statutory requirements and should not be regarded as a complete or authoritative statement of law. The Client accepts no responsibility for the accuracy or otherwise for any interpretation or opinion on the law expressed herein.

The Client, its employees and advisers make no representation or warranty and shall have no liability to any person including any Consultant under any law, statute, rules or regulations or tort, principles of restitution or unjust enrichment or otherwise for any loss, damages, cost or expense which may arise from or be incurred or suffered on account of anything contained in this RFP or otherwise, including the accuracy, adequacy, correctness, reliability or completeness of the RFP and any assessment, assumption, statement or information contained therein or deemed to form part of this RFP or arising in any way in this Selection Process.

The Client also accepts no liability of any nature whether resulting from negligence or otherwise however caused arising from reliance of any Consultant upon the statements contained in this RFP.

The Client may in its absolute discretion, but without being under any obligation to do so, update, amend or supplement the information, assessment or assumption contained in this RFP.

The issue of this RFP does not imply that the Client is bound to select a Consultant or to appoint the Selected Consultant, as the case may be, for the Consultancy and the Client reserves the right to reject all or any of the Proposals without assigning any reasons whatsoever.

The Consultant shall bear all its costs associated with or relating to the preparation and submission of its Proposal including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstrations or presentations which may be required by the Client or any other costs incurred in connection with or relating to its Proposal. All such costs and expenses will remain with the Consultant and the Client shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by a Consultant in preparation or submission of the Proposal, regardless of the conduct or outcome of the Selection Process.

## PART I

### Section 1. Letter of Invitation

City: Jalandhar

19/11/2016

**RFP No.:** PMIDC/PD/SCM/JSCL/2016-17/10353

**Project Name:** Implementation of Smart City Projects of Jalandhar City under Smart City Mission

**Name of the SPV:** Jalandhar Smart City Limited (JSCL)

**Title of the Consulting Services:** Project Management Consultant (PMC) for Assisting Jalandhar Smart City Limited (JSCL) to Design, Develop, Manage and Implement Smart City Projects of Jalandhar City under Smart City Mission (SCM).

Dear Mr. /Ms.:

1. The Jalandhar Smart City Limited (hereinafter called “Client”) is a Special Purpose Vehicle (SPV) Company incorporated under Companies Act, 2013 for implementing Smart City Projects in Jalandhar City under Smart City Mission of Ministry of Urban Development (MoUD), Govt. of India.
2. The Punjab Municipal Infrastructure Development Company (PMIDC), a State PSU under the Department of Local Govt., Punjab on behalf of the client, Jalandhar Smart City Limited (JSCL) now invites proposals to provide the following consulting services (hereinafter called “Services”): Project Management Consultant (Consultancy Services) for assisting Jalandhar Smart City Limited (JSCL) for Implementation of Smart City Projects under Smart City Mission (SCM) in Jalandhar City. More details on the Services are provided in the Terms of Reference (Section 7).
3. All functions of the ‘Client’ before the signing of the contract will be undertaken by PMIDC on behalf of Client.
4. It is not permissible to transfer this invitation to any other firm.
5. **The Consultant / Firm, who scores minimum of 75% in the technical bids, shall be eligible for financial bid opening. The selection of the firm will be based on the Low Cost Based Selection (LCBS) Method. The consultancy services shall be a Time Based- Linked with Performance.**
6. Consultants are advised that the selection of Consultant shall be on the basis of an

evaluation by the Authority through the Selection Process specified in this RFP. Applicants shall be deemed to have understood and agreed that no explanation or justification for any aspect of the Selection Process will be given and that the Authority's decisions are without any right of appeal whatsoever.

- 7. The bids shall be accepted only in the Physical form (hard bounded) as described in the RFP.**
8. The Bid will be rejected in case the Consultant has submitted the conditional bid and/or the specifications of the terms to be supplied are not complied with RFP
9. The Consultants will submit the proposal by the date & time indicated in Data Sheet and the instructions to the Consultants called project specific information.
10. The RFP includes the following documents:
  - Section 1 - Letter of Invitation
  - Section 2 - Instructions to Consultants and Data Sheet
  - Section 3 - Eligibility Documents & Technical Proposal - Standard Forms
  - Section 4 - Financial Proposal - Standard Forms
  - Section 5 - Eligible Countries
  - Section 6 - Corrupt and Fraudulent Practices
  - Section 7 - Terms of Reference
  - Section 8 - Standard Forms of Contract (Time Based linked with Performance)

Yours sincerely,

sd/-

General Manager (Project)  
Punjab Municipal Infrastructure Development Company (PMIDC)  
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## Section 2. Instructions to Consultants and Data Sheet

### A. General Provisions

<p><b>1. Definitions</b></p>	<p>(a) “Affiliate(s)” means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.</p> <p>(b) “Applicable Law” means the laws and any other instruments having the force of law in India, as they may be issued and in force from time to time.</p> <p>(c) “CEO” means the Chief Executive Officer of the Jalandhar Smart City Limited (JSCL).</p> <p>(d) “Client” means Chief Executive officer of Jalandhar Smart City Limited (JSCL), the implementing agency that signs the Contract for the Services with the selected Consultant. However, all functions of the ‘Client’ before the signing of the contract will be undertaken by PMIDC on behalf of the Client.</p> <p>(e) “Consultant” means a legally-established professional consulting firm or an entity who submit their proposal that may provide or provides the Services to the Client under the Contract.</p> <p>(f) “Contract” means a legally binding written agreement signed between the Client and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).</p> <p>(g) “Data Sheet” means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific country and assignment conditions to supplement, but not to over-write, the provisions of the ITC.</p> <p>(h) “Day” means a calendar day.</p> <p>(i) “Experts” means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).</p> <p>(j) “GoP” means the Government of Punjab.</p>
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	<p>(k) “GoI” means the Government of India.</p> <p>(l) “Joint Venture (JV)” means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.</p> <p>(m) “Key Expert(s)” means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is taken into account in the technical evaluation of the Consultant’s proposal.</p> <p>(n) “JSCL” Jalandhar Smart City Limited (JSCL).</p> <p>(o) “ITC” (this Section 2 of the RFP) means the Instructions to Consultants that provide the Consultants with all information needed to prepare their Proposals.</p> <p>(p) “LoI” (this Section 1 of the RFP) means the Letter of Invitation being sent by the Client to the Consultants.</p> <p>(q) “MoUD” means Ministry of Urban Development, Govt. of India.</p> <p>(r) “Module” means group of projects.</p> <p>(s) “Non-Key Expert(s)” means an individual professional and support staff provided by the Consultant or its Sub-consultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.</p> <p>(t) “Proposal” means the Technical Proposal and the Financial Proposal of the Consultant.</p> <p>(u) “RFP” means the Request for Proposals to be prepared by the Client for the selection of Consultants, based on the SRFP.</p> <p>(v) “SRFP” means the Standard Request for Proposals, which must be used by the Client as the basis for the preparation of the RFP.</p> <p>(w) “Services” means the work to be performed by the</p>
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	<p>Consultant pursuant to the Contract.</p> <p>(x) “Sub-consultant” means an entity to whom the Consultant intends to subcontract any part of the Services while remaining responsible to the Client during the performance of the Contract.</p> <p>(y) “SPV” means Special Purpose vehicle which is Jalandhar Smart City Limited (JSCL).</p> <p>(z) “TORs” (this Section 7 of the RFP) means the Terms of Reference that explain the objectives, scope of work, activities, and tasks to be performed, respective responsibilities of the Client and the Consultant, and expected results and deliverables of the assignment.</p>
<p><b>2. Introduction</b></p>	<p>2.1 The Client named in the <b>Data Sheet</b> intends to select a Consultant from those listed in the Letter of Invitation, in accordance with the method of selection specified in the <b>Data Sheet</b>.</p> <p>2.2 The Consultants are invited to submit a Qualification Documents, Technical Proposal and a Financial Proposal, as specified in the <b>Data Sheet</b>, for consulting services required for the assignment named in the <b>Data Sheet</b>. The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.</p> <p>2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-bid meeting if one is specified in the <b>Data Sheet</b>. Attending any such pre-bid meeting is optional and is at the Consultants’ expense.</p> <p>2.4 The Client will timely provide, at no cost to the Consultants, the inputs, relevant project data, and reports required for the preparation of the Consultant’s Proposal as specified in the <b>Data Sheet</b>.</p>
<p><b>3. Conflict of Interest</b></p>	<p>3.1 The Consultant is required to provide professional, objective, and impartial advice, at all times holding the Client’s interests paramount, strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.</p> <p>3.2 The Consultant has an obligation to disclose to the Client</p>

	<p>any situation of actual or potential conflict that impacts its capacity to serve the best interest of its Client. Failure to disclose such situations may lead to the disqualification of the Consultant or the termination of its Contract and/or sanctions by the Jalandhar Smart City Limited (JSCL).</p> <p>3.2.1 Without limitation on the generality of the foregoing, the Consultant shall not be hired under the circumstances set forth below:</p>
<b>a. Conflicting activities</b>	(i) <u>Conflict between consulting activities and procurement of goods, works or non-consulting services:</u> a firm that has been engaged by the Client to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.
<b>b. Conflicting assignments</b>	(ii) <u>Conflict among consulting assignments:</u> a Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant for the same or for another Client.
<b>c. Conflicting relationships</b>	(iii) <u>Relationship with the Client's staff:</u> a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff of the Client, who are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Client throughout the selection process and the execution of the Contract.
<b>4. Unfair Competitive</b>	4.1 Fairness and transparency in the selection process require

<b>Advantage</b>	that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Client shall indicate in the <b>Data Sheet</b> and make available to all Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.
<b>5. Corrupt and Fraudulent Practices</b>	<p>5.1 The Client requires compliance in regard to corrupt and fraudulent practices as set forth in Section 6.</p> <p>5.2 In further pursuance of this policy, Consultant shall permit and shall cause its agents, Experts, Sub-consultants, sub-contractors, services providers, or suppliers to permit the client to inspect all accounts, records, and other documents relating to the submission of the Proposal and contract performance (in case of an award), and to have them audited by auditors appointed by the Client.</p>
<b>6. Eligibility</b>	<p>6.1 The Client permits consultants (individuals and firms, including Joint Ventures and their individual members) from all countries to offer consulting services.</p> <p>6.2 Furthermore, it is the Consultant's responsibility to ensure that its Experts, joint venture members, Sub-consultants, agents (declared or not), service providers, suppliers and/or their employees meet the eligibility requirements as established by the client.</p>
<b>B. Preparation of Proposals</b>	
<b>7. General Considerations</b>	7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
<b>8. Cost of Preparation of Proposal</b>	8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Client is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.
<b>9. Language</b>	9.1 The Proposal, as well as all correspondence and documents

	relating to the Proposal exchanged between the Consultant and the Client shall be written in the language(s) specified in the <b>Data Sheet</b> .
<b>10. Documents Comprising the Proposal</b>	<p>10.1 The Proposal shall comprise the documents and forms listed in the <b>Data Sheet</b>.</p> <p>10.2 If specified in the <b>Data Sheet</b>, the Consultant shall include a statement of an undertaking of the Consultant to observe, in competing for and executing a contract, the Client country's laws against fraud and corruption (including bribery).</p> <p>10.3 The Consultant shall furnish information on commissions, gratuities, and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal submission form (Section 4).</p>
<b>11. Only One Proposal</b>	11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude a Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the <b>Data Sheet</b> .
<b>12. Proposal Validity</b>	<p><b>12.1</b> The <b>Data Sheet</b> indicates the period during which the Consultant's Proposal must remain valid after the Proposal submission deadline.</p> <p>12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.</p> <p>12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation, and may be subject to sanctions in accordance with Clause 5 of this ITC.</p>
<b>a. Extension of Validity Period</b>	12.4 The Client will make its best effort to complete the negotiations within the proposal's validity period. However,

	<p>should the need arise, the Client may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.</p> <p>12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts.</p> <p>12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated.</p>
<p><b>b. Substitution of Key Experts at Validity Extension</b></p>	<p>12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall provide a written adequate justification and evidence satisfactory to the Client together with the substitution request. In such case, a replacement Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluation score, however, will remain to be based on the evaluation of the CV of the original Key Expert.</p> <p>12.8 If the Consultant fails to provide a replacement Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to the Client, such Proposal will be rejected by the Client.</p>
<p><b>c. Sub-Contracting</b></p>	<p>12.9 The Consultant shall not subcontract any part or whole of the Services.</p>
<p><b>13. Clarification and Amendment of RFP</b></p>	<p>13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the <b>Data Sheet</b> before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Client's address indicated in the <b>Data Sheet</b>. The Client will respond in writing, or by standard electronic means, and will upload the response (including an explanation of the query but without identifying its source) or the clarifications shall be uploaded on the client's website. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:</p> <p style="padding-left: 40px;">a) At any time before the proposal submission deadline, the Client may amend the RFP by issuing an amendment in writing or by standard electronic means.</p>

	<p>The amendments shall be uploaded on the clients website and will be binding on them. The Consultants shall update themselves by visiting the client’s website regularly, for not being updated by the Consultants themselves, Client bears no responsibility.</p> <p><b>b)</b> If the amendment is substantial, the Client may extend the proposal submission deadline to give the Consultants reasonable time to take an amendment into account in their Proposals.</p> <p>13.2 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.</p>
<p><b>14. Preparation of Proposals – Specific Considerations</b></p>	<p>14.1 While preparing the Proposal, the Consultant must give particular attention to the following:</p> <p><b>a)</b> If a Consultant considers that it may enhance its expertise for the assignment by associating with other consultants in the form of a Joint Venture/Consortium, it may do so with eligible Consultants. The members of the Consortium shall enter into a MoU for Joint Bidding and Power of Attorney on the name of lead partner for the purpose of making the Application and submitting a Bid . The MoU for Joint Bidding and Power of Attorney is to be submitted along with the Application. The Parties do hereby undertake to be jointly and severally responsible for all obligations and liabilities relating to the Project and in accordance with the terms of the RFP and the Contract Agreement. If Consultants associate with each other, any of them can be a lead member.</p> <p><b>b)</b> The Client may indicate in the <b>Data Sheet</b> the estimated Key Experts’ time input (expressed in person-month) or the Client’s estimated total cost of the assignment, but not both. This estimate is indicative and the Proposal shall be based on the Consultant’s own estimates for the same.</p> <p><b>c)</b> If stated in the <b>Data Sheet</b>, the Consultant shall include in its Proposal at least the same time input (in the</p>



	<p>same unit as indicated in the <b>Data Sheet</b>) of Key Experts, failing which the Financial Proposal will be adjusted for the purpose of comparison of proposals and decision for award in accordance with the procedure in the <b>Data Sheet</b>.</p> <p><b>d)</b> For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input is not disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the <b>Data Sheet</b>, and the Financial Proposal shall not exceed this budget.</p>
<b>15. Eligibility Documents, Technical Proposal Format and Content</b>	<p>15.1 The Eligibility Criteria supporting Documents and Technical Proposal shall not include any financial information. The Eligibility Criteria supporting Documents and Technical Proposal containing material financial information shall be declared non-responsive.</p> <p>15.1.1 Consultant shall not propose alternative Key Experts. Only one CV shall be submitted for each Key Expert position as indicated in the TOR. Failure to comply with this requirement will make the Proposal non-responsive.</p> <p>15.2 Depending on the nature of the assignment, the Consultant is required to submit a Full Technical Proposal (FTP), or a Simplified Technical Proposal (STP) as indicated in the <b>Data Sheet</b> and using the Standard Forms provided in Section 3 of the RFP.</p>
<b>16. Financial Proposal</b>	<p>16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) reimbursable expenses indicated in the <b>Data Sheet</b>.</p>
<b>a. Price Adjustment</b>	<p>16.2 For assignments with a duration exceeding 18 months, a price adjustment provision for foreign and/or local inflation for remuneration rates applies if so stated in the <b>Data Sheet</b>.</p>
<b>b. Taxes</b>	<p>16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract unless stated otherwise in the <b>Data Sheet</b>. Information</p>

	on taxes in the Client's country is provided in the <b>Data Sheet</b> .
<b>c. Currency of Proposal</b>	16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the <b>Data Sheet</b> . If indicated in the <b>Data Sheet</b> , the portion of the price representing local cost shall be stated in the national currency.
<b>d. Currency of Payment</b>	16.5 Payment under the Contract shall be made in the currency of client's country.
<b>17. Earnest money Deposit</b>	<p>17.1 An EMD amount as indicated in the Data Sheet in the form of demand draft (DD) or Bank Guarantee (BG) drawn in favour of the client name indicated in the Data Sheet and payable at place as mentioned in the Data Sheet, must be submitted along with the Proposal.</p> <p>17.2 Proposals not accompanied by EMD shall be rejected as non-responsive.</p> <p>17.3 No interest shall be payable by the Client for the sum deposited as earnest money deposit.</p> <p>17.4 The EMD of the unsuccessful bidders would be returned back within one month of signing of the contract.</p>
<b>18. The EMD shall be forfeited by the Client in the events</b>	<p>18.1 If Proposal is withdrawn during the validity period or any extension agreed by the consultant thereof.</p> <p>18.2 If the Proposal is varied or modified in a manner not acceptable to the Client after opening of Proposal during the validity period or any extension thereof.</p> <p>18.3 If the consultant tries to influence the evaluation process.</p> <p>18.4 If the First ranked consultant withdraws his proposal during negotiations (failure to arrive at consensus by both the parties shall not be construed as withdrawal of proposal by the consultant).</p>
<b>19. Bid documents and Processing Fees</b>	<p>19.1 All consultants are required to pay amount as indicated in the <b>Data Sheet</b> towards the cost of Bid documents and Bid Processing Fees as follows:</p> <ol style="list-style-type: none"> <li>a. Bid Documents fee shall be paid through Demand draft drawn in favour of the Client.</li> <li>b. Bid Processing Fee shall be paid online</li> <li>c. The Bid Documents fee and Bid Processing Fee is Non-Refundable.</li> </ol> <p><b>19.2 Please note that the Proposal, which does not include the Bid Documents fee and bid processing fees, would be rejected as non-responsive.</b></p>

<b>C. Submission, Opening and Evaluation</b>	
<b>20. Submission, Sealing, and Marking of Proposals</b>	<p>20.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with Clause 10 (Documents Comprising Proposal).</p> <p>20.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for the Qualification Documents, Technical Proposal and the Financial Proposal and shall initial all pages as required. The authorization shall be in the form of a written power of attorney attached to the Eligibility Documents Proposal.</p> <p style="padding-left: 40px;"><b>a)</b> A Proposal submitted by a Joint Venture shall be accompanied by the MoU of Joint Bidding signed by all members so as to be legally binding on all members, and by an authorized representative who has a written power of attorney signed by each member's authorized representative.</p> <p>20.3 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.</p> <p>20.4 The signed Proposal shall be marked "Original", and its copies marked "Copy<sup>1</sup>" as appropriate. The number of copies is indicated in the Data Sheet. All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.</p> <p>20.5 The original and all the copies of the Technical Proposal shall be placed inside of a sealed envelope clearly marked "Technical Proposal", "[Name of the Assignment] ", reference number, name and address of the Consultant, and with a warning "Do Not Open until [<i>insert the date and the time of the Technical Proposal submission deadline</i>]."</p> <p>20.6 Similarly, the original Financial Proposal (if required for the applicable selection method) shall be placed inside of a sealed envelope clearly marked "Financial Proposal" followed by the name of the assignment, reference number, name and address of the Consultant, and with a warning "Do Not Open With The Technical Proposal."</p>

<sup>1</sup> Copy means photo copy(ies) of the original proposal.

	<p>20.7 The sealed envelopes containing the Qualification Documents, Technical and Financial Proposals shall be placed into one outer envelope and sealed (physically as well digitally as applicable). This outer envelope shall bear the submission address, RFP reference number, the name of the assignment, Consultant’s name and the address, and shall be clearly marked “Do Not Open Before [insert the time and date of the submission deadline indicated in the Data Sheet]”.</p> <p>20.8 If the envelopes and packages with the Proposal are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the Proposal.</p> <p>20.9 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by the Client no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by the Client after the deadline shall be declared late and rejected, and promptly returned unopened.</p>
<p><b>21. Confidentiality</b></p>	<p>21.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Client on any matter related to its Qualification Documents, Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the publication of the Contract award information.</p> <p>21.2 Any attempt by Consultants or anyone on behalf of the Consultant to influence improperly the Client in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal.</p> <p>21.3 Notwithstanding the above provisions, from the time of the Proposals’ opening to the time of Contract award publication, if a Consultant wishes to contact the Client on any matter related to the selection process, it should do so only in writing.</p>
<p><b>22. Performance Security</b></p>	<p>22.1 The Applicant, by submitting its Application pursuant to this RFP, shall be deemed to have acknowledged that without prejudice to the Authority’s any other right or remedy hereunder</p>

	<p>or in law or otherwise, its Performance Security in the form of bank guarantee shall be forfeited and appropriated by the Authority as the mutually agreed pre-estimated compensation and damages payable to the Authority for, <i>inter alia</i>, the time, cost and effort of the Authority in regard to the RFP, including the consideration and evaluation of the Proposal, under the following conditions:</p> <ul style="list-style-type: none"> <li>(a) If an Applicant engages in any of the Prohibited Practices specified in Clause 5 of this RFP;</li> <li>(b) if the Applicant is found to have a Conflict of Interest as specified in Clause 3 of this RFP; and</li> <li>(c) if the selected Applicant commits a breach of the Agreement.</li> </ul> <p><b>22.2 An amount equal to 5% (five per cent) of the Agreement Value shall be deemed to be the Performance Security</b> for the purposes of this Clause 22, which may be forfeited and appropriated in accordance with the provisions hereof.</p>
<p><b>23. Opening of Technical Proposals</b></p>	<p>23.1 The Client’s evaluation committee shall conduct the opening of the Eligibility Documents &amp; Technical Proposals in the presence of the Consultants’ authorized representatives who choose to attend (in person, or online if this option is offered in the Data Sheet). The opening date, time and the address are stated in the Data Sheet. The envelopes with the Financial Proposal shall remain sealed until they are opened in accordance with Clause 26 of the ITC.</p> <p>23.2 At the opening of the Eligibility Criteria supporting Qualification Documents Proposals the following shall be read out:</p> <p>23.3 the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members;</p> <p>23.4 the presence or absence of a duly sealed envelope with the Financial Proposal;</p> <p>23.5 any modifications to the Proposal submitted prior to proposal submission deadline; and</p> <p>23.6 any other information deemed appropriate or as indicated in the Data Sheet.</p>

<p><b>24. Proposals Evaluation</b></p>	<p>24.1 Subject to provision of Clause 15.1 of the ITC, the evaluators of the Eligibility Criteria assessing Documents and Technical Proposals shall have no access to the Financial Proposals until the Eligibility Criteria Documents &amp; technical evaluation is concluded.</p> <p>24.2 The Consultant is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 12.7 of this ITC. While evaluating the Proposals, the Client will conduct the evaluation solely on the basis of the submitted Eligibility / Qualification documents, Technical and Financial Proposals.</p>
<p><b>25. Evaluation of Eligibility Criteria and Technical Proposals</b></p>	<p>25.1 The Client's evaluation committee shall evaluate the Eligibility Criteria and Technical Proposals on the basis of their responsiveness to the Terms of Reference and the RFP, applying the evaluation criteria, sub-criteria, and point system specified in the <b>Data Sheet</b>. Firstly each responsive proposal's shall be evaluated for Eligibility Criteria set out in the RFP. The Consultants whosoever qualifies in the Eligibility Criteria their technical proposals shall be evaluated. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if it fails to achieve the minimum technical score indicated in the Data Sheet.</p>
<p><b>26. Financial Proposals for LCBS</b></p>	<p>26.1 The financial proposal of the eligible Consultants who have scores more than minimum technical score shall be opened. All other Financial Proposals are returned unopened after the Contract negotiations are successfully concluded and the Contract is signed.</p>
<p><b>27. Public Opening of Financial Proposals (for LCBS, methods)</b></p>	<p>27.1 After the technical evaluation is completed, the Client shall notify only those Consultants who's Proposals were considered responsive to the RFP and scored minimum overall technical score and inform them of the date, time and location for the opening of the Financial Proposals. The opening date should allow the Consultants sufficient time to make arrangements for attending the opening. The Consultant's attendance at the opening of the Financial Proposals (in person, or online if such option is indicated in the Data Sheet) is optional and is at the Consultant's choice.</p> <p>27.2 The Financial Proposals shall be opened by the Client's</p>

	<p>evaluation committee at the date and time in the presence of the representatives of those Consultants whosoever shall be present and whose proposals have passed the minimum technical score. At the opening, the names of the Consultants, and the overall technical scores, including the break-down by criterion, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the total prices read aloud and recorded.</p>
<b>28. Correction of Errors</b>	<p>28.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.</p>
<b>a. Time-Based Contracts/ Time based Contract linked with Performance</b>	<p>a) If a Time-Based contract linked with performance form is included in the RFP, the Client's evaluation committee will (a) correct any computational or arithmetical errors, and (b) adjust the prices if they fail to reflect all inputs included for the respective activities or items in the Technical Proposal. In case of discrepancy between (i) a partial amount (sub-total) and the total amount, or (ii) between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between words and figures, the former will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and the Client's evaluation committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.</p>
<b>29. Taxes</b>	<p>29.1 The Client's evaluation of the Consultant's Financial Proposal shall exclude taxes and duties in the Client's country in accordance with the instructions in the Data Sheet.</p>
<b>30. Conversion to Single Currency</b>	<p>30.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the Data Sheet.</p>
<b>31. Low Cost Based Selection Method</b>	
<b>a. Low Cost Based</b>	<p>31.1 In the case of LCBS, the Consultant who has lowest</p>

<b>Selection (LCBS) Method</b>	financial cost will be invited for negotiations and the same shall be called as L1. The next lowest shall be called as L2.
<b>D. Negotiations and Award</b>	
<b>32. Negotiations</b>	<p>32.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.</p> <p>32.2 The Client shall prepare minutes of negotiations that are signed by the Client and the Consultant's authorized representative.</p>
<b>a. Availability of Key Experts</b>	<p>32.3 The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with Clause 12 of the ITC. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and the Client proceeding to negotiate the Contract with the next-ranked Consultant.</p> <p>32.4 Notwithstanding the above, the substitution of Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.</p>
<b>b. Technical negotiations</b>	32.5 The negotiations include discussions of the Terms of Reference (ToRs), the proposed methodology, the Client's inputs, the special conditions of the Contract, and finalizing the "Description of Services" part of the Contract. These discussions shall not substantially alter the original scope of services under the ToR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.
<b>c. Financial negotiations</b>	32.6 The negotiations include the clarification of the Consultant's tax liability in the India and how it should be reflected in the Contract.



	<p>32.7 Since the selection method includes cost as a factor, the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.</p> <p><b>32.8 In the case of a Time-Based linked with Performance contract, unit rates negotiations shall not take place.</b></p>
<p><b>33. Conclusion of Negotiations</b></p>	<p>33.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Client and the Consultant’s authorized representative.</p> <p>33.2 If the negotiations fail, the Client shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, the Client shall terminate the negotiations informing the Consultant of the reasons for doing so. The Client will invite the next Low Cost (L2) ranked Consultant to negotiate a Contract. Once the Client commences negotiations with the next-ranked Consultant, the Client shall not reopen the earlier negotiations.</p>
<p><b>34. Award of Contract</b></p>	<p>34.1 After completing the negotiations the Client shall sign the Contract; publish the award information as per the instructions in the Data Sheet; and promptly notify the other technically qualified Consultants.</p> <p>34.2 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.</p>

## Instructions to Consultants

### E. Data Sheet

<b>A. General</b>	
<b>ITC Clause Reference</b>	
<b>2.1</b>	<p><b>Name of the Client:</b> Jalandhar Smart City Limited (JSCL) represented by Chief Executive Officer (CEO)</p> <p><b>Method of selection:</b> Low Cost Based Selection (LCBS) (Time Based- Linked with Performance).</p>
<b>2.2</b>	<p><b>Financial Proposal to be submitted together with Eligibility Documents and Technical Proposal:</b> Yes</p> <p><b>The name of the assignment is:</b> Assisting Jalandhar Smart City Limited (JSCL) to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab.</p>
<b>2.3</b>	<p><b>A pre-bid meeting will be held:</b> Yes</p> <p>Date of pre-bid meeting: <b>29<sup>th</sup> November, 2016</b>  Time: <b>11:00 AM (Conference Hall)</b>  Address: <b>Punjab Municipal Infrastructure Development Company (PMIDC), Punjab Municipal Bhawan, Dept. of Local Govt., Punjab, Plot No. 3A, Sector 35-A, Chandigarh - 160035.</b></p> <p>Telephone: <b>0172-2619162</b>  E-mail: <a href="mailto:gmp.pmidc@punjab.gov.in">gmp.pmidc@punjab.gov.in</a>  Contact person/ coordinator: <b>Shri V P Singh, GM (Project), PMIDC on behalf of Jalandhar Smart City Limited (JSCL).</b></p>
<b>2.4</b>	<p><b>The Client will provide the following inputs, project data, reports, etc. to facilitate the preparation of the Proposals:</b></p> <p>Smart City Proposal (SCP) of Jalandhar City can be downloaded either from the Government of India website for Smart City i.e. <a href="http://smarcities.gov.in/writereaddata/Round2Proposals/Jalandhar_SCP.pdf">http://smarcities.gov.in/writereaddata/Round2Proposals/Jalandhar_SCP.pdf</a> or from PMIDC website i.e. <a href="http://pmidc.punjab.gov.in/goi-schemes">http://pmidc.punjab.gov.in/goi-schemes</a>. The Consultants are requested to study the document in detail.</p>
<b>4.1</b>	NA

<b>B. Preparation of Proposals</b>	
<b>9.1</b>	<p>This RFP has been issued in the English language.</p> <p><b>Proposals shall be submitted in English Language.</b></p> <p><b>All correspondence exchange shall be in English Language.</b></p> <p>No supporting document or printed literature shall be submitted with the Proposal unless specifically asked for and in case any of these Documents is in another language, it must be accompanied by an accurate translation of all the relevant passages in English by approved/authorized/licensed translator<sup>2</sup>, in which case, for all purposes of interpretation of the Proposal, the translation in English shall prevail.</p>
<b>10.1</b>	<p><b>The Proposal shall comprise the following:</b></p> <p><b>Eligibility Documents(Envelope-A)</b></p> <p><b>1<sup>st</sup> Inner Envelope</b></p> <ol style="list-style-type: none"> <li>(1) Letter of Submission of Proposal</li> <li>(2) Power of Attorney to sign the Proposal</li> <li>(3) Format for Memorandum of Understanding (MOU) for Joint Bidding in case of consortium</li> <li>(4) Financial Qualification Forms</li> <li>(5) Technical Qualification Forms</li> <li>(6) Affidavit Certifying that Consultant (Consulting Firm)/ Director(s) of Consulting Firm are not blacklisted.</li> </ol> <p>AND</p> <p><b><u>For FULL TECHNICAL PROPOSAL (FTP):</u></b></p> <p><b>2<sup>nd</sup> Inner Envelope (Envelop-B)</b></p> <ol style="list-style-type: none"> <li>(1) TECH-1</li> <li>(2) TECH-2</li> <li>(3) TECH-3</li> <li>(4) TECH-4</li> <li>(5) TECH-5</li> <li>(6) TECH-6</li> </ol> <p>AND</p> <p><b>2<sup>nd</sup> Inner Envelope with the Financial Proposal (if applicable):</b></p> <ol style="list-style-type: none"> <li>(1) FIN-1</li> </ol>

<sup>2</sup> Approved/authorized/licensed translator means certified by Government for document translation. The registration/certification number of the translator is mandatory to mention on the translated document along with full address, Phone number and email-id.

	<p>(2) FIN-2  (3) FIN-3  (4) FIN-4  (5) Statement of Undertaking  <i>(if required under Data Sheet 10.2 below)</i></p>
<b>10.2</b>	<b>Statement of Undertaking is required : Yes</b>
<b>11.1</b>	<p><b>Joint venture (JV) can be maximum of 06 (six) firms/partners.</b></p> <p><b>Participation of Key Experts and Non-Key Experts in more than one Proposal is permissible : No</b></p>
<b>12.1</b>	<b>Proposals must remain valid for 120 calendar days after the proposal submission deadline (i.e., until: 18<sup>th</sup> April, 2017).</b>
<b>13.1</b>	<p><b>Clarifications may be requested no later than 01 (one) days prior to the pre-bid meeting date.</b></p> <p>The contact information for requesting clarifications is:  Address: <b>Punjab Municipal Infrastructure Development Company (PMIDC), Punjab Municipal Bhawan, Dept. of Local Govt., Punjab, Plot No. 3A, Sector 35-A, Chandigarh - 160035.</b></p> <p>Telephone: <b>0172-2619162</b>  E-mail: <b><a href="mailto:gmp.pmidc@punjab.gov.in">gmp.pmidc@punjab.gov.in</a></b>  Contact person/ coordinator: <b>Shri V P Singh, GM (Project), PMIDC on behalf of Jalandhar Smart City Limited (JSCL).</b></p>
<b>14.1.1</b>	<p><b>Consultants may associate with</b></p> <p><b>(a) non-Qualified Consultant(s): No</b></p> <p><b>Or</b></p> <p><b>(b) other Qualified Consultants No</b></p>
<b>14.1.2</b>	<b>As per the Detail provided in the Terms of Reference (ToR).</b>
<b>15.2</b>	<p>The format of the Technical Proposal to be submitted is: Full Technical proposal (<b>FTP</b>).</p> <p>Submission of the Technical Proposal in a wrong format may lead to the</p>

	Proposal being deemed non-responsive to the RFP requirements.
<b>16.1</b>	<p><b>Reimbursable Expenses:</b></p> <p>(1) <i>a per diem allowance, including hotel, for experts for every day of absence from the home office for the purposes of the Services;</i></p> <p>(2) <i>cost of travel by the most appropriate means of transport and the most direct practicable route;</i></p> <p>(3) <i>cost of office accommodation, including overheads and back-stop support;</i></p> <p>(4) <i>communications costs;</i></p> <p>(5) <i>cost of purchase or rent or freight of any equipment required to be provided by the Consultants;</i></p> <p>(6) <i>cost of reports production (including printing) and delivering to the Client;</i></p> <p>(7) <i>other allowances where applicable and provisional or fixed sums (if any)]</i></p>
<b>16.2</b>	<p><b>A price adjustment provision applies to remuneration rates:</b> No.  <i>[It applies to local inflation]</i></p>
<b>16.3</b>	<p>Amount payable by the Client to the Consultant under the contract to be subject to local taxation: <b>Yes</b></p> <p>The Client will</p> <ul style="list-style-type: none"> <li>- <b>reimburse the Consultant for indirect local taxes (including service tax) and duties as per SCC Clause 39.1, 39.2 and 39.3 – Yes</b></li> <li>- <b>reimburse the Consultant income tax paid in India on the remuneration for services provided by the non-resident staff of the consultant – No</b></li> </ul>
<b>16.4</b>	<p><b>The Financial Proposal should state local costs in the Client’s country currency (local currency):</b> Yes</p>
<b>17.1</b>	<p>An <b>EMD</b> of <b>INR.10,00,000/- (Indian Rupees Ten Lacs)</b> in the form of DD or BG from a scheduled bank in India and drawn in favor of the <b>Jalandhar Smart City Limited</b> and payable at <b>Jalandhar (Punjab)</b>, must be submitted along with the Proposal.</p> <p>If the EMD is submitted through BG, the minimum validity date of the BG should be <b>180 days</b> from the last date of submission of the bids.</p>

19.1	<b>Bid Documents fee</b> amounting to <b>INR.20,000 (Indian Rupees Twenty Thousand only)</b> shall be paid through DD in favour of the <b>Joint Managing Director, Punjab Municipal Infrastructure Development Company (PMIDC)</b> and payable at <b>Chandigarh</b> .
<b>C. Submission, Opening and Evaluation</b>	
20.1	<p><b>The Consultants are required to submit their Proposals in Original &amp; Duplicate</b> (<i>Hard Bonded Copies with page numbers, stamp of company &amp; countersigned by authorized representative of the Company on each and every page of the document submitted</i>) <b>as per clause 20.4 of the data Sheet, without which the proposal shall be deemed rejected.</b></p> <p><b>Note:</b></p> <ul style="list-style-type: none"> <li>a) The proposals shall be submitted in hard copies only as indicated above.</li> <li>b) The original proposal, both technical and Financial Proposals shall contain no interlineations or overwriting, except as necessary to correct errors made by the Consultants themselves. The person who signed the proposal must initial such corrections. Submission letters for both Technical and Financial Proposals should respectively be submitted as per the formats provided in the RFP.</li> <li>c) An authorized representative of the Consultants shall initial all pages of the original Technical Proposals. The authorization shall be in the form of a written power of attorney accompanying the Proposal or in any other form demonstrating that the representative has been dully authorized to sign. The Technical Proposal shall be submitted in Physical form in original &amp; duplicate. The signed Technical &amp; Financial Proposal shall be marked <b>“ORIGINAL”</b>.</li> <li>d) The original Technical &amp; Financial Proposal shall be placed separately in a sealed envelope clearly marked <b>“TECHNICAL PROPOSAL”</b> &amp; <b>“FINANCIAL PROPOSAL”</b> followed by the name of the Assignment/job. The envelopes containing the Technical Proposals, Financial Proposal, EMD, Bid Document Fees in form of DD in favour of the <b>Jalandhar Smart City Limited</b> and payable at <b>Jalandhar (Punjab)</b>, and Power of Authority shall be placed into an outer envelope and sealed physically and the same shall be submitted in Physical form (2 hard copies).</li> </ul>

	<p>This outer envelope of the physical submission shall bear the submission address, name of assignment/reference number be clearly marked “DO NOT OPEN, BEFORE <i>[insert the time and date of the opening indicated in the Data sheet/key dates]</i>”. The Client shall not be responsible for misplacement, losing or premature opening if the outer envelope is not sealed and/or marked as stipulated. This circumstance may be case for Proposal rejection. If the Financial Proposal is not submitted along with the Technical Proposal in separate sealed envelope, this will constitute grounds for declaring the Proposal non-responsive.</p> <p>e) The Proposals must be sent to the address/addresses indicated in the Data sheet and received by the Client no later than the time and the date indicated in the Data sheet, or any extension to this. Any proposal received by the Client after the deadline for submission shall be returned unopened.</p>
20.4	<p><b>The Consultant must submit the following:</b></p> <p><b>Physical Submission: One Original and One copied of the original Technical &amp; Financial proposals:</b> Original Copy of Technical&amp; Financial proposal, EMD, Bid Document Fees and Power of Authority.</p>
20.7 and 20.9	<p><b>The Proposals must be submitted no later than:</b></p> <p><b><u>Physical Submission</u></b></p> <p><b>Date:19<sup>th</sup> December, 2016</b></p> <p><b>Time: 17:00 PM</b></p> <p><i>[add translation of the warning marking [“Do not open ....”] in the National language to the outer sealed envelope]</i></p> <p><b>The Proposal submission address is:</b></p> <p>Address: <b>Punjab Municipal Infrastructure Development Company (PMIDC), Punjab Municipal Bhawan, Dept. of Local Govt., Punjab, Plot No. 3A, Sector 35-A, Chandigarh - 160035.</b></p> <p>Telephone: <b>0172-2619162</b></p>

	<p>E-mail: <a href="mailto:gmp.pmidc@punjab.gov.in">gmp.pmidc@punjab.gov.in</a>  Contact person/ coordinator: <b>Shri V P Singh, GM (Project), PMIDC on behalf of Jalandhar Smart City Limited (JSCL).</b></p>
<b>23.1</b>	<p><b>An online option of the opening of the Technical Proposals is offered: No</b></p> <p><b>The opening of Technical Proposal shall take place at: PMIDC office, 5<sup>th</sup> Floor, Punjab Municipal Bhawan, Sector 35A, Chandigarh.</b></p> <p><b>Date: 20<sup>th</sup> December, 2016</b></p> <p><b>Time: 11:00 AM</b></p>
<b>23.2</b>	<p><b>In addition, the following information will be read aloud at the opening of the Technical Proposals N/A</b></p>
<b>25.1</b>	<p>Eligibility Condition (Envelop A):</p> <p><b>1. Basic Eligibility Condition:</b></p> <p>a. The Bidder / Consultant shall be a company / firm incorporated in India under the (Indian) Companies Act, 1956 / 2013 or a company incorporated under equivalent law abroad.</p> <p>The Consultant shall be required to submit a true copy of its Incorporation Certificate along with the Proposal.</p> <p>b. Consultant must have a valid service tax registration in India.</p> <p>c. The Bidder must have at least one office in India which has been operational for the last three years or more</p> <p><i>Note: In case of a consortium, lead member must meet the basic eligibility criteria</i></p> <p><b>2. Financial Eligibility:</b></p> <p>a. Minimum Average Annual Turnover from professional fee from the Consulting assignments: <b>INR 250 (Two Hundred Fifty Crore) in the last three financial years 2012-13, 2013-14 &amp; 2014-15/ 2015-16.</b></p> <p><i>Note: In case of Consortium, the Financial Capacity of the Lead Member shall be considered.</i></p>



**Technical Proposal (Envelop B)**

Criteria, sub-criteria & and point system for the evaluation of the Full Technical Proposals:

S. No.	Description	Maximum Points
(i)	<b>Specific experience of the Consultant (as a Firm) relevant to the assignment</b>	<b>30</b>
a.	<p>Experience of Project Management in urban development projects for new city / towns, retrofitting / redevelopment of the existing city, industrial park, Special Economic Zone (SEZ), integrated townships. <b>(10 Points)</b></p> <p>1. Projects having - 1 Point per having &gt; Rs.500 Cr. Project (max. to 10 points)</p> <p>2. Projects having Value - 0 Point &lt; Rs.500 Cr.</p>	
b.	<p>Experience in at least six (6) project management assignments in any four (4) of the following sectors <b>(10 Points)</b>;</p> <p>(1) Water supply;  (2) Storm Water drainage;  (3) Sewerage System;  (4) Urban Design &amp; Landscape;  (5) Urban Transport;  (6) Streetlights,  (7) Power / Energy;  (8) Solid waste management;</p> <p><i>(Projects encompassing multiple sectors will be counted as one project)</i></p> <p>1. Projects having - 1 Point per Value &gt; Rs.500 Cr. Project (max. to 10 Points)</p> <p>2. Projects having Value - 0 Point &lt; Rs.500 Cr.</p>	
c.	<p>Experience in atleast one project in the areas of Information Communication &amp; Technology (ICT), E-Governance, Smart Solutions <b>(5 Points)</b>.</p> <p>1. No. of Projects - 1 Point per Project (max. to 5 Points)</p>	
d.	<p>Experience in at least One Project in PPP in infrastructure. <b>(5 Points)</b></p> <p>1. No. of Projects - 1 Point per Project (max.to 5 Points)</p> <p><b>Note: While assessing the relevant experience importance and weightage will be given to the size, value and complexity of such projects and their closer relevance to the specific components of Amritsar Smart City Proposal.</b></p>	

	(ii)	<b>Adequacy and quality of the proposed methodology, and work plan in responding to the terms of Reference (TORs) and power point presentation</b>	<b>40</b>						
	(a)	Technical Approach & Methodology	<p><i>Notes to Consultant: The Client will assess whether the proposed technical approach and methodology is clear, responds to the TORs as applied to the Amritsar Smart City Proposal (SCP), whether the work plan is realistic and implementable; overall team composition is balanced and has an appropriate skills mix to convert the SCP to successful modules/projects; and the work plan has right input of Experts.</i></p> <p><i>The approach, methodology, work plan, organization and staffing should reflect a clear understanding of the details of all key components of Amritsar Smart City Plan, Amritsar City's demographic, socio-economic attributes.</i></p>						
	(b)	Work Plan							
	(c)	Organization & Staffing							
(iii)	<b>Key professional staff qualifications and competence for the assignment</b>	<b>30</b>							
		<p><i>Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant</i></p> <ol style="list-style-type: none"> <li><b>1. Project Director (6 Points)</b></li> <li><b>2. Project Manager cum Urban Infrastructure Specialist (3 Points)</b></li> <li><b>3. Project Manager (Finance) (3 Points)</b></li> <li><b>4. Procurement / Contract and Legal Expert (3 Points)</b></li> <li><b>5. Project Manager - Controls (Execution, Monitoring, evaluation expert) (3 Points)</b></li> <li><b>6. E-Governance / ICT Expert (3 Points)</b></li> <li><b>7. Water Supply, Sewerage, Drainage Utilities Expert (3 Points)</b></li> <li><b>8. Urban Transportation Specialist (3 Points)</b></li> <li><b>9. Urban Planner / Architect / Urban Designer (3 Points)</b></li> </ol>							
		<b>Sub Criteria</b>							
		<b>Total Points for the Criteria</b>	<b>100</b>						
<p>Each Key Professional will be evaluated as below:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 5%;">a.</td> <td style="width: 85%;">Educational Qualification <i>(Higher weightage will be given to education / training from premier institutes)</i></td> <td style="width: 10%; text-align: right;">20%</td> </tr> <tr> <td>b.</td> <td>Adequacy for the assignment <i>(relevant education, training and experience in sectors / similar assignments)</i></td> <td style="text-align: right;">80%</td> </tr> </table>				a.	Educational Qualification <i>(Higher weightage will be given to education / training from premier institutes)</i>	20%	b.	Adequacy for the assignment <i>(relevant education, training and experience in sectors / similar assignments)</i>	80%
a.	Educational Qualification <i>(Higher weightage will be given to education / training from premier institutes)</i>	20%							
b.	Adequacy for the assignment <i>(relevant education, training and experience in sectors / similar assignments)</i>	80%							

	<p>[Apart from the number of years of experience, adequacy for the assignment will be assessed based on the Quality of experience: viz, scale and complexities of projects undertaken, level of role played in such projects, commendation certificates, awards, citations, etc.]</p> <p><b><i>The minimum technical score (St) required to pass is: 75</i></b></p> <p>In case of a consortia bid, the combined technical experience would be considered. The projects executed by sub-consultants shall not be considered for technical evaluation.</p> <p>Smart City Proposal (SCP) of Amritsar City can be downloaded either from the Government of India website for Smart City i.e. <a href="http://smartcities.gov.in/writereaddata/winningcity/AmritsarSCP.pdf">http://smartcities.gov.in/writereaddata/winningcity/AmritsarSCP.pdf</a> or from PMIDC website i.e. <a href="http://pmidc.punjab.gov.in/goi-schemes">http://pmidc.punjab.gov.in/goi-schemes</a>. The Consultants are requested to study the document in detail.</p>
<b>27.1 &amp; 27.2</b>	<b>An online option of the opening of the Financial Proposals is offered: No</b>
<b>29.1</b>	<p>For the purpose of the evaluation, the Client will exclude:</p> <p>(a) all local identifiable indirect taxes such as sales tax, excise tax, VAT, or similar taxes levied on the contract's invoices; and</p> <p>(b) all additional local indirect tax on the remuneration of services rendered by non-resident experts in the Client's country. If is awarded, at Contract negotiations, all such taxes will be discussed, finalized (using the itemized list as a guidance but not limiting to it) and added to the Contract amount as a separate line, also indicating which taxes shall be paid by the Consultant and which taxes are withheld and paid by the Client on behalf of the Consultant.</p>
<b>30.1</b>	<b>The single currency for the conversion of all prices expressed in various currencies into a single one is: <u>Indian Rupees (INR)</u></b>
<b>31.1 (LCBS only)</b>	<b>The Consultant, who will score more than minimum of 75% in the Technical Evaluation, shall be eligible for Financial Bid Opening. The Eligible Consultant having Lowest Financial Cost shall be called for negotiation and ranked as L1. The L1 shall be awarded the work after final negotiation and fulfilling all the conditions of the RFP document. The Consultant having next lowest financial cost shall be ranked as L2.</b>
	<b>D. Negotiations and Award</b>
<b>32.1</b>	<p><b>Expected date and address for contract negotiations:</b></p> <p><b>Date: 20<sup>th</sup> January, 2017</b></p> <p><b>Address: Punjab Municipal Infrastructure</b></p>

	<p>Telephone: E-mail: Contact person/ coordinator:</p> <p><b>Development Company (PMIDC), Punjab Municipal Bhawan, Dept. of Local Govt., Punjab, Plot No. 3A, Sector 35-A, Chandigarh - 160035. 0172-2619162 <a href="mailto:gmp.pmidc@punjab.gov.in">gmp.pmidc@punjab.gov.in</a> Shri V P Singh, GM (Project), PMIDC on behalf of Jalandhar Smart City Limited (JSCL).</b></p>
<b>33.1</b>	<b>Deleted</b>
<b>34.2</b>	<b>Expected date for the commencement of the Services: Date: 31<sup>st</sup> January, 2017</b>

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## Section 3. Eligibility Condition (Proofs / Documents) and Technical Proposal – Standard Forms

### QUALIFICATION DOCUMENTS

#### APPENDIX-1 : ELIGIBILITY CONDITION (PROOFS / DOCUMENTS) & PROPOSAL SUBMISSION FORM

[On the Letter head of the Applicant (Lead Member in case of Consortium)]

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{Location, Date}

To:

**GM (Project),  
Punjab Municipal Infrastructure Development Company (PMIDC),  
on behalf of Jalandhar Smart City Limited (JSCL)  
Punjab Municipal Bhawan, Local Govt. Dept.,  
Plot No. 3A, Sector 35-A,  
Chandigarh - 160035**

**Ref: RFP for Assisting JSCL to Design, Develop, Manage and Implement Smart City  
Projects under Smart City Mission (SCM) in Jalandhar City of Punjab.**

Dear Sir(s):

We, the undersigned, offer to provide the consulting services for Assisting Jalandhar Smart City Limited (JSCL) to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab in accordance with your Request for Proposals dated [Insert Date] and **our Proposal for Low Cost Based Selection (LCBS) method of selection**. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate sealed envelope”.

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: “of our letter of intent to form a joint venture” or, if a JV is already formed, “of the JV agreement”} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

{OR

If the Consultant’s Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and address of each Sub-consultant.}

We hereby declare that:

- (a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Client.

- (b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 12.1.
- (c) We have no conflict of interest in accordance with ITC 3.
- (d) We meet the eligibility requirements as stated in ITC 6, and we confirm our understanding of our obligation to abide by the Client’s policy in regard to corrupt and fraudulent practices as per ITC 5.
- (e) We, along with any of our suppliers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by a any State Government or Government of India or any multilateral funding agency or any Government of the all the eligible countries.
- (f) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, in force in the country of India.
- (g) Except as stated in the Data Sheet, Clause 12.1, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC Clause 12 and ITC Clause 28.4 may lead to the termination of Contract negotiations.
- (h) We confirm that our Application is valid for a period of 120 (one hundred and twenty) days from ..... (Application submission online Due Date)
- (i) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 34.2 of the Data Sheet.

We understand that the Client is not bound to accept any Proposal that the Client receives.

We remain,

Yours sincerely,

Authorized Signature {In full and initials}: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Consultant (company’s name or JV’s name): \_\_\_\_\_

In the capacity of: \_\_\_\_\_

Address: \_\_\_\_\_

Contact information (phone and e-mail): \_\_\_\_\_

**{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}**

**APPENDIX 2: FORMAT FOR POWER OF ATTORNEY FOR SIGNING OF APPLICATION**

(On Non – judicial stamp paper of Rs 1000/- or such equivalent amount and document duly attested by notary public)

**Power of Attorney**

Know all men by these presents, we ..... (name and address of the registered office) do hereby constitute, appoint and authorise Mr. / Ms..... (name and residential address) who is presently employed with us and holding the position of ..... as our attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to our application for **assisting JSCL to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab (the “Project”)**, including signing and submission of all documents and providing information / responses to JSCL, representing us in all matters before JSCL, and generally dealing with JSCL in all matters in connection with our bid for the said Project.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us.

For \_\_\_\_\_

(Signature)

(Name, Title and Address)

Accepted

..... (Signature)

(Name, Title and Address of the Attorney)

*Note:*

- *To be executed by the Lead Member in case of a Consortium.*
- *The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.*
- *In case the Application is signed by an authorised Director of the Applicant, a certified copy of the appropriate resolution/ document conveying such authority may be enclosed in lieu of the Power of Attorney.*

**APPENDIX 2: FORMAT FOR POWER OF ATTORNEY FOR LEAD MEMBER OF CONSORTIUM**

(On Non – judicial stamp paper of Rs 1000/- or such equivalent amount and Document duly attested by notary public)

**Power of Attorney**

Whereas Jalandhar Smart City Limited (“JSCL”) has invited applications from interested parties for **assisting JSCL to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab** (the “Project”),

Whereas, the members of the Consortium are interested in bidding for the Project and implementing the Project in accordance with the terms and conditions of the Request for Qualification Document, and other connected documents in respect of the Project, and

Whereas, it is necessary under the RFP Document for the members of the Consortium to designate the Lead Member with all necessary power and authority to do for and on behalf of the Consortium, all acts, deeds and things as may be necessary in connection with the Consortium’s bid for the Project who, acting jointly, would have all necessary power and authority to do all acts, deeds and things on behalf of the Consortium, as may be necessary in connection the Consortium’s bid for the Project.

NOW THIS POWER OF ATTORNEY WITNESSTHAT;

We, M/s. .... (Lead Member), and M/s ..... (the respective names and addresses of the registered office) do hereby designate M/s. .... being one of the members of the Consortium, as the Lead Member of the Consortium, to do on behalf of the Consortium, all or any of the acts, deeds or things necessary or incidental to the Consortium’s bid for the Project, including submission of Application for Qualification/ Application, participating in conferences, responding to queries, submission of information/ documents and generally to represent the Consortium in all its dealings with JSCL, any other Government Agency or any person, in connection with the Project until culmination of the process of bidding and thereafter till the execution of the Contract Agreement is entered into with JSCL.

We hereby agree to ratify all acts, deeds and things lawfully done by Lead Member, our said attorney pursuant to this Power of Attorney and that all acts deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us/Consortium.

Dated this the .....Day of .....2016

.....

(Executants)

**(To be executed by all the members of the Consortium)**

*Note: The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.*



**APPENDIX-3: FORMAT FOR MEMORANDUM OF UNDERSTANDING (MOU) FOR JOINT BIDDING**

(On Non – judicial stamp paper of Rs 1000/- or of appropriate value and Document duly attested by notary public)

This Memorandum of Understanding (MoU) entered into this \_\_\_\_day of \_\_\_\_\_ 2015at \_\_\_\_\_among\_\_\_\_\_ and having its registered office at \_\_\_\_\_, (hereinafter referred as”\_\_\_\_\_”, which expression unless repugnant to the context or meaning thereof includes its successors and permitted substitutes) of the First Part

and

\_\_\_\_\_ and having its registered office at \_\_\_\_\_, (hereinafter referred as”\_\_\_\_\_”, which expression unless repugnant to the context or meaning thereof includes its successors and permitted substitutes) of the Second Part

and

The parties are individually referred to as Party and collectively as Parties.

WHEREAS Jalandhar Smart City Limited (“JSCL”) has invited Request for Proposal (RFP) from Consultants interested for **assisting JSCL to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab** (“Project”) as per the terms contained in the RFP Document.

AND WHEREAS the Parties have had discussions for formation of a Consortium for bidding for the said Project and have reached an understanding on the following points with respect to the Parties’ rights and obligations towards each other and their working relationship.

**IT IS HEREBY AS MUTUAL UNDERSTANDING OF THE PARTIES AGREED AND DECLARED AS FOLLOWS:**

1. That the roles and the responsibilities of each Party at each stage of the Project shall be as follows:
2. That the Parties shall be jointly and severally liable for the execution of the Projects arising from the States, Union Territories, as the case may be and in accordance with the terms of the Contract Agreement to be executed on award of such Projects.
3. That this MoU shall be governed in accordance with the laws of India and courts in ..... shall have exclusive jurisdiction to adjudicate disputes arising from the terms herein.

In witness whereof the Parties affirm that the information provided is accurate and true and have caused this MoU to be duly executed on the date and year above mentioned.

1. First Party
2. Second Party
3. Third Party

-----  
n ----- Party

Witness:

- 1.-----
- 2.-----

**APPENDIX – 4 FINANCIAL QUALIFICATION OF THE APPLICANT**

<b>S. No.</b>	<b>Financial Year</b>	<b>Annual Turnover (Rs. crore)</b>
1	Financial Year 2012-13	
2	Financial Year 2013-14	
3	Financial Year 2014-15 / 2015-16	

*Note: The audited Financial Statements for the corresponding year has to be attached.*

Name of the auditor issuing the certificate

Name of the auditor's Firm:

Seal of auditor's Firm:

Date:

(Signature, name and designation of the authorized signatory for the Auditor's Firm)

**Appendix 5: Format for affidavit certifying that consultant (consulting firm)/ director(s) of consulting firm are FIRM ARE not blacklisted**

**(On a Stamp Paper of relevant value)**

**Affidavit**

I M/s. .... (Sole Applicant / Lead Member/ Other Member /s)), (the names and addresses of the registered office) hereby certify and confirm that we or any of our promoter/s / director/s are not barred or blacklisted by any state government or central government / department / agency/PSU in India or abroad from participating in Project/s, either individually or as member of a Consortium as on \_\_\_\_\_.

We further confirm that we are aware our Application for the captioned Project would be liable for rejection in case any material misrepresentation is made or discovered with regard to the requirements of this RFP at any stage of selection and/or thereafter during the Contract period.

Dated this .....Day of ....., 201....

Name of the Applicant

.....  
Signature of the Authorised Person

.....  
Name of the Authorised Person

Note:

*To be executed separately by all the Members in case of Consortium*

## Technical proposal Submission Forms

{Notes to Consultant shown in brackets { } throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

### CHECKLIST OF REQUIRED TECHNICAL PROPOSAL FORMS

Required for FTP or STP (v)		FORM	DESCRIPTION	Page Limit
FTP	STP			
v	v	TECH-1	Technical Proposal Submission Form.	
v		TECH-1 Attachment	If the Proposal is submitted by a joint venture, attach a letter of intent or a copy of an existing agreement.	
v		Power of Attorney	No pre-set format/form. In the case of a Joint Venture, several are required: a power of attorney for the authorized representative of each JV member, and a power of attorney for the representative of the lead member to represent all JV members	
v		TECH-2	Consultant's Organization and Experience.	
v		TECH-2A	A. Consultant's Organization	
v		TECH-2B	B. Consultant's Experience	
v		TECH-3	Comments or Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be provided by the Client.	
v		TECH-3A	A. On the Terms of Reference	
v		TECH-3B	B. On the Counterpart Staff and Facilities	
v	v	TECH-4	Description of the Approach, Methodology, and Work Plan for Performing the Assignment	
v	v	TECH-5	Work Schedule and Planning for Deliverables	
v	v	TECH-6	Team Composition, Key Experts Inputs, and attached Curriculum Vitae (CV)	

**All pages of the original Technical and Financial Proposal shall be initialed by the same authorized representative of the Consultant who signs the Proposal.**

**FORM TECH-1**

**TECHNICAL PROPOSAL SUBMISSION FORM**

---

{Location, Date}

---

To:

**GM (Project),  
Punjab Municipal Infrastructure Development Company (PMIDC),  
on behalf of Jalandhar Smart City Limited (JSCL)  
Punjab Municipal Bhawan, Local Govt. Dept.,  
Plot No. 3A, Sector 35-A,  
Chandigarh - 160035**

Dear Sirs:

We, the undersigned, offer to provide the consulting services for Assisting Jalandhar Smart City Limited (JSCL) to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab in accordance with your Request for Proposals dated [Insert Date] and **our Proposal for Low Cost Based Selection (LCBS) method of selection**. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate sealed envelope”.

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: “of our letter of intent to form a joint venture” or, if a JV is already formed, “of the JV agreement”} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

{OR

If the Consultant’s Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and address of each Sub-consultant. }

We hereby declare that:

- (a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Client.

- (b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 12.1.
- (c) We have no conflict of interest in accordance with ITC 3.
- (d) We meet the eligibility requirements as stated in ITC 6, and we confirm our understanding of our obligation to abide by the Client’s policy in regard to corrupt and fraudulent practices as per ITC 5.
- (e) We, along with any of our sub-consultants, suppliers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by a any State Government or Government of India or any multilateral funding agency or any Government of the all the eligible countries.
- (f) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, in force in the country of India.
- (g) Except as stated in the Data Sheet, Clause 12.1, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC Clause 12 and ITC Clause 28.4 may lead to the termination of Contract negotiations.
- (h) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 34.2 of the Data Sheet.

We understand that the Client is not bound to accept any Proposal that the Client receives.

We remain,

Yours sincerely,

Authorized Signature {In full and initials}: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Consultant (company’s name or JV’s name): \_\_\_\_\_

In the capacity of: \_\_\_\_\_

Address: \_\_\_\_\_

Contact information (phone and e-mail): \_\_\_\_\_

**{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}**

**FORM TECH-2**

**CONSULTANT’S ORGANIZATION AND EXPERIENCE**

Form TECH-2: a brief description of the Consultant’s organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant’s Key Experts and Sub-consultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant’s role/involvement.

**A - Consultant’s Organization**

1. Provide here a brief description of the background and organization of your company, and – in case of a joint venture – of each member for this assignment.
  
2. Include organizational chart, a list of Board of Directors, and beneficial ownership

**B - Consultant’s Experience**

**APPENDIX-B1 TECHNICAL QUALIFICATIONS EXPERIENCE**

(The following table shall be filled in by the Consultant and for each Member of the Consortium)

List projects which are similar to that in the RFP for urban development projects for new city / towns, retrofitting / redevelopment of the existing city, industrial park, Special Economic Zone (SEZ), integrated townships.

Assignment name: (List constructed size in sq km for UD project)	Total Value of the project (in current INR):
State: Location:	Value of the services provided by your firm under the contract (INR):
Name of Client:	Start Date (month/year) End Date (Month/Year) Duration of assignment(in years):
Address:	Total No. of your staff-months on the assignment:



Name of lead firm/partner:	No. of professional staff-months provided by associated / other Consultants:
Name of associated Consultants, if any:	List Key Attributes similar to this Assignment:
Is any of your proposed associated consultants involved in this assignment:	
Brief narrative description of Project:	
Brief description of firms actual services within the assignment:	

**APPENDIX-B2 TECHNICAL QUALIFICATIONS EXPERIENCE**

(The following table shall be filled in by the Consultant and for each Member of the Consortium)

List projects which are similar to that in the RFP for project management assignments in the sectors in Water supply, Storm Water drainage, Sewerage System, Urban Design & Landscape, Urban Transport, Streetlights, Power / Energy and Solid waste management.

Assignment name: (List constructed size in sq km for UD project)	Total Value of the project (in current INR):
State: Location:	Value of the services provided by your firm under the contract (INR):
Name of Client:	Start Date (month/year) End Date (Month/Year) Duration of assignment(in years):
Address:	Total No. of your staff-months on the assignment:
Name of lead firm/partner:	No. of professional staff-months provided by associated / other Consultants:
Name of associated Consultants, if any:	List Key Attributes similar to this Assignment:
Is any of your proposed associated consultants involved in this assignment:	
Brief narrative description of Project:	
Brief description of firms actual services within the assignment:	

**APPENDIX-B3 TECHNICAL QUALIFICATIONS EXPERIENCE**

(The following table shall be filled in by the Consultant and for each Member of the Consortium)

List projects which are similar to that in the RFP for projects in the area of Information Communication & Technology (ICT), E-Governance, Smart Solutions.

Assignment name: (List constructed size in sq km for UD project)	Total Value of the project (in current INR):
State: Location:	Value of the services provided by your firm under the contract (INR):
Name of Client:	Start Date (month/year) End Date (Month/Year) Duration of assignment(in years):
Address:	Total No. of your staff-months on the assignment:
Name of lead firm/partner:	No. of professional staff-months provided by associated / other Consultants:
Name of associated Consultants, if any:	List Key Attributes similar to this Assignment:
Is any of your proposed associated consultants involved in this assignment:	
Brief narrative description of Project:	

Brief description of firms actual services within the assignment:

**APPENDIX-B4 TECHNICAL QUALIFICATIONS EXPERIENCE**

(The following table shall be filled in by the Consultant and for each Member of the Consortium)

List projects which are similar to that in the RFP for projects in Public Private Partnership (PPP) in infrastructure.

Assignment name: (List constructed size in sq km for UD project)	Total Value of the project (in current INR):
State: Location:	Value of the services provided by your firm under the contract (INR):
Name of Client:	Start Date (month/year)

	End Date (Month/Year) Duration of assignment(in years):
Address:	Total No. of your staff-months on the assignment:
Name of lead firm/partner:	No. of professional staff-months provided by associated / other Consultants:
Name of associated Consultants, if any:	List Key Attributes similar to this Assignment:
Is any of your proposed associated consultants involved in this assignment:	
Brief narrative description of Project:	
Brief description of firms actual services within the assignment:	

1. List only previous similar assignments successfully completed or substantially completed projects<sup>3</sup>. Projects in which the designing, DPR preparations, and procurement has been completed and monitoring of project execution is on-going, will be considered as substantially completed and accounted for this purpose.
2. List only those assignments for which the Consultant was legally contracted by the Client as a company or was one of the joint venture partners. Assignments completed by the Consultant’s individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant’s partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Consultant should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by the Client.

<sup>3</sup> For similar assignments successfully completed or substantially completed, copy of Contract agreement along with Certificate of either substantially completed or completed, from the competent authority needs to be attached.

**FORM TECH-3 (FOR FULL TECHNICAL PROPOSAL)**

**COMMENTS AND SUGGESTIONS ON THE TERMS OF REFERENCE, COUNTERPART STAFF, AND FACILITIES TO BE PROVIDED BY THE CLIENT**

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Form TECH-3: comments and suggestions on the Terms of Reference that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc.

**A - On the Terms of Reference**

{improvements to the Terms of Reference, if any}

**B - On Counterpart Staff and Facilities**

{comments on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}

**FORM TECH-4 (FOR FULL TECHNICAL PROPOSAL ONLY)**

**DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN IN RESPONDING TO THE  
TERMS OF REFERENCE**

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Form TECH-4: a description of the approach, methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{Suggested structure of your Technical Proposal (in FTP format):

- a) Technical Approach, Methodology and presentation
  - b) Work Plan
  - c) Organization and Staffing }
- 
- a) **Technical Approach, Methodology and presentation.**{Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (ToRs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. Please do not repeat/copy the ToRs in here.}
  - b) **Work Plan.**{Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form. }
  - c) **Organization and Staffing.**{Please describe the structure and composition of your team, including the list of the Key Experts, Non-Key Experts and relevant technical and administrative support staff. }

**Note: Please enclose detail for category a, b and c separately**

**FORM TECH-5 (FOR FTP AND STP)**

**WORK SCHEDULE AND PLANNING FOR DELIVERABLES**



N°	Deliverables <sup>1</sup> (D-..)	Months												
		1	2	3	4	5	6	7	8	9	.....	n	TOTAL	
<b>A-1</b>	(e.g. Module 1, Activity #1)													
	Integrated Projectctisation													
	Review of existing status of physical infrastructure													
	Carry out necessary surveys													
<b>A-2</b>	{e.g., Module 1 Activity #2:.....}													
	Preparation of feasibility Study													
<b>A-n</b>														

- 1 List the deliverables with the breakdown for activities (A) required to produce them and other benchmarks such as the Client’s approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in a form of a bar chart.
- 3 Include a legend, if necessary, to help read the chart.

**FORM TECH-6 (FOR FTP AND STP)**  
**TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS’ INPUTS**

N°	Name	Expert’s input (in person/month) per each Deliverable (listed in TECH-5)										Total time-input (in Months)			
		Position		D-1		D-2		D-3	.....	D-...			Home	Field	Total
<b>KEY EXPERTS (Core Team)</b>															
K-1	{e.g., Mr. Abbbb}	[Team Leader]	[Home]	[2 month]	[1.0]	[1.0]									
K-2															
K-3															
n															
											<b>Subtotal</b>				
<b>NON-KEY EXPERTS</b>															
N-1			[Home]	[2 month]	[1.0]	[1.0]									
			[Field]	[0.5 m]	[2.5]	[0]									
N-2															
n															
											<b>Subtotal</b>				
											<b>Total</b>				

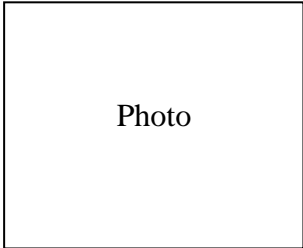
- 1 For Key Experts, the input should be indicated individually for the same positions as required under the Data Sheet ITC21.1.
- 2 Months are counted from the start of the assignment/mobilization. One (1) month equals twenty two (22) working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours.
- 3 “Home” means work in the Project Office at ..... (AP), India in the expert’s country of residence. “Field” work means work carried out in the Client’s country or any other country outside the expert’s country of residence but not at Project Office i.e. not physically present in ..... (AP), India .

Full time input   
Part time input 



**FORM TECH-6  
(CONTINUED)  
CURRICULUM VITAE (CV) FOR PROPOSED  
PROFESSIONAL STAFF**

1. Proposed Position:  
[For each position of key professional separate form Tech-6 will be prepared]:
  
2. Name of Firm:  
[Insert name of firm proposing the staff]:
  
3. Name of Staff:  
[Insert full name]:
  
4. Date of Birth:
  
5. Nationality:
  
6. Education:  
[Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]:
  
7. Membership of Professional Associations:
  
8. Other Training:
  
9. Countries of Work Experience:  
[List countries where staff has worked in the last ten years]:
  
10. Languages [For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing]:
  
11. Employment Record:  
[Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held.]:



From [Year]:

To Year]:

Employer:

Positions held:

12. Detailed Tasks Assigned

[List all tasks to be performed under this Assignment/job]

13. **Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned**  
*[Among the Assignment/jobs in which the staff has been involved, indicate the following information for those Assignment/jobs that best illustrate staff capability to handle the tasks listed under point 12.]*

Name of Assignment/job or  
project: Year:

Location:

Employer:

Main project features:

Positions held:

Activities performed:

**14. Adequacy for the Assignment:**

<p><b>Essential Skill Sets</b>  1. (Area of Skill tests)  2.  3.</p>	<p>Quote the Serial No. of assignment handled by you which provides this skill sets.</p>
<p>{List all deliverables/tasks as in TECH- 5 in which the Expert will be involved) with specific reference to Jalandhar Smart City Proposal.</p>	

15. **Certification:**

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

(Signature of staff / employee )

Date:

(Signature of Employer / Consultant)

Place:

[Full name of authorized representative]:

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## Section 4. Financial Proposal - Standard Forms

{*Notes to Consultant* shown in brackets { } provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

- FIN-1 Financial Proposal Submission Form
- FIN-2 Summary of Costs
- FIN-3 Breakdown of Remuneration, including Appendix A “Financial Negotiations -
- FIN-4 Reimbursable expenses

**FORM FIN-1**

**Financial Proposal Submission Form**

[Location, Date]

To: [Name and address of Employer]

Dear Sirs:

We, the undersigned, offer to provide the consulting Assignment/job for [Insert title of Assignment/job] in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures<sup>4</sup>]. This amount is inclusive of the Domestic taxes. We hereby confirm that the financial proposal is unconditional and we acknowledge that any condition attached to financial proposal shall result in reject of our financial proposal.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Paragraph 4 of the Part II Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Yours sincerely,

Authorized Signature [In full and initials]:

Name and Title of Signatory:

Name of Firm:

Address:

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<sup>4</sup> Sub Total (A) as per Form FIN 2

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**FORM FIN-2****Summary of Costs**

S.No.	Particulars	Form	Amount in Rupees *	Amount in words
<b>A. Competitive Components</b>				
1	Remuneration	FIN 3		
2	Reimbursable expenses	FIN 4		
<b>Sub Total (A)<sup>5</sup></b>				
<b>B. Non Competitive Components</b>				
3	Provisional Sum			
4	Contingency			
<b>Sub Total (B)</b>				
<b>Total Cost of Financial Proposal (A+B)</b>				
5	Service Tax / Any other tax			
<b>GRAND TOTAL</b>				

Authorized Signature  
Name: .....  
Designation .....  
Name of firm:  
Address:

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<sup>5</sup> **The Evaluation of Proposal shall be done on Competitive Components only**

**FORM FIN-3****Breakdown of Remuneration  
(Key Professionals and Support Team)**

S.No.	Name of Staff	Position	Man Month Rates (A) in Rupees	Proposed Man Months (B)	Total Amount in Rupees.* (A)*(B)
Key Professionals (Core Team) plus Support Team					
1					
2					
3					
4					
	Office Staff				
	<b>Total</b>				

1 Key Professionals are to be indicated by name

2 Office Staff is to be indicated per category (e.g: Draftsman, Assistant etc.)

**Total Remuneration = \_\_\_\_\_ Amount in Rupees**

(Amount in Words) :

**Note:**

- 1 Key Professionals (Core Team) and Support Team should be indicated individually; Office Staff should be indicated per category (e.g.: draftsmen, Assistants etc.). Cost of Secretarial services, if any, will be indicated in form Fin-4.
- 2 Positions of Key Professionals shall coincide with the ones indicated in Form TECH-5 & 7.
- 3 Indicate separately staff-month rate for each activity separately.
- 4 The proposed man month of engagement of staff has been indicated against the professionals.
- 5 There are four lists of man month rates of key professionals (Core Team), support team (for development and implementation) and support team (for facilitation and implementation) and office staff quoted above. **For technical bid evaluation, CVs of only key professionals (Core Team) shall be considered whereas for financial evaluation all the four categories mentioned above shall be considered.**
- 6 Actual deployment of number of key professionals and support team will be decided mutually between client and the Consultant after every quarter i.e. three months or for such period as may be mutually agreed upon based on the actual requirement of such staff.

**FORM FIN-4****Breakdown of Reimbursable Expenses**

S. No.	Description	Unit	Quantity	Unit Price (in Rs.)	Total Amount (in Rs.)
1.	Per diem allowance, including hotel allowance, for experts for every day of absence from the home office for the purposes of the Services	Per day	Xx (to be estimated)		
2.	Cost of office operation, including overheads and back-stop support at Jalandhar .	Per Month	36		
3.	Cost of office accommodation in city with power and water charges complete	Per Month	36		
4	Local Transport at Office	Vehicle Month	36		
5	Communication Costs to all personnel and Office at office	Per Month	36		
6.	Cost of Reports Production (including printing) and delivering to the Client.	Per Month	36		
7	Other Allowances where applicable				
<b>Sub Total: Reimbursable Expenses carried to FIN 2</b>					
<b>PROVISIONAL SUMS</b>					
i	Office Equipment	LS	To be estimated and indicated		
ii	Survey, Tests, Investigation and Reports etc	LS	To be estimated and indicated		
iii	Workshops and Seminar	LS	To be estimated and indicated		
iv	Intercity Travels	LS	To be estimated and indicated		
<b>Sub Total: Provisional Sums</b>					
<b>Contingency</b>					To be clearly indicated in RFP. Approx. 5-10% of the estimated cost
<b>TOTAL: REIMBURSABLE +PROVISIONAL SUM +CONTINGENCY</b>					

**Total Reimbursable: = \_\_\_\_\_ Total amount in Rupees.**  
Amount in words:

## **Section 5. Eligible Countries**

**In reference to ITC 6.3**, for the information of Consultants, at the present time firms, goods and services from the following countries are excluded from this selection: **None**



## Section 6. Corrupt and Fraudulent Practices

- 6.1 The Applicants and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Selection Process. Notwithstanding anything to the contrary contained in this RFP, the Client shall reject a Proposal without being liable in any manner whatsoever to the Applicant, if it determines that the Applicant has, directly or indirectly or through an agent, engaged in corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice (collectively the “Prohibited Practices”) in the Selection Process. In such an event, the Client shall, without prejudice to its any other rights or remedies, forfeit and appropriate the Performance Security, if available, as mutually agreed genuine pre-estimated compensation and damages payable to the Authority for, *inter alia*, time, cost and effort of the Authority, in regard to the RFP, including consideration and evaluation of such Applicant’s Proposal.
- 6.2 Without prejudice to the rights of the Client under Clause 6.1 hereinabove and the rights and remedies which the Authority may have under the LOA or the Agreement, if an Applicant or Consultant, as the case may be, is found by the Authority to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice during the Selection Process, or after the issue of the LOA or the execution of the Agreement, such Applicant or Consultant shall not be eligible to participate in any tender or RFP issued by the Authority during a period of 2 (two) years from the date such Applicant or Consultant, as the case may be, is found by the Authority to have directly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as the case may be.
- 6.3 For the purposes of this Clause, the following terms shall have the meaning hereinafter respectively assigned to them:
- (a) “corrupt practice” means (i) the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of any person connected with the Selection Process (for avoidance of doubt, offering of employment to or employing or engaging in any manner whatsoever, directly or indirectly, any official of the Authority who is or has been associated in any manner, directly or indirectly with the Selection Process or the LOA or has dealt with matters concerning the Agreement or arising therefrom, before or after the execution thereof, at any time prior to the expiry of one year from the date such official resigns or retires from or otherwise ceases to be in the service of the Authority, shall be deemed to constitute influencing the actions of a person connected with the Selection Process; or

- (ii) save as provided herein, engaging in any manner whatsoever, whether during the Selection Process or after the issue of the LOA or after the execution of the Agreement, as the case may be, any person in respect of any matter relating to the Project or the LOA or the Agreement, who at any time has been or is a legal, financial or technical consultant/ adviser of the Client in relation to any matter concerning the Project;
- (b) “fraudulent practice” means a misrepresentation or omission of facts or disclosure of incomplete facts, in order to influence the Selection Process;
- (c) “coercive practice” means impairing or harming or threatening to impair or harm, directly or indirectly, any persons or property to influence any person’s participation or action in the Selection Process;
- (d) “collusive practices” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party<sup>6</sup>;
- (e) “undesirable practice” means (i) establishing contact with any person connected with or employed or engaged by the Authority with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Selection Process; or (ii) having a Conflict of Interest; and
- (f) “restrictive practice” means forming a cartel or arriving at any understanding or arrangement among Applicants with the objective of restricting or manipulating a full and fair competition in the Selection Process.

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<sup>6</sup> For the purpose of this sub-paragraph, “parties” refers to participants in the procurement or selection process (including public officials) attempting either themselves, or through another person or entity not participating in the procurement or selection process, to simulate competition or to establish prices at artificial, non-competitive levels, or are privy to each other’s bid prices or other conditions.

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## Section 7. Terms of Reference

### 7.1. Background

- 1.1.1 Government of India has announced the list of 20 cities to be taken up for development as smart cities in 1<sup>st</sup> round. The cities have to now move towards converting their plan proposals to projects.

### 7.2. Objective of the Assignment

- 7.2.1. The objective of the assignment is to provide direct assistance to Jalandhar Smart City Limited to Design, Develop, Manage and Implement Smart City Projects under Smart City Mission (SCM) in Jalandhar City of Punjab as per para 10.6 of Smart City Mission Guidelines. The city SPV may also undertake other allied urban development projects (other than Smart City projects) in alignment with the City's vision of urban transformation.

### 7.3. Scope of Services

1. The Consultant shall support the Jalandhar Smart City Limited (JSCL) in overall project management of Smart City projects of Jalandhar City, including designing, developing, managing and implementing smart city projects. The Consultant shall also support the Smart City SPV, in the aforesaid functional areas, for any other allied urban development projects (other than Smart City projects) towards achieving the city's vision of urban transformation. The Smart City projects have been identified by the Jalandhar City on the following two outputs:
  - (i) Output1: Area Based Development
  - (ii) Output2: Pan-city Solution
2. Under this assignment the consultant is required to review projects identified by the Smart City, for Area Based Development as well as for Pan City Solution (Smart City Proposal Can be downloaded from the website of Smart City mission <http://smartcities.gov.in/winningCityp1.htm>). The project detail (module wise) is attached at **Annexure I [Attach smart city project detail (Module wise)]**. The consultant will carry out required investigations, design, prepare feasibility report, Preliminary Design Report/ Detail Design Report (PDR/DPR), and assist in procurement of implementing partner/agency (ies) expeditiously. The PMC shall assist Client in preparation of RFPs for the procurement of implementing partner(s)/ Agency (ies). The PMC shall not sub contract any of the activities defined in the scope of the assignment to any other consulting firm.
3. The PMC shall also assist the Client in supervision & monitoring of the work of implementing agencies and shall be responsible for overall management of the project.

- 
4. The RFPs prepared by the PMC for procurement of implementing partner/agency(ies) for implementation of Smart City Projects, will follow International Competitive Bidding (ICB) method .

Without limiting the scope, the PMC shall be responsible for the following tasks:

**7.3.1. Area Based Development & Paucity Projects:**

**A. Project Development**

- i. Integrated Projectisation - The consultant will review and re-verify the integrated modules (group of projects) in the smart city proposal and regroup them into modules in consultation with the Client.
- ii. Consult the available documents such as city development plans /strategy plans, sanitation plans. mobility plan and review feasibility study etc.
- iii. Review existing status of physical Infrastructure and other available secondary data.
- iv. Identify requirements of surveys, studies and investigations;
- v. Carry out necessary surveys, investigations, situational analysis, cost benefit analysis, prepare preliminary project cost estimates.
- vi. Review the available GIS maps and integrate them to develop area wise spatial mapping on assets.
- vii. Prepare feasibility study report of modules (group of projects) to ascertain both technical and financial viability based on financial models. The feasibility report should describe the various technical options with recommendation for most appropriate option
- viii. Preparation of project development and implementation road map, project prioritization, carryout project phasing matrix and risk mitigation plan.
- ix. Review land availability, rehabilitation - resettlement & environmental issues for identified projects
- x. Coordinate with stakeholders and other departments of central and state governments wherever convergence is required, to facilitate integration with AMRUT, Digital India, Skill India, Make in India and any other urban development initiative etc. and develop module wise action plan for completion of work
- xi. Identify the possibility of private / public participation in the service delivery, as feasible and applicable and prepare contract document for such packages.

- 
- xii. Identify, develop and promote business models and modules in the implementation of Smart City project activities, which can be undertaken by startups, private ventures as independent business activities which form part of and serve the objectives of Smart City projects.
  - xiii. Based on the approved feasibility report prepare module wise preliminary/detailed designs report (PDR/DPR) as per requirement of the project in accordance with established engineering practices, tender drawings, and cost estimates etc.
  - xiv. For preparing DPR, the consultant will carry out all the required engineering surveys and investigations<sup>7</sup> such as total station/LiDAR survey, geotechnical investigation, soil survey, construction material survey, ground water investigation i.e. hydro-geological investigations, rainfall data collection, identification of underground utilities and their mapping, water sampling and analysis etc. including sufficient off-site information to allow relationship with possibly useable off-site infrastructure to be established.
  - xv. The PDR/DPR should also include assessment of utility shifting requirements and costs estimations including O&M requirements and estimates; preparing document required for statutory clearances and other clearances like Railway, Forest, National Highways etc. including environment management plan (EMP) and mitigation measures;
  - xvi. Assist the Client on technical, commercial, financial, and legal aspects for project development as per requirement.
  - xvii. Finalize arrangement for contracting including exploring options for PPP/ Service Level Agreements;
  - xviii. Provide transaction advisory and bid process management support including preparation of bid documents, managing bid process including assist in issuing of bid invitation, addendum/corrigendum, and clarifications to the bidders queries, bid evaluation, selection of contractors, award of contract and signing of contract(s);
  - xix. The draft contract to be included in the bidding document shall among other things, clearly define the obligations of the implementing agencies including specifying rules and procedures to address non-performance of contractual obligations.

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<sup>7</sup> Required Survey and Investigations in consultation and approval of the Client

- 
- xx. The Client may get the bid document certified/accredited by Independent Agency such as CBUD (MoUD).

**B. Project Facilitation and Implementation (Construction Supervision and Contract Management)**

During the project implementation of the module(s)(group of projects), the Consultant shall:

- i. Assist Client to conduct stakeholder consultation during design and implementation process.
- ii. Provide advice and guidance to the *Client* for modern procedures and guidelines for project implementation and management in general.
- iii. Contract administration and Management of the modules;
- iv. Develop technical specifications for each Module
- v. Supervise and monitor construction work of each contracted module;
- vi. Scrutinize the implementing agency's detailed work program and guide implementing agency in preparation of supervision schedule/ work plan for each module;
- vii. Scrutinize construction methods proposed by implementing agency including environmental, safety, personnel and public issues;
- viii. Assess the adequacy of the contractors' inputs in material, labor and construction methodology and provide advisory whenever required;
- ix. Formulate a rehabilitation & resettlement framework as per requirement and monitor implementation of Social safeguards & environmental standards, if any.
- x. Carry out necessary quality control activities and certify that the quality of works conforms to the specifications and drawings;
- xi. Supervising the construction of various contract packages for related outputs of the Program
- xii. Record the work measurement jointly by PMC and Client and certify the contractor's bill and recommend for making payments to Client.;
- xiii. Assist the Client in interim and final certification of the bills of payment;
- xiv. Furnish the detailed construction drawings as necessary during continuance of the contract or checking and recommendation of drawings for approval as required;
- xv. Assist for resolution of all contractual issues including examining the contractor's claims for variations/ extensions or additional compensations etc and prepare recommendations for approval by the Client;
- xvi. Assist third party inspection of work carried out by implementation agency(ies), if necessary, as decided by Client;

- 
- xvii. Assist Client in obtaining all necessary permissions and complying with statutory requirements as required prior to construction, such as permissions from line departments viz. Railway, Road Transport, Highways, Department of Archaeology, Department of Forests and National Parks etc.
  - xxviii. Review and issuance for execution of contractors' design and drawings with approval of Client for lump sum turnkey contracts and review the project documents and give recommendations as required for PPP projects.
  - xix. Review and finalize the "as built" drawings submitted by Contractor;
  - xx. Assist the Client in issue of completion certificates;
  - xxi. Inspect the works at appropriate intervals during defect liability period and certification issue;
  - xxii. Prepare on behalf of *Client* monthly project progress reports describing the physical and financial progress of each subproject, highlighting impediments to the quality and progress of the works and remedial actions, to be submitted to Client ;
  - xxiii. Assist *Client* in monitoring of progress as per the ICT based Program Performance Monitoring System (PPMS) or as required by Client ;
  - xxiv. Develop and maintain ICT based project management information system (PMIS) to track project progress and generate MIS progress reports such as physical and financial progress.
  - xxv. Develop and implement procedure for timely payments to the implementing agency(ies) and monitor for compliance.
  - xxvi. Support Client in overall Project Management and coordination with implementing agencies, government agencies, private players, technology service providers and others.
  - xxvii. Support Client to meet compliance requirements as and when required.
  - xxviii. Support Client in documentation and presentation of outputs
  - xxix. Prepare Capacity building plan and Change Management Plan
  - xxx. Monitor and maintain issue tracker and keep on updating the status of all risks and issues from time to time.
  - xxxi. The projects of Water Supply, Sewerage and storm water drain (design, develop ) within the municipal - limits of the ..... Municipal - and managing and implementation in ABD area only.

### 7.3.2. Smart Solution (ABD & Pan-city Projects)

#### A. As-Is (Situation Analysis)

- i. Review existing available documents & infrastructure on the proposed smart solution and integrate them into modules..

- 
- ii. Prepare the separate As-Is of each ABD & Pan Solution module.
  - iii. Identify key stakeholders from City/ Official/ Elected Representatives/ Concerned NGOs, Eminent Citizens, Representative from Premium Institutes of the City/ State, Representatives of Business Organization in consultation with the MD/ CEO of JSCL etc. for consultation.
  - iv. Evaluation of existing Broadband infrastructure in the city including both Government and Private Sectors to identify existing connectivity gaps (Fiber availability, Network Hubs, Redundancy etc.).
  - v. Prepare & submit the locations with coordinates (e.g. electricity poles, stations/ sub stations, water zones etc.), for the sensors & devices to be mapped in GIS for Water/ Sewerage/ Solid Waste/ Street Lighting/others Management.
  - vi. Identify & prepare the interfaces of integration between the module under As-Is.
  - vii. Submit a simple and clear architecture of whole As-Is system consisting of all ABD and Pan Solutions modules in integrated manner.

## **B. Feasibility Study**

### **a. To-Be & Requirement Specifications**

- i. Prepare the separate To-Be of each ABD Project & Pan Solution.
- ii. Identify & prepare the interface of integration between the projects under To-Be.
- iii. Submit a simple & clear architecture of whole To-Be system consisting of all ABD & Pan Solution modules in an integrated manner.
- iv. Prepare the functional & non-functional requirements specifications.
- v. Prepare Networking and connectivity requirements
- vi. Identify & prepare Data digitisation requirements
- vii. Identify & prepare Training requirements
- viii. Study various technologies and suggest the most viable techno-economic solution.
- ix. Prioritize the activities of the projects/components and prepare a tentative implementation plan.
- x. Identify risks and suggest mitigations plans.



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**b. Financial Implications and Viability**

- i. Identify financial implications involved in the project based on the techno-economic estimated cost.
- ii. Identify the possibility of private/public participation in the service delivery of the project, as feasible and applicable.
- iii. The financial viability of the project based on different financial models and recommend suitable model for each module indicating the rationale.
- iv. Assist Client in consultation for each sub project or group of sub projects as applicable, with stakeholders to discuss the project wise scope identified and future use of the suggested solution architecture.

**C. Detailed Project Report (DPR)**

- i. Prepare a DPR including but not limited to the following:
  - a) Module components/ phases
  - b) Analyze the future projections and demand assessment.
  - c) Prepare conceptual plan for implementation & integration of different components into the system.
  - d) Solution Architecture, Technical Requirements, Application Architecture, Technology & Deployment Architecture, Network & Server Architecture, Security Architecture, integration with other initiatives, Service Level Agreements & Monitoring Tool.
  - e) Standardization Requirements
  - f) Detailed Bill of Material for the complete integrated System

**D. Bid Process Management for selection of System Integrator (SI)**

The bid process management shall include;

- i. Prepare the RFP Documents
- ii. Coordinate Bid Process Management
- iii. Support in evaluation of bids and selection of SI
- iv. The Client may get the bid document certified/accredited by Independent Agency such as **the Department of Electronics and Information Technology (DeitY)**, a division of The Ministry of Communications and Information Technology (GoI)/ CBUD(MoUD).

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## **E. Smart Solution Project Implementation Support**

The project implementation support shall include

- i. Project Management Activities
  - a) Develop the project plan and project charter
  - b) Coordinate workshops and discussion meetings between Client , State IT Department, State Line Departments, Municipal - Officials, SI, MoUD/(GoI) etc.
  - c) Co-ordinate DPR submissions/approvals of SI.
  - d) Responsible for reviewing the deliverables submitted by SI within a period of 2 week (or as agreed with Client ) from the receipt of that deliverable.
  - e) Highlight deviations/issues in the deliverables of SI to relevant authority within the specified time limits and assist SI and Client in resolution of issues.
  - f) Prepare Capacity building plan and Change Management Plan
  - g) Identify the legal changes required and assist in drafting and issuance of Government Orders for giving effect to the BPR
  - h) Ensure that the technology standards, guidelines & frameworks are adhered to during implementation.
  - i) Suggest and co-ordinate capacity building needs and training programs.
  - j) Monitor and maintain issue tracker and keep on updating the status of all risks and issues from time to time.
  - k) Defining the escalation mechanism for timely resolution of issues & risks.
  - l) Co-ordinate for STQC certification.
  - m) SLA monitoring and suggest changes in SLAs, if required
  - n) Monitoring the performance of the SI against the base project plan
  - o) Monitoring the performance of the overall system in terms of availability & efficiency against the service levels already defined for SI
  - p) Suggest corrective and preventive measures to Client and SI to enhance the performance of the system
  - q) Coordinate with all the stakeholders and support the state departments while interacting with various agencies (internal and external) during the course of the project.

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- r) Build mechanisms to ensure coordination and consultation between all key stakeholders and members of the Client on a continued basis to facilitate the execution of the project.
  - ii. Monitoring the deployment and commissioning of necessary hardware
    - a) Monitoring installation and commissioning of ICT infrastructure
    - b) Monitor the facility management services and help desk of the SI, to ensure system uptime
    - c) Provide fortnightly reports to Client for the status of implementation till “go-live”.
  - iii. Engaging STQC for Audit
    - a) The Consultant will be responsible to engage STQC to conduct the assessment/review of the system before rolling it out. The Consultant shall review and inspect all the procedures and systems relating to the solution.
    - b) The Consultant would be responsible for the outcome in the following areas in such a manner which results in successful STQC certification. Specifically the STQC shall look into:
      - **Application audit :**
        - a) Functionality audit *vis-a-vis* the Functional Requirement Specification (FRS) agreed upon during development phase
        - b) Determine systematic measures implemented to control and secure access to the application programs and data including password controls, user authentications, roles and responsibilities, audit trails and reporting, configuration and interface controls, etc.
        - c) Review of database structure including:
        - d) Classification of data in terms of sensitivity & levels of access
        - e) Security measures over database installation, password policies and user roles and privileges
        - f) Access control on database objects – tables, views, triggers, synonyms, etc.
        - g) Database restoration and recoverability
        - h) Audit trails configuration and monitoring process
        - i) Network connections to database
      - **Review of Network and Website will include:**
        - a) Penetration and vulnerability testing
        - b) Security exposures to internal and external stakeholders
        - c) Installation of requisite prevention systems like Intrusion Prevention Systems (IPS), etc.

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- **Review and Implementation of Security Policies and Controls will include:**
    - a) Review of backup process, including schedule, storage, archival and decommissioning of media
    - b) Physical access controls review (over DC and other critical area)
    - c) Incident management process - covering identification, response, escalation mechanisms
    - d) Anti-virus (malware) controls - patching, virus definition file update
    - e) General computer controls review
    - f) Audit of IT Infrastructure will include monitoring the deployment of IT infrastructure at various locations including Data centre and Disaster recovery centre as per the BOM specified for the SI.
    - g) Performance / SLA Audit - whether the actual level of performance of the services is the same as specified in the contract of SI.
    - h) Identify the key issues / bottlenecks in the system and suggest mitigation plans.
    - i) Overall compliance to MSA and SLA - The compliance of the implementation partner with any other obligation under the MSA and SLA.
  - iv. UAT and Go-Live Report
    - a) Assist & support to assess and certify the solution and associated infrastructure & services.
    - b) Planning, preparing & execution of the User Acceptance Test, tracing the functional requirements before the Go Live
    - c) Preparation and submission of Go-Live Report, which should shall include the following:
      - Hardware at various locations and data centre
      - Networking equipments and connectivity
      - Data digitisation and migration
      - Training to the departmental personnel
      - Handholding support
      - Integration with applications of other departments / agencies etc.
      - Any corrective or preventive actions required from any of the stakeholders
      - Highlight the changes required in the applications and ensure that the suggested changes are incorporated in the system by the SI
  - v. Monitoring the O&M
    - a) Support Client for monitoring of the compliance of the contractual obligations of the SI.
    - b) Monitor the operations and maintenance of the overall system as per the standards and requirements defined for SI including but not limited to

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resolution of issues, availability of the system, updating hardware or system software etc.

- c) Ensure that the SLAs and performance levels defined for SI are met as agreement. The Consultant shall review the SLA performance, capacity and effectiveness of the helpdesk set up by the SI.
- vi. The consultant shall be responsible for reviewing the work of System Integrator and recommend payments to the Client.

7.3.3. The Consultant shall provide support to the Client for the successful completion of the Smart City Project and its closure.

#### **7.4. Team Composition & Qualification Requirements**

7.4.1. The Professionals required for this assignment are categorized as core team and support team. The Core team shall provide full person man days' time on the project (field). The support team shall be on the need basis of the assignment.

7.4.2. Based on the scope of work, the Consultant shall assess the actual requirement of the Professionals for carrying out the assignment for different project under all [insert the number] modules during the course of the assignment. A reasonable size team of support staff like support design engineers, quantity surveyors, draft men, Junior analysts, field engineers etc. shall also be required to support the professionals. The Consulting firm may deploy the additional staff as per requirement of the assignment. The actual requirement of professionals in the core team and support team will be assessed by the Consultant and projected to the client on a quarterly basis or any other period basis. The actual deployment will be based on the deployment plan mutually agreed between the Consultant and the client. Accordingly the number of professionals in core team and support team to be actually deployed may vary from period to period.

7.4.3. The broad indicative team requirement of professionals as core team & support team and support staff has been indicated below. The consulting firm shall review the composition [position required, number of professionals and man days' for each professional] and finalize as per the requirement of the assignment (modules).

7.4.4. The CVs of the core team shall be evaluated for technical score are indicated below:

	Position	Man Months	Minimum Qualification	Minimum Experience
	<b>Core Team [for Facilitation, Development and Implementation of Project (CVs of the following professionals shall be evaluated for Technical score in technical evaluation)]</b>			
1.	Project Director	36	Masters / Bachelors Degree in Engineering or Architecture  and  Master's Degree in Business Administration (Management) / MBA	<ul style="list-style-type: none"> <li>• 20 Years experience in Urban Sector</li> <li>• Experience of Project Management in Urban Infrastructure Works. Experience as Team leader/ Deputy Team leader for minimum 5 projects.</li> <li>• Knowledge of urban development policies, issues and Project experience</li> </ul>
2.	Project Manager cum Urban Infrastructure Specialist	36	Masters / Bachelors in Civil Engineering  with  Master's Degree in Business Administration (Management) / MBA	<ul style="list-style-type: none"> <li>• 15 Years experience in Urban Sector</li> <li>• Experience in citywide urban development and infrastructure planning/ design/ implementation (water supply, sewerage/septage / SWM/ urban roads etc.) etc)</li> <li>• Knowledge of urban development issues and Project experience.</li> </ul>
3.	Project Manager (Finance)	36	Chartered Accountant / Master's Degree in Business Administration (Finance) / MBA (Finance)	<ul style="list-style-type: none"> <li>• 12 years relevant experience.</li> <li>• Experience of developing financial capacity enhancement plan for municipalities, including creating land monetization plans</li> <li>• Experience in developing business case for ULBs for market borrowing</li> <li>• Experience in raising finances and managing them for infrastructure projects</li> <li>• Experience in project finance evaluation resulting in bankable infrastructure projects</li> </ul>

	<b>Position</b>	<b>Man Months</b>	<b>Minimum Qualification</b>	<b>Minimum Experience</b>
				<ul style="list-style-type: none"> <li>• Experience in evaluating projects across central, state and ULBs</li> <li>• Innovative instrument design for investment in large infrastructure projects.</li> </ul>
4.	Procurement / Contract and Legal Expert	36	Degree in Engineering/ Management/ law with advanced degree, diploma or training in contracts and procurement administration.	<ul style="list-style-type: none"> <li>• 10 years experience in the area of public procurement</li> <li>• Experience in construction contract procurement /management in infrastructure projects.</li> <li>• Experience in optimal contract design for complex infrastructure projects or public procurement at state, central or ULB level in infrastructure</li> <li>• Experience in getting on-board technical consultants to create DPR, and then awarding these packages to different vendors based on package strategy</li> <li>• Experience in contract drafting &amp; negotiating well to get the best possible contracts along with performance guarantees.</li> </ul>
5.	Project Manager – Controls (Execution, Monitoring, evaluation expert).	36	Post Graduation degree in Engineering or Construction Management / Advance Training in Multiple Project Management Softwares	<ul style="list-style-type: none"> <li>• Minimum 10 years experience.</li> <li>• Last 5 years in senior project controls role</li> <li>• Experience with web based controls tools</li> <li>• Work experience in scheduling / trend analysis and using leading PM tools like MS projects, primavera, PCM, Excel, data base reporting etc.</li> </ul>
6.	E-Governance / ICT Expert	36	Degree in Computer Science or MCA or	<ul style="list-style-type: none"> <li>• Minimum experience 10 years</li> <li>• Experience of simplifying</li> </ul>

	<b>Position</b>	<b>Man Months</b>	<b>Minimum Qualification</b>	<b>Minimum Experience</b>
			equivalent & MBA	<p>Ease of Doing Business at Centre, State or ULB level</p> <ul style="list-style-type: none"> <li>• Deep understanding of e-governance toolkit and experience of working with e-Governance projects at ULB, State and Central level of Govt.</li> <li>• Experience in implementing urban e-governance solutions</li> <li>• Experience of working with mission mode e-Governance projects at ULB, State and Central level of Govt.</li> <li>• Experience in design and implementation of ICT based Smart Solutions in infrastructure.</li> </ul>
7.	Water Supply, Sewerage, Drainage Utilities Expert	36	Degree in Civil Engineering with experience of design and monitoring of water supply/ sewerage / drainage Projects.	<ul style="list-style-type: none"> <li>• 15 years' experience in water supply projects</li> <li>• Design and restructuring of water supply / sewerage / drainage / distribution network projects</li> <li>• Experience in Operation &amp; Maintenance of Urban Water Supply / sewerage / drainage schemes.</li> </ul>
8.	Urban Transportation Specialist	36	Masters Degree in Transportation Planning/ Transportation Engineering/ Highway Engineering/ Highway Planning	<ul style="list-style-type: none"> <li>• 10 years of experience in the area of Urban Transport Planning</li> <li>• Experience in urban Mobility Plan preparation, Transit Oriented Development (TOD) /</li> <li>• Experience in designing and implementing mass transport systems and traffic management systems.</li> </ul>
9.	Urban Planner / Architect / Urban Designer	24	Masters Degree in Urban Planning / Urban Design / City, Regional Planning	<ul style="list-style-type: none"> <li>• 12 years' experience in integrated land use planning</li> <li>• Experience in GIS based land use planning, preparing</li> </ul>



	Position	Man Months	Minimum Qualification	Minimum Experience
				Master Plans for large cities / urban areas / industrial townships <ul style="list-style-type: none"> <li>• Experience developing Development Control Regulations</li> <li>• Knowledge of land management tools like land pooling, TDR etc</li> <li>• experience in Urban research</li> </ul>
<b>Support Team (Development and Implementation Professional) : The CVs shall be submitted by the Consultant for the following professionals but shall not be evaluated for technical scores in technical evaluation:</b>				
1.	Sr. Business Analyst / Business Process Reengineering( BPR) Specialist	24	Degree in Engineering / Technology with MBA	<ul style="list-style-type: none"> <li>• 10 years' relevant experience</li> <li>• Worked in similar capacity for at least 7 years.</li> <li>• Should have worked on at least 1 (one) urban project in government sector in similar capacity.</li> </ul>
2.	Landscape / pavement design Architects	24 x 2 =48 (2 Nos)	Master's degree in Landscape Architecture with Degree in Architecture	<ul style="list-style-type: none"> <li>• 10 years' relevant experience</li> <li>• Experience in preparation of technical requirement plans / document pavement design /street scape/ landscaping etc.</li> </ul>
3.	Construction Manager	24 x 2 = 48 (2 Nos.)	Degree in Civil Engineering	<ul style="list-style-type: none"> <li>• 10 years' experience in construction management of Urban Service delivery projects (Water Supply/ Sewerage/ Drainage / Drainage/ Solid Waste Management).</li> <li>• Knowledge of different construction methodologies.</li> <li>• Knowledge of latest Project Management tools</li> </ul>
4.	Urban Designer	12	Masters in Urban Design/Architecture or equivalent	<ul style="list-style-type: none"> <li>• 10 years experience in Urban Designing of a heritage precinct / green field / brown field in urban area.</li> <li>• Experience in Transit Oriented Development</li> <li>• Experience in Local area</li> </ul>

	<b>Position</b>	<b>Man Months</b>	<b>Minimum Qualification</b>	<b>Minimum Experience</b>
				planning,
5.	Waste Water Expert	24	Graduation in Civil/Environmental Engineering with experience of design and monitoring of waste water projects at ULB level.	<ul style="list-style-type: none"> <li>• 15years experience on water sourcing, planning &amp; management of water supply and waste water projects including recycling and reuse of waste water and rainwater harvesting</li> </ul>
6.	Solar Energy/ Renewable Energy Expert	12	B-Tech in Electrical/ Power Engineering / related sector	<ul style="list-style-type: none"> <li>• 7-12 years experience in power projects viz., planning/designing for power generation, transmission and distribution.</li> </ul>
7.	Electrical Engineering Expert	12	B-Tech in Electrical/ Power Engineering	<ul style="list-style-type: none"> <li>• 12 years similar experience</li> </ul>
8.	Affordable Housing Expert	<b>12</b>	Masters in Urban Planning/Housing	<ul style="list-style-type: none"> <li>• 10years experience in Urban Poverty Alleviation</li> <li>• Experience in affordable housing, low cost housing and cost effective construction technology, slum improvement plan preparation</li> <li>• Knowledge of housing finance etc.</li> </ul>
9.	Communication Specialist	24	Bachelors Degree in mass communication	<ul style="list-style-type: none"> <li>• 5 years similar experience</li> <li>• Experience in management of multimedia and activities pertaining to social media</li> </ul>
10.	Environment / Solid Waste Management expert	18	Postgraduate in Environmental Planning / Engineering /Environmental Sciences	<ul style="list-style-type: none"> <li>• 10 years' experience in conducting EIA, environment modelling &amp; preparing Environmental Management plans, Clean Development mechanism.</li> </ul>
11.	Social Development Specialist	24	Masters Degree or equivalent in social development disciplines	<ul style="list-style-type: none"> <li>• 10 years experience in years experience in Urban Poverty Alleviation.</li> <li>• Experience in urban social welfare projects/ community mobilization/ Social Development Sub-Plan</li> </ul>

	<b>Position</b>	<b>Man Months</b>	<b>Minimum Qualification</b>	<b>Minimum Experience</b>
12.	PPP Expert	18	MBA (Finance) / CA/CFA or equivalent	<ul style="list-style-type: none"> <li>• 10 years' experience in project funding, structuring of PPP projects.</li> <li>• At least developed 02 (two) PPP projects for which the Concessionaire has been appointed and the projects are in execution and/or in operation mode.</li> </ul>
13.	Structural Engineer	18	Masters in Structural Engineering	<ul style="list-style-type: none"> <li>• 10 years related.</li> <li>• Should have the experience in structural design of infrastructure projects.</li> </ul>
14.	ICT Expert / Urban Smart Solutions Expert	24	Masters in Computer Application/ B-Tech in Computer Science/ IT	<ul style="list-style-type: none"> <li>• 10 years in implementing ICT projects in Urban area.</li> <li>• Extensive experience in designing and implementing smart solutions.</li> </ul>
15.	Business Analyst/ Junior BPR Specialist	24	MCA / Post Graduate in any discipline with diploma / degree in IT / BE/B-Tech.	<ul style="list-style-type: none"> <li>• 10 years experience in similar field</li> </ul>
16.	Information Security Systems Expert	18	MCA/ B Tech / M Tech in IT with certification in CISSP/ CCSP	<ul style="list-style-type: none"> <li>• 10 years experience in similar field</li> </ul>
17.	Solution Architect	18	Degree in Information Technology/ Electronics Engineering or equivalent	<ul style="list-style-type: none"> <li>• 10 years experience in similar field</li> </ul>
18.	Networking & IT Infrastructure Specialist	12	MCA/ B Tech / M Tech in IT with certification in CCNA	<ul style="list-style-type: none"> <li>• 10 years experience in similar field</li> </ul>
19.	Video Analyst	12	B-Tech in Information Technology/ Electronics & Communication Engineering / Electronics Engineering / Computer science	<ul style="list-style-type: none"> <li>• 12Years experience in IT field</li> <li>• Minimum 2 years of experience in designing and implementation of large ITMS/ BMS including Surveillance &amp; Video Analytics</li> </ul>
20.	IoT, IoE, AoT Specialist	18	BE/B-Tech in Information Technology/	<ul style="list-style-type: none"> <li>• 12Years experience in IT field</li> <li>• Minimum 3 years experience in implementing</li> </ul>

	Position	Man Months	Minimum Qualification	Minimum Experience
			Electronics & Communication Engineering / Computer science	IoT/Machine to Machine (M2M) solutions and knowledge of the IoT/M2M market and ecosystems <ul style="list-style-type: none"> <li>• Minimum 3 years experience in a client facing role demonstrating presentation skills and the ability to communicate with client management and executives</li> <li>• 3 years experience in applying analysis skills and the ability to develop processes</li> </ul>
21.	Disaster Management Expert	12	Graduates with a master's in disaster and emergency management	<ul style="list-style-type: none"> <li>• 5 years experience in relevant field</li> </ul>
22.	Horticulture Specialist	12	BE/B-tech/BSC in Horticulture	<ul style="list-style-type: none"> <li>• 10 Years experience in relevant field.</li> </ul>
23.	GIS & Remote Sensing Expert	18	Degree in Geography	<ul style="list-style-type: none"> <li>• At least 10 years of experience in working on similar projects (i.e. use of remote sensing &amp; GIS technology in urban sector projects)</li> <li>• Knowledge of major GIS software products, GPS, total station, coordinate reference systems, satellite remote sensing technology and GIS applications.</li> </ul>
24.	Auto CAD Engineers	2 x 36 = 72 (2 Nos)	Diploma in CAD with 05 years of professional experience.	<ul style="list-style-type: none"> <li>• Experience as Auto CAD Engineer in Infrastructure related projects.</li> </ul>
	<b>Support Team (Facilitation &amp; Supervision) : The CVs shall not be submitted by the Consultant for the following professionals. But at the time of appointment, CVs shall be got approved by the Client . The remuneration shall be included in the detail of breakdown of remuneration(FIN-3)</b>			
25.	Assistant Construction Manager	4 x 24 = 96 (4 Nos)	<ul style="list-style-type: none"> <li>• Graduate in Civil Engineering</li> </ul>	<ul style="list-style-type: none"> <li>• 5 years' experience in construction management of Urban infrastructure Service delivery (Water Supply/ Sewerage/ Drainage/</li> </ul>

	Position	Man Months	Minimum Qualification	Minimum Experience
				Transportation/ Drainage/ Solid Waste Management, street lights, solar energy)
26.	Support Engineer	4 x 18 = 72 (4 Nos)	Graduate Engineer (relevant branch).	<ul style="list-style-type: none"> <li>5 years' experience in construction management of Urban infrastructure Service delivery</li> </ul>
27.	Research Associate	6	Degree in any discipline	<ul style="list-style-type: none"> <li>5 Years in Relevant Field</li> </ul>
28.	Draftsman	2 x 18 = 36 (2 Nos)	Diploma in Civil/ Mechanical Engineering or ITI in draughtsman Civil/mechanical	<ul style="list-style-type: none"> <li>02 Years experience in preparation of CAD drawings</li> </ul>
29.	Quantity Surveyor	3 x 18 = 54 (3 Nos)	Diploma/ Degree in Civil Engineering	<ul style="list-style-type: none"> <li>5 years experience for diploma holder or 3 years experience for degree holder in quantity surveying, preparation of BOQ, variations, etc.</li> <li>Knowledge of modern and digital survey techniques</li> <li>Knowledge of preparation of CAD drawings</li> </ul>
30.	Surveyor	3 x 18 = 54 (3 Nos)	Diploma in Civil Engineering / ITI in Civil	<ul style="list-style-type: none"> <li>5 years experience in survey with modern digital survey instruments</li> <li>Experience in surveying with Total Station/ micro-station/auto level etc.</li> <li>Knowledge of preparation of drawings on CAD.</li> </ul>
31.	Office Manager	36	10 <sup>th</sup> Class	-
32.	Office support Staff	36	To be indicated by the Consultant	-

**Note:**

1. The Consultant may deploy additional staff as per requirement. But no additional payment shall be made. It is assumed that the payment has been included in the financial proposal. .
2. **The Consultant may bid for more than one Smart City, but if the Consultant is bidding for more than one Smart City simultaneously, shall propose separate team for each Smart City. If at any time it is found by the Client that professional**

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**proposed is also part of another Smart City Team then the Client may disqualify the Consultancy firm.**

3. Actual deployment of number of key professionals and support team will be decided mutually between client and the Consultant after every quarter i.e. three months or for such period as may be mutually agreed upon based on the actual requirement of such staff.

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## **7.5. Reporting Requirements and Time Schedule and Deliverables**

The activity wise reporting requirements and deliverables for ABD Project shall be as follows:

### **7.6.1. Activity 1: Mobilization and establishment of Project office**

The team leader of the consultant shall initially mobilize the core team following notice to proceed to set up project office along with equipment and peripherals and then will mobilize further manpower as per requirement for each module.

### **7.6.2. Activity 2: Situation analysis report**

- Preparation of situation analysis report for each module.

### **7.6.3. Activity 3: Feasibility Report**

- Prepare and submit 'Feasibility Report' for each. The Feasibility Report should describe the various technical options with recommendation for most appropriate option. The Report shall address the following aspects:
  - Evaluation of design alternatives
  - The topography and development pattern of the project area
  - Develop historic and future population growth and determine the impact of the population growth on projects under taken in Smart City Mission.
  - Preliminary design and cost estimation
  - Operation and maintenance aspects
  - Financial planning and evaluation
  - Institutional and social capacity
  - Environmental and Social Impact Assessments
  - Formulation of work implementation plan
  - Preliminary procurement plan
  - Preliminary construction schedule
  - Organization evaluation and capacity building and any other relevant information required on project to project basis.
  - Identification of potential PPP projects.
  - Recommendation of suitable arrangement for contracting including DBO / DBFOT/Management Contracts etc.

### **7.6.4. Activity 4: Preliminary/Detailed Project Report(PDR/DPR)**

- Once the Feasibility Report is approved, prepare detailed designs in accordance with sound & established engineering practices; tender drawings and; cost estimates etc. The design shall meet the techno economic aspects for

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best possible solution after consideration of various available alternatives and shall sufficiently be detailed to ensure clarity and understanding by all stake holders and will be incorporated into a detailed project report to be submitted for the approval of the Client . The costs estimate shall be prepared on the basis of Rates suggested by Client such as SOR of State with latest addenda and corrigenda And/or market rates would be arrived at proper rate analysis carried out through market enquiry;<sup>8</sup>

- The design shall meet the techno economic aspects for the best possible solutions after considering various alternatives and shall be sufficiently detailed to ensure understanding by all stake holders and will be incorporated into the detailed Project Report . The activities for the proposed project shall include preliminary designs, drawings, works technical specifications, bill of quantities, and cost estimates (Engineer's Cost) based on Schedule of Rates of the state and/or market rate analysis, along with detailed implementation plans.
- For each sub project based on the approved frame work the following shall be included in the DPRs:
  - Assessment of utility shifting requirement and costs estimations; preparing document required for statutory clearances and other clearances like Railway, Forest, National Highways etc;
  - Assess each site's environmental aspects for detailed design of the project component. Accordingly prepare initial environmental impact examinations (IEE) as may be required;
  - Preparation of environmental safeguard actions including impact assessments, if any, during the design stage;
  - Prepare environment management plan (EMP) and mitigation measures;
  - Preparation and implementation of resettlement plans, if any
- Prepare Detailed Project Report including technical specifications, Contract drawings, bills of quantities and above aspects;
- Finalizing arrangement for contracting including exploring options for PPP/ Service Level Agreements;
- Preparation of strategy and action plan for IEC program including public participation;
- Assist stakeholder consultations for each module.;

The DPR and related documents for the Project must conform to the requirements of the guidelines and procedures of the government and shall include the following:

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<sup>8</sup> The client is to decide for the rates to be adopted for the estimate of the Feasibility/PDR/DPR



- 
- Project Proposal
  - Project Evaluation Criteria
    - General information: To include basic technical design, institutional arrangements
    - Estimated Project Cost
    - Project Revenue
    - Estimated Project Benefits and Costs
      - a. Financial
      - b. Economic
    - Risk Allocation Matrix
    - Project and Financing Milestones
  - Economic and financial analyses,
  - Environmental Management Plan
  - Location map
  - Preliminary design, specification of works and materials
  - Engineer's Estimate in the BOQ format, as necessary
  - At this stage consultant should also suggest / propose component different suitable procurement options for proposed projects/module under the respective component.

#### **7.6.5. Activity5: Bid Process Management (preparation of Bid documents and award of contract)**

Based on discussions with all stakeholders and approval from Client, the consultant shall Prepare consolidated bid documents, technical specifications, contract drawings, final bills of quantities, EMP and any other necessary information required for successful tendering and implementation of contracts. The Bid document should be in accordance with the Government of India / State Government guidelines. Under this task the Consultant is required to do the following:

- Assist Client in all aspects of procurement including issuing bid invitation, addendum/corrigendum, and clarifications to the bidders queries, assist in bid evaluation, selection of contractors/ implementing agencies;
- Prepare contract documentation to include Letter of invitation, conditions of contract, specifications, design parameters; bills of quantities, etc. for all modules in close coordination with the Client .
- The draft contract to be included in the bid documents shall, among other things, clearly define the obligations of the implementing agency with respect to financing( if applicable), design, construction, O&M, and tariffs; equitably allocate risks between the parties; and specify rules and procedures to address non- performance of contractual obligations.

- Assist in preparation of replies of the pre-bid queries, contract negotiations and award of contract(s).

**7.6.6. Activity6: Project Implementation support (Construction Supervision and Contract Management and Project Administration)**

- Shall carry out all the works as per the scope of the works under ABD, pan city and smart solution projects to the satisfaction of the Client
- Shall submit monthly & quarterly progress report in the acceptable format to the Client .

7.6.7. The estimated time duration for the consultancy services is 4 (four) years out of which 6-12 months period is for the activity 1 to activity 5 and 36-42 months period for activity 6. The period of activity 6 is extendable upto the Smart City Mission (SCM) period with mutual consent.

7.6.8. The time duration for the consultancy services is extendable beyond the period mentioned in Para 7.6.7 and the Smart City Mission period, for suitable time period as may be mutually agreed between the Consultant and the client.

7.6.9. The Consultant shall deploy its Personnel as per the proposed personnel deployment schedule/ as per the requirement of the Client.

7.6.10. The Consultant shall submit the reports for activity 1 to activity 5 in 3 (three) hard bound copies and one soft editable and pdf format and for activity 6 in 1(one) hard bound copy and 01 (one) soft editable and pdf format.

**7.6.11. Time Schedule and activity wise Deliverable**

(i) The Activity wise time schedule shall be as follows

S No.	Activity wise Deliverable	Time period (T <sub>0</sub> date of signing of Contract) and T <sub>1</sub> is the date of appointment of implementing agency
1	Activity 1: Mobilization	T <sub>0</sub> + 1 Month
2	Activity 2 : a) For ABD & Pan city Modules : Submission of Situation Analysis Report for Modules and its acceptance& approval by the Client b) For Smart Solution Module : Submission of Business Re-engineering Report (BPR) and Final function requirement specifications (FRS) and its acceptance& approval by the Client	T <sub>0</sub> + 2-3 Months

3	Activity 3 For ABD, pan city and Smart Solution Modules : Feasibility Study Report and its acceptance & approval by the Client	T <sub>0</sub> + 4-5 Months																						
4	Activity 4 For ABD, pan city and Smart Solution Modules : Submission of Detailed Project Report and its acceptance & approval by the Client	T <sub>0</sub> + 6 Months																						
5	Activity 5 For ABD, pan city and Smart Solution Modules : Submission of Bid Documents and its acceptance & approval by the Client	T <sub>0</sub> + 8 Months																						
6	Activity 2-5 <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Time line</th> <th colspan="2">No Of Modules ( out of total n modules)</th> <th rowspan="2">Target Date</th> </tr> <tr> <th>Modules in each Quarter</th> <th>Cumulative Modules</th> </tr> </thead> <tbody> <tr> <td>Q 1</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td>T<sub>0</sub>+ 3 Months</td> </tr> <tr> <td>Q 2</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td>T<sub>0</sub>+ 6 Months</td> </tr> <tr> <td>Q 3</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td>T<sub>0</sub>+ 9 Months</td> </tr> <tr> <td>Q 4</td> <td style="text-align: center;">n</td> <td style="text-align: center;">All Modules</td> <td>T<sub>0</sub>+ 12 Months</td> </tr> </tbody> </table> <p><b>The time period may be extended suitably as per the requirement of the module(s) by the Client for completion of the assignment up to activity 5.</b></p>	Time line	No Of Modules ( out of total n modules)		Target Date	Modules in each Quarter	Cumulative Modules	Q 1	-	-	T <sub>0</sub> + 3 Months	Q 2	-	-	T <sub>0</sub> + 6 Months	Q 3	-	-	T <sub>0</sub> + 9 Months	Q 4	n	All Modules	T <sub>0</sub> + 12 Months	
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Q 1	-	-	T <sub>0</sub> + 3 Months																					
Q 2	-	-	T <sub>0</sub> + 6 Months																					
Q 3	-	-	T <sub>0</sub> + 9 Months																					
Q 4	n	All Modules	T <sub>0</sub> + 12 Months																					
7	Activity 6 Project Implementation Support-Construction, supervision and contract management	From the date of selection of the implementation Agency T <sub>1</sub>																						
	For ABD Modules & Pan city modules.	T <sub>1</sub> + 36-42 months																						
	For Smart Solution Module Including the following during implementation period a) Submission & acceptance of User Acceptance Test (UAT) Reports b) Submission of Standardization Testing and Quality Certification (STQC) Certificate(s) c) Submission & acceptance of “Go-Live” Report	For a, b, and c activities: T <sub>1</sub> + 2-4 Months during implementation by System Integrator (SI)																						

- (ii) In addition to above, the consultant will submit monthly progress reports at the end of each month during the course of assignment mentioning status/ progress of work, activities performed, and issues resolved/to be resolved related to assignments during the month.

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## **7.6. Client's Input and Counterpart Services and Facilities**

- (a) *Services, facilities and property to be made available to the Consultant by the Client: NA*
- (b) *Professional and support counterpart personnel to be assigned by the Client to the Consultant's team: As per requirement and request of the Consultant*
- (c) The Consulting firm will be responsible to:
  - Arrange for fully equipped office and office operation related facilities for project development team.
  - Arrange for data collection, survey and investigation, preliminary design, report preparation of reports for projects modules
  - Arrange for communication related to contract, data processing, computers, printing equipment and necessary stationeries; and
  - Arrange for all transportation and travelling required for the assignments to perform the consultancy services/job.

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**Section 8. Standard Form of Contract**

Attached Separately