

# Request for Proposal (RFP)

Selection of Consultant to Manage the Operations of Indore Smart City Incubation and Innovation Center

NIT Number: 32/ISCDL/2019-20 Dated: 02/03/2020

## INDORE SMART CITY DEVELOPMENT LIMITED

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This RFP is not an agreement and is neither an offer nor invitation by the Authority to the prospective Bidders or any other person. The purpose of this RFP is to provide interested parties with information that may be useful to them in the formulation of their Proposals pursuant to this RFP. This RFP includes statements, which reflect various assumptions and assessments arrived at by the Authority in relation to the Consultancy. Such assumptions, assessments and statements do not purport to contain all the information that each Bidder may require. This RFP may not be appropriate for all persons, and it is not possible for the Authority, its employees or advisers to consider the objectives, technical expertise and particular needs of each party who reads or uses this RFP. The assumptions, assessments, statements and information contained in this RFP, may not be complete, accurate, adequate or correct. Each Bidder should, therefore, conduct its own investigations and analysis and should check the accuracy, adequacy, correctness, reliability and completeness of the assumptions, assessments and information contained in this RFP and obtain independent advice from appropriate sources.

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The Bidder shall bear all its costs associated with or relating to the preparation and submission of its Proposal including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstrations or presentations which may be required by the Authority or any other costs incurred in connection with or relating to its Proposal. All such costs and expenses will remain with the Bidder and the Authority shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by a Bidder in preparation for submission of the Proposal, regardless of the conduct or outcome of the Selection Process.

ISCDL | 2020 Page 2 of 88



## Procedure for participation in e-Tendering

#### 1. Registration of Bidders on e-Tendering System

As Government of Madhya Pradesh has decided to switch over to https://mptenders.gov.in/nicgep/app for all electronic tendering requirements, all Bidders/Contractors/ Suppliers are requested to register and enrol in this portal by clicking the link Online Bidder Enrolment on right hand side of the portal.

Help for Contractor and/ or Bidder Manual kit available in the same Home page can be referred for any clarifications. Bidders having valid Digital Signature Certificates may use the same for registration. New Purchases may be of Class 3 Signing Certificate. Bidders can provide their MSME details also, for online verification.

In case of any assistance please call Help desk numbers 0120-4200462, 0120-4001002.

#### 2. Digital Certificate:

Digital Signature Certificates (DSC) are the digital equivalent (that is electronic format) of physical or paper certificates. Examples of physical certificates are drivers' licenses, passports or membership cards. Certificates serve as a proof of identity of an individual for a certain purpose; for example, a driver's license identifies someone who can legally drive in a particular country. Likewise, a digital certificate can be presented electronically to prove your identity, to access information or services on the Internet or to sign certain documents digitally.

Like physical documents are signed manually, electronic documents, for example e-forms are required to be signed digitally using a Digital Signature Certificate. Transactions that are done using Internet if signed using a Digital Signature certificate becomes legally valid.

A licensed Certifying Authority (CA) issues the digital signature. Certifying Authority (CA) means a person who has been granted a license to issue a digital signature certificate under Section 24 of the Indian IT-Act 2000.

The different types of Digital Signature Certificates are:

Class 2: Here, the identity of a person is verified against a trusted, pre-verified database.

Class 3: This is the highest level where the person needs to present himself or herself in front of a Registration Authority (RA) and prove his/her identity.

DSC of Class 2 and Class 3 category issued by a licensed Certifying Authority (CA) needs to be obtained for e-filing on the e-Tendering Portal.

The cost of obtaining a digital signature certificate may vary as there are many entities issuing DSCs and their charges may differ. The approximate cost could vary between ₹ 2000 to ₹ 3000 depending on the number of years for which it is issued.

It is mandatory to have a valid digital signature certificate for e-filing the forms on e-Tendering portal. Digital Signature Certificate (DSC) is not required by Companies but by individuals. For example, the Director or the Authorized signatory signing on behalf of the Company requires a DSC. It may take up to 7 working days for issuance of class III digital certificate; hence the bidders are advised to obtain the

ISCDL | 2020 Page 3 of 88



certificate at the earliest. Those bidders who already have valid class III digital certificate need not obtain another Digital Certificate for the same.

#### 3. Set Up of Bidder's Computer System:

In order for a bidder to operate on the e-tendering System, the Computer system of the bidder is required to be set up for Operating System, Internet Connectivity, Utilities, Fonts, etc. The details are available at https://mptenders.gov.in/nicgep/app

#### 4. Key Dates:

The bidders are strictly advised to follow the time schedule (Key dates) of the bid of their side for tasks and responsibilities to participate in the bid, as all the stages of each bid are locked before the tart time and date and after the end time and date for the relevant stage if the bid as set by the Department.

# 5. Special Instructions to the Contractors/Bidders for the e-submission of the bids online through this eProcurement Portal

- i. Bidder should do Online Enrolment in this Portal using the option Click Here to Enrol available in the Home Page. Then the Digital Signature enrolment has to be done with the e-token, after logging into the portal. The e-token may be obtained from one of the authorized Certifying Authorities such as eMudhraCA/GNFC/IDRBT/MtnlTrustline/SafeScrpt/TCS.
- ii. Bidder then logs into the portal giving user id/ password chosen during enrolment.
- iii. The e-token that is registered should be used by the bidder and should not be misused by others.
- iv. DSC once mapped to an account cannot be remapped to any other account. It can only be inactivated.
- v. For purchasing of the bid document bidders have to pay Service Charge online only which is Rs. [as per Bid Data Sheet]. Cost of Bid document is separately mentioned in the detailed NIT. The Bid Document shall be available for purchase to concerned eligible bidders immediately after online release of the bids and up to scheduled time and date as set in the key dates. The payment for the cost of bid document shall be made online through Debit/Credit card. Net banking or NEFT Challan through the payment gateway provided on the portal.
- vi. The Bidders can update well in advance, the documents such as certificates, purchase order details etc., under My Documents option and these can be selected as per tender requirements and then attached along with bid documents during bid submission. This will ensure lesser upload of bid documents.
- vii. After downloading/ getting the tender schedules, the Bidder should go through them carefully and then submit the documents as per the tender document, otherwise, the bid will be rejected.
- viii. The BOQ template must not be modified/ replaced by the bidder and the same should be uploaded after filling the relevant columns, else the bidder is liable to be rejected for that tender. Bidders are allowed to enter the Bidder Name and Values only.

ISCDL | 2020 Page 4 of 88



- ix. If there are any clarifications, this may be obtained online through the eProcurement Portal, or through the contact details given in the tender document. Bidder should take into account of the corrigendum published before submitting the bids online.
- x. Bidder, in advance, should prepare the bid documents to be submitted as indicated in the tender schedule and they should be in PDF/XLS/RAR/DWF formats. If there is more than one document, they can be clubbed together.
- xi. Bidder should arrange for the EMD as specified in the tender.
- xii. The bidder reads the terms and conditions and accepts the same to proceed further to submit the bids.
- xiii. The bidder has to submit the tender document(s) online well in advance before the prescribed time to avoid any delay or problem during the bid submission process.
- xiv. There is no limit on the size of the file uploaded at the server end. However, the upload is decided on the Memory available at the Client System as well as the Network bandwidth available at the client side at that point of time. In order to reduce the file size, bidders are suggested to scan the documents in 75-100 DPI so that the clarity is maintained and also the size of file also gets reduced. This will help in quick uploading even at very low bandwidth speeds.
- xv. It is important to note that, the bidder has to click on the Freeze Bid Button, to ensure that he/she completes the Bid Submission Process. Bids which are not frozen are considered as Incomplete/Invalid bids and are not considered for evaluation purposes.
- xvi. The Tender Inviting Authority (TIA) will not be held responsible for any sort of delay or the difficulties faced during the submission of bids online by the bidders due to local issues.
- xviii. The bidder may submit the bid documents online mode only, through this portal. Offline documents will not be handled through this system.
- xix. At the time of freezing the bid, the eProcurement system will give a successful bid updation message after uploading all the bid documents submitted and then a bid summary will be shown with the bid no, date & time of submission of the bid with all other relevant details. The documents submitted by the bidders will be digitally signed using the e-token of the bidder and then submitted.
- xix. After the bid submission, the bid summary has to be printed and kept as an acknowledgement as a token of the submission of the bid. The bid summary will act as a proof of bid submission for a tender floated and will also act as an entry point to participate in the bid opening event.
- xx. Successful bid submission from the system means, the bids as uploaded by the bidder is received and stored in the system. System does not certify for its correctness.
- xxi. The bidder should see that the bid documents submitted should be free from virus and if the documents could not be opened, due to virus, during tender opening, the bid is liable to be rejected
- xxii. The time that is displayed from the server clock at the top of the tender Portal, will be valid for all actions of requesting bid submission, bid opening etc., in the e-Procurement portal. The Time followed in this portal is as per Indian Standard Time (IST) which is GMT+5:30. The bidders should adhere to this time during bid submission.

ISCDL | 2020 Page 5 of 88



xxiii. All the data being entered by the bidders would be encrypted at the client end, and the software uses PKI encryption techniques to ensure the secrecy of the data. The data entered will not be viewable by unauthorized persons during bid submission and not viewable by any one until the time of bid opening. Overall, the submitted bid documents become readable only after the tender opening by the authorized individual.

xxiv. During transmission of bid document, the confidentiality of the bids is maintained since the data is transferred over Secured Socket Layer (SSL) with 256-bit encryption technology. Data encryption of sensitive fields is also done.

xxv. The bidders are requested to submit the bids through online eProcurement system to the TIA well before the bid submission end date and time (as per Server System Clock).

#### Note:

- i. Bidders are requested to visit our e-tendering website regularly for any clarifications and/or due date extension or corrigendum.
- ii. ISCDL shall not be responsible in any way for delay/ difficulties/ inaccessibility of the downloading facility from the website for any reason whatsoever.
- iii. In case, due date for submission & opening of tender happens to be a holiday, the due date shall be shifted to the next working day for which no prior intimation will be given.
- iv. ISCDL reserves the right for extension of due date of opening of technical bid.

ISCDL | 2020 Page 6 of 88



# **Definitions/Acronyms**

Sr. No	Abbreviations	Description	
1	EMD	Earnest Money Deposit	
3	GIS	Geographic Information System	
4	GST	Goods and Services Tax	
5	ICT	Information and Communication Technology	
6	IoT	Internet of things	
7	ISCDL	Indore Smart City Development Limited	
8	ISCIIC	Indore Smart City Incubation and Innovation Center	
9	IT	Information and Communication Technology	
10	KPI	Key Performance Indicator	
11	LLP	Limited Liability Partnership	
12	LoA	Letter of Award	
13	MoU	Memorandum of understanding	
14	NIT	Notice Inviting Tender	
15	0&M	Operational & Maintenance	
16	OEM	Original Equipment Manufacturer	
17	PDD	Proposal Due Date	
18	QCBS	Quality cum Cost Basis	
19	RFP	Request for Proposal	
20	SCM	Smart cities mission	
21	SCP	Smart city proposal	
23	SLA	Service Level Agreement	
24	SPV	Special Purpose Vehicle	
26	VC	Venture Capital	

ISCDL | 2020 Page 7 of 88



## **Table of Contents**

1.	Intro	duction	.12
	1.1	Background	.12
	1.1.1	. Vision and Introduction	.12
	1.2	Request for proposals	.14
	1.3	Due diligence by bidders	.14
	1.4	Tender fees	.14
	1.5	Validity of the proposal	.14
	1.6	Brief description of the selection process	.14
	1.7	Currency conversion rate and payment	.15
	1.8	Schedule of Selection Process	.15
	1.9	Pre-proposal visit to the site and inspection of data	.15
	1.10	Pre-proposal conference	.15
	1.11	Communications	.16
2.	Instr	uction to Bidders	.17
	A. G	eneral	.17
	2.1	Scope of proposal	.17
	2.2	Conditions of Eligibility of Bidders	.17
	2.3	Number of proposals	.19
	2.4	Cost of Proposal	.20
	2.5	Site visit and verification of information	.20
	2.6	Acknowledgment of the bidder	.20
	2.7	Right to reject any or all proposal	.20
	2.8	Clarifications	.21
	2.9	Amendment of RFP	.21
	B. P	REPARATION AND SUBMISSION OF PROPOSAL	.21
	2.10	Language	.21
	2.11	Format and signing of Proposal	.22
	2.12	Technical Proposal	.22
	2.13	Financial Proposal	.23
	2.14	Submission of proposal	.24
	2.15	Proposal due date	.25
	2.16	Late Proposals	.25
	2.17	Withdrawal of Proposals	.25
	2.18	Bid Security / Earnest Money Deposit (EMD)	.25
	2.19	Performance Security	.26
	C. E	valuation Process	.26



	2.20	Evaluation of Proposals	26
	2.21	Confidentiality	27
	2.22	Clarifications	27
	D. Al	PPOINTMENT OF CONSULTANT	28
	2.23	Negotiations	28
	2.24	Substitution of Key Personnel	28
	2.25	Indemnity	28
	2.26	Award of consultancy	28
	2.27	Execution of Agreement	29
	2.28	Commencement of assignment	29
	2.29	Proprietary data	29
3.	Crite	ria for evaluation	30
	3.1	Evaluation of technical proposal	30
	3.2	Short-listing of Bidders	31
	3.3	Evaluation of Financial Proposal	31
	3.4	Combined and final proposal	32
4.	Frau	d and corrupt practices	32
5.	Pre-p	proposal conference	33
6.	Misc	ellaneous	33
S	CHEDUL	ES	35
	SCHEDI	ULE-1	35
	Terms	of Reference for Indore Smart City Incubation and Innovation Center	36
	SECTIO	N 1 - BACKGROUND	36
	SECTIO	N 2 - SCOPE OF WORK	37
	2.1.	Detailed Scope of Services of Consultant	38
	SECTIO	N 3-GENERAL INFORMATION	40
	3.1 Tim	e Frame for the Assignment	40
	3.2 Tea	m Composition	40
	3.3 Out	put, Deliverables, Payment Terms	41
	3.4 Pro	cedure for Monitoring & Review of the Assignment	42
	SCHEDI	ULE-2	44
	1.	General	45
	2.	Commencement, Completion and Termination of Agreement	45
	3.	Obligations of the Consultant	45
	4.	Consultant's Personnel and Sub-Consultant	45
	5.	Obligations of the Authority	46
	6.	Payment to the Consultant	46
	7.	Liquidated damages and penalties	46



8.	Fairness and Good Faith	46
9.	Settlement of Disputes	46
1. GE	NERAL	47
1.1	. Definitions and Interpretation	47
1.2	. Relation between the Parties	48
1.3	Rights and obligations	49
1.4	Governing law and jurisdiction	49
1.5	Language	49
1.6	Table of contents and headings.	49
1.7	Notices	49
1.8	Location	50
1.9	Authority of Member-in-charge	50
1.1	0 Authorized Representatives	50
1.1	1 Taxes and duties	51
2. CO	MMENCEMENT, COMPLETION AND TERMINATION OFAGREEMENT	51
2.1	. Effectiveness of Agreement	51
2.2	. Commencement of Services	51
2.3	Termination of Agreement for failure to commence Services	51
2.4	Expiry of Agreement.	51
2.5	Entire Agreement	51
2.6	Modification of Agreement	52
2.7	Force Majeure	52
2.8	Suspension of Agreement	53
2.9	Termination of Agreement	53
3.	OBLIGATIONS OF THE CONSULTANT	55
3.1	. General	55
3.2	Confidentiality	55
3.3	Liability of the Consultant	56
3.4	Insurance to be taken out by the Consultant	56
3.5	Accounting, inspection and auditing	57
3.6	Consultant's actions requiring the Authority's prior approval	57
3.7	Reporting obligations	57
3.8	Documents prepared by the Consultant to be property of the Authority	57
3.9	Equipment and materials furnished by the Authority	57
4.	CONSULTANT'S PERSONNEL AND SUB-CONSULTANTS	58
4.1	General	58
4.2	Deployment of Personnel	58
4.3	Approval of Personnel	58



4.4 Substitution of Key Personnel	58
4.5 Sub-Consultants	58
5. OBLIGATIONS OF THE AUTHORITY	58
5.1 Assistance in clearances etc.	58
5.2 Access to land and property	59
5.3 Changes in Applicable Law	59
5.4 Payment	59
6. PAYMENT TO THE CONSULTANT	59
6.1. Cost estimates and Agreement Value	59
6.2. Currency of payment	60
6.3. Mode of billing and payment	60
7. LIQUIDATED DAMAGES AND PENALTIES	60
7.1 Performance Security	60
7.2 Liquidated Damages	60
7.3 Penalty for deficiency in Services	61
8. FAIRNESS AND GOOD FAITH	61
8.1 Good Faith	61
8.2 Operation of the Agreement	61
9. SETTLEMENT OF DISPUTES	61
9.1 Amicable settlement	61
9.2 Dispute resolution	62
9.3 Conciliation	62
9.4 Arbitration	62
ADDENDICEC	70



# 1. Introduction

## 1.1 Background

Indore is among the first 20 cities selected in first round of smart cities challenge under Government of India's (GoI) smart cities mission (SCM) to implement the smart city proposal (SCP). Indore Smart City aims to provide an ecosystem for taking the current technologies to new scale and solve problems with innovation, which will lead to economic and social development of the country.

Indore has become a preferred destination for start-ups in recent years. Over 600 start-ups have started operations in the city between 2012 and 2019. The key advantages of Indore that attracts start-ups, include less property rents, low cost of living index, availability of reputed higher educational institutes, and robust IT infrastructure.

Indore also benefits from the initiatives undertaken at the state level for improving the start-up ecosystem across the state. As per Start-up India's 'State Start-up Ranking Exercise 2018', Madhya Pradesh has been assessed to be 'leader' amongst other states w.r.t the initiatives undertaken to improve the start-up ecosystem. Government of Madhya Pradesh launched the State Incubation and Start-up Promotion Scheme in 2016. The notified Start-up Policy supports both start-ups and incubators and provides benefits and incentives in the form of subsidies in interest payments, rental lease and patent fees, along with assistance in operations, capital expenditure and mentoring. The Start-up policy envisions to provide support across disruptive technologies such as IoT, 3D printing, AI among others while also focusing on areas such as green energy, social and rural entrepreneurship.

As per Start-up India's state ranking exercise 2018, it was assessed that Madhya Pradesh has done well w.r.t incubation support. Additionally, its perfomance in areas such as (a) Start-up Policy and Implementation, (b) Funding Support – Angel and Venture, Awareness and Outreach is above the national average; and in 'Seed Funding Support' is equivalent to the national average.

The construction of the envisaged Incubation Center is ongoing. The Incubation Center will have approx. 12000 sq. ft of space. In this context ISCDL is inviting bids from interested agencies for managing the operations of the envisaged Incubation Center.

#### 1.1.1. Vision and Introduction

Indore Smart City Incubation and Incubation Center's (ISCIIC) objective is to promote innovation for developing solutions to improve the liveability of cities. The Incubation Center should support entrepreneurs working in the domain of smart city solutions by (a) connecting them with the right people, (b) highlighting the right opportunity, and (c) providing access to key resources.

In the long term, Indore Smart City Incubation Center should act as a platform for engaging various actors of the start-up ecosystem of the state, to catalyse innovation in Smart Cities which can be replicated across the country.

Given the states enabling policies and infrastructure in IT and the focus of the city in Smart solutions for transport, waste management and renewable energy smart city projects, the Incubation Center should initially focus on the following technology led sectors:

• **Internet of things (IoT):** Smart City solutions significantly leverage IoT infrastructure and related sensors. The IoT sector is an interplay for software, telecom, and electronic hardware industry. The sector is skewed towards industrial IoT systems. IoT in the context of smart city will be primarily focused on deployment of sensors for monitoring various operational aspects of the city, and data

ISCDL | 2020 Page 12 of 88



analytics of sensor data for deriving decision making insights. The sector is eligible for support under Atal Innovation Mission, schemes of NSTEB, Madhya Pradesh Start-up Policy, among others.

- Artificial Intelligence / Machine learning solutions: This sector can facilitate ISCDL in leveraging
  the data assets being generated through various smart city applications, which may include Citizen
  Applications, e-governance systems, Real time sensor data, GIS / satellite imagery, video analytics, etc.
  Innovative use cases can be conceptualized an implemented to benefit various stakeholders including
  state and city government, citizens, private companies, among others. The sector is eligible for support
  under Atal Innovation Mission, schemes of NSTEB, Madhya Pradesh Start-up Policy, among others.
- Waste Management: Technologies for waste management are of particular interest for Smart City
  Indore, given Indore's performance in the particular domain and being awarded as the cleanest city of
  India, three times in a row. Technological solutions for waste management can be funded through
  programmes focused on developing bio-incubators. The sector will be eligible for being funded under
  various schemes of DST, NSTEB, and BiRAC.
- **Clean Energy:** Technology for renewable, clean energy, and energy efficiency are key for improving the liveability of smart cities. The sector is eligible for support under Atal Innovation Mission, schemes of NSTEB, Madhya Pradesh Start-up Policy, among others.

Additionally, it has been assessed that these focus sectors and areas are in nascent stages. Hence, ISCIIC will focus primarily on attracting start-ups in the ideation, prototyping, validation, and early traction stage in the short term. The focus on early stage start-ups will help in attracting startups from states other than Madhya Pradesh, as it is difficult to motivate matured startups to shift base to Madhya Pradesh.

As the Incubation Center garners experience, build relationships & partnerships, and develops an alumni base, the Incubation Center may explore opportunity for providing incubation / acceleration support to matured start-ups.

The key service offerings of the Incubation Center has been highlighted in the subsequent table.

Support service category	Description		
	Basic infrastructural facilities such as:		
	<ul> <li>Co-working space</li> </ul>		
	<ul> <li>Accommodation</li> </ul>		
Infrastructure	• Library		
	• Cafeteria		
	Conference rooms		
	IT infrastructure and internet connectivity		
	Computing infrastructure		
	Data Centres		
	<ul> <li>Licenses for software packages</li> </ul>		
Technical Lab facilities	Cloud based services		
	IoT labs		
	R&D infrastructure for testing solutions for waste		
	processing, and clean technology		
	Funding for initial prototype development / concept validation		
Seed Funding	from angel investors or through appropriate government		
	programmes		
Basic mentorship support	Support for development of the following:		

ISCDL | 2020 Page 13 of 88



Support service category	Description		
	Business plan,		
	Market positioning strategy,		
	Pricing strategy,		
	Branding and communications,		
Access to network of experts	Support from the right / appropriate industry experts having sector knowledge, technical knowledge, entrepreneurship experience		
Virtual incubation services	The virtual hub will act as a digital counterpart to the physical hub, enabling it to have greater reach and impact across the country. The virtual hub will comprise a website and several gated portals		

ISCDL intends to appoint a Programme Management Consultant to assist them in implementation and operations management of the envisaged Incubation Center for a period of 36 months based on the terms and conditions given in this RFP. (3 months of defining and establishing processes and 33 months for operations and management of the Incubation Center)

## 1.2 Request for proposals

The authority invites proposals (the "**proposals**") for selection of consultants for providing consultancy services to Indore Smart City Development Corporation (ISCDL) in conformity with the Terms of Reference (collectively "the consultancy"). The authority intends to select the consultant through an open competitive bidding process in accordance with the procedures set out herein.

## 1.3 Due diligence by bidders

Bidders are encouraged to inform themselves fully about the assignment and the local conditions before submitting a proposal by paying a visit to the authority and the project site, sending written queries to the authority, and attending a pre-proposal conference on the date and time specified in clause 1.10.

#### 1.4 Tender fees

The Bidders can download the tender documents from the website **www.mptenders.gov.in** but the bids will be considered only for those who have made an online payment of Rs 50,000/-. Without which bids will not be accepted. The RFP Fee of Rs 50,000/- (Rs. Fifty thousand only) is to be submitted by bidder by making online payment only against this RFP

#### 1.5 Validity of the proposal

The Proposal shall be valid for a period of not less than 120 days from the Proposal Due Date (PDD)

## 1.6 Brief description of the selection process

The Authority has adopted a two-stage selection process (collectively the "Selection Process") for evaluating the Proposals comprising of technical bids and financial bids to be submitted by the Bidder. For avoidance of doubt, the technical proposal shall be submitted in hard copy to the Client Address and in soft copy online through e-procurement portal and the financial proposal shall be submitted only online through e-procurement. In the first stage, a technical evaluation will be carried out as specified in Clause 3.1. Based on this technical evaluation, a list of short-listed bidders shall be prepared as specified in Clause 3.2. In the second stage, a financial evaluation will be carried out as specified in Clause 3.3. Proposals will finally be ranked according to their combined technical and financial scores as specified in Clause 3.4. The first ranked Bidder (the "Selected Bidder") shall be called for negotiation, if necessary, while the second ranked Bidder will be kept in reserve.

ISCDL | 2020 Page 14 of 88



## 1.7 Currency conversion rate and payment

1.7.1. All Bidders must quote their financial bids in INR currency.

1.7.2. All payments to the Consultant shall be made in INR in accordance with the provisions of this RFP. The Consultant may convert INR into any foreign currency as per Applicable Laws and the exchange risk, if any, shall be borne by the Consultant.

#### 1.8 Schedule of Selection Process

The Authority would endeavour to adhere to the following schedule

S. No. Event's Name		Information
1	Online Purchase Start Date	03.03.2020
2 Last date for sending pre-bid queries		11.3.2020 till 17:00 hrs. at smartcityindore16@gmail.com
3 Date, Time & Place of Pre-bid Meeting		12.03.2020 at 15:00 hrs. Venue: Indore Smart City Development Limited Nehru Park Campus, Indore, Madhya Pradesh
4	Last date for Online Purchase of Tender Document	26.03.2020 till 17:30 hrs.
5	Last date of Online Submission of Bids	26.03.2020 till 17:30 hrs.
6	Last date of Submission of Hardcopy of Bids	28.03.2020 till 17:30 hrs.
7	Date & Time for Opening of Technical Proposal (Online)	27.03.2020 at 17:30 hrs.
8	Date & Time for Opening of Financial Proposals	Will be intimated to the technically qualified bidders

## 1.9 Pre-proposal visit to the site and inspection of data

Prospective Bidders may visit the City and review the available data at any time prior to PDD as per clause 1.8. For this purpose, they will provide at least two days' notice to the nodal officer specified below:

Executive Engineer, Indore Smart City Development Limited, Indore, Madhya Pradesh Contact no. – 0731 - 2535572

## 1.10 Pre-proposal conference

The date, time and venue of Pre-Proposal Conference shall be: Date & Time: As mentioned in clause 1.8

ISCDL | 2020 Page 15 of 88



Venue: Indore Smart City Development Limited, Nehru Park Campus, Indore, Madhya Pradesh-

#### 1.11 Communications

- 1.11.1 All communications related to submission of Proposal is online should be submitted through online tendering portal of **Indore Smart City Development Limited**
- 1.11.2 The Official Website of the Authority is: <a href="www.mptenders.gov.in">www.mptenders.gov.in</a>
- 1.11.3 All communications, including the envelopes, documents etc. should be submitted online, except hard copy submission of technical proposal.
- 1.11.4 All queries and concerns are to be send to the email ID: <a href="mailto:smartcityindore16@gmail.com">smartcityindore16@gmail.com</a>

ISCDL | 2020 Page 16 of 88



## 2. Instruction to Bidders

#### A. General

## 2.1 Scope of proposal

- 2.1.1 Detailed description of the objectives, scope of services, Deliverables and other requirements relating to this Consultancy are specified in this RFP. In case any bidder firm possesses the requisite experience and capabilities required for undertaking the Consultancy, it may participate in the Selection Process.
- 2.1.2 Bidders are advised that the selection of Consultant shall be on the basis of an evaluation by the Authority through the Selection Process specified in this RFP. Bidders shall be deemed to have understood and agreed that no explanation or justification for any aspect of the Selection Process will be given and that the Authority's decisions are without any right of appeal whatsoever.
- 2.1.3 The Bidder shall submit its Proposal in the form and manner specified in Appendices of the RFP. The Technical proposal shall be submitted in the form at Appendix-I and the Financial Proposal shall be submitted in the form at Appendix-II. Upon selection, the Bidder shall be required to enter into an agreement with the Authority in the form specified at Schedule-2.

#### 2.1.4 Key Personnel

The Consultancy Team shall consist of the following Key Personnel (the "Key Personnel") as specified below:

S. No.	Key personnel
1.	Project Director
2.	Onsite Project Lead
3.	Project Associates (2 Nos.)

## 2.2 Conditions of Eligibility of Bidders

- 2.2.1 Bidders must read carefully the minimum conditions of eligibility (the "Conditions of Eligibility") provided herein. Proposals of only those Bidders who satisfy the Conditions of Eligibility will be considered for evaluation.
- 2.2.2 To be eligible for evaluation of its Proposal, the Bidder shall fulfil the following:

#### (A) Basic Eligibility Criteria

- a. The Bidder / Lead member of the consortium shall be a company incorporated in India under the (Indian) Companies Act 1956/2013 or Limited Liability Partnership (LLP) incorporated in India under the Limited Liability Partnership Act, 2008 and should have been in operation for at least 10 years as on date of submission of the bid. The Bidder shall be required to submit a copy of its Incorporation Certificate along with Pre-qualification Proposal.
- b. Bidders / Lead member of the consortium must have a valid GST registration in India. Copy of GST registration certificate to be submitted with Pre-qualification Proposal.
- c. Receipt of Earnest Money Deposit (EMD) as per Clause 2.18 of this RFP.

ISCDL | 2020 Page 17 of 88



d. Bidder or any member of the consortium should not have been blacklisted / debarred by any central/state government department/PSUs at the time of bid submission. Self-certificate on company's letter head duly signed by authorized signatory to be submitted with Pre-qualification Proposal.

## (B) Technical Capacity

- The Bidder / Any member of the consortium must have carried out one project of similar nature
  as desired (related to Incubation Centers as per scope of work of this RFP) in Consulting /
  Technical Capacity within India. Copy of Work order / MoU / Agreement along with citation as per
  Form 9 of this RFP to be submitted with Pre-qualification Proposal.
- 2. Bidder / Any member of the consortium should also have experience of working in any 2 events (i.e. Hackathons / Start-up Road shows / Corporate innovation program / Makerspace workshops) in the capacity of an Ecosystem partner / Knowledge partner / Mentor in India or Globally. For this criteria bidder is required to submit copy of MoU / agreement/ Client Certificate along with Case Study.

#### (C) Financial Capacity

Average annual turnover of Bidder / Lead member of the consortium should not be less than Rs. 10 Crores the last three financial years ending on 31st March 2019. Audited Balance Sheets along with a certificate from Chartered Accountant to this effect to be submitted with Pre-qualification Proposal

#### (D) Conditions of eligibility for Key Personnel

Each of the key Personnel must fulfil the conditions of eligibility specified below:

S No	Role	Minimum Qualification		Minimum Experience	Expected Minimum Deployment at Client Side (per month) during the project tenure
1	Project Director	B.E. / B.Tech / MCA / MBA	Yes	Minimum 15 years' industry experience with minimum 10 years in Government sector.  Should have 2 years of experience in the field of Incubation centre setup / operations and maintenance.  Project Director should be on Payrolls of the Bidding Entity with minimum 1 year from the date of bid submission.  (Bidder should provide self-certificate for this condition duly signed by HR Head / Authorized signatory).	

ISCDL | 2020 Page 18 of 88



S No	Role	Minimum Qualification	CV to be submitted and evaluated	Minimum Experience	Expected Minimum Deployment at Client Side (per month) during the project tenure
2	On-site Project lead	B.E. / B.Tech /MCA / MBA	Yes	Minimum 10 years of industry experience with minimum seven years in the field of IT/ITES/ICT Projects.  Should have 2 years of experience in the field of Incubation Center setup / operations and maintenance.	Full Time
3	Support Associates (2 Nos)	B.E./ B.Tech / MCA / MBA / Law / CA	No	Minimum 3 years' of industry experience  Should have 1 year of experience in the field of Incubation Center setup / operations and maintenance.	Full Time (each resource)

Note: Key persons minimum commitment as per above table should be considered for Technical Proposal.

- 2.2.3 The Bidder shall enclose with its Proposal, all the required documentation and proofs required for meeting the conditions of clause 2.2 for eligibility and technical evaluation as given in this RFP.
- 2.2.4 The Bidder should submit a copy of latest board resolution/ Power of Attorney which authorizes the bidder's representative to execute contracts on behalf of company as per the format at Form-4 of Appendix-I .However, that such Power of Attorney would not be required if the Application is signed by a partner of the Bidder, in case of Bidder is a limited liability partnership.

#### 2.3 Number of proposals

- i. The Bidder for participation in the Selection Process, may be a single entity or a group of entities (the "Consortium"), coming together to execute the project. The term 'Bidder' used herein would apply to both a single Entity and a Consortium.
- ii. No Bidder or member of a Consortium (including shareholders of the Bidder or each member of the Consortium) shall submit another Proposal under this RFP either individually or as a member of another JV/Consortium. If any Bidder or member of a Consortium (including shareholders of the Bidder or member of the Consortium) submits or participates in more than one Proposal, then all such Proposals with the Bidder's or Consortium member's participation shall be rejected and the Bidder shall stand disqualified.
- ii. In the event the Bidder is a Consortium, it shall, comply with the following additional requirements:
  - a) Number of members in a consortium shall not exceed 2 (Two) including the Lead Member.
  - b) Members of the Consortium shall nominate one member as the Lead Member
  - c) The Members of the Consortium shall be responsible for successful implementation of the project throughout the terms of the contract.

ISCDL | 2020 Page 19 of 88



d) The Lead Member shall be authorized and shall be fully responsible for the accuracy and veracity of the representations and information submitted by the Members respectively from time to time in the response to this RFP.

## 2.4 Cost of Proposal

The Bidders shall be responsible for all of the costs associated with the preparation of their Proposals and their participation in the Selection Process including subsequent negotiation, visits to the Authority, Project site etc. The Authority will not be responsible or in any way liable for such costs, regardless of the conduct or outcome of the Selection Process

#### 2.5 Site visit and verification of information

Bidders are encouraged to submit their respective Proposals after visiting the office of the Authority and ascertaining for themselves the availability of documents and other data with the Authority, Applicable Laws and regulations or any other matter considered relevant by them.

## 2.6 Acknowledgment of the bidder

- 2.6.1 It shall be deemed that by submitting the Proposal, the Bidder has:
  - a. Made a complete and careful examination of the RFP;
  - b. Received all relevant information requested from the Authority;
  - c. Acknowledged and accepted the risk of inadequacy, error or mistake in the information provided in the RFP or furnished by or on behalf of the Authority or relating to any of the matters referred to in Clause 2.5 above;
  - d. Satisfied itself about all matters, things and information, including matters referred to in Clause 2.5 herein above, necessary and required for submitting an informed Application and performance of all of its obligations there under;
  - e. Agreed to be bound by the undertaking provided by it under and in terms hereof.
- 2.6.2 The Authority shall not be liable for any omission, mistake or error on the part of the Bidder in respect of any of the above or on account of any matter or thing arising out of or concerning or relating to RFP or the Selection Process, including any error or mistake therein or in any information or data given by the Authority.

#### 2.7 Right to reject any or all proposal

- 2.7.1 Notwithstanding anything contained in this RFP, the Authority reserves the right to accept or reject any Proposal and to annul the Selection Process and reject all Proposals, at any time without any liability or any obligation for such acceptance, rejection or annulment, and without assigning any reasons thereof.
- 2.7.2 Without prejudice to the generality of Clause 2.7.1, the Authority reserves the right to reject any Proposal if:
  - a. At any time, a material misrepresentation is made or discovered, or
  - b. The Bidder does not provide, within the time specified by the Authority, the supplemental information sought by the Authority for evaluation of the Proposal.
  - c. Misrepresentation/ improper response by the Bidder may lead to the disqualification of the Bidder. If such disqualification/ rejection occurs after the Proposals have been opened and the highest-ranking Bidder gets disqualified / rejected, then the Authority reserves the right to consider the next best Bidder, or take any other measure as may be deemed fit in the sole discretion of the Authority, including annulment of the Selection Process.

ISCDL | 2020 Page 20 of 88



#### 2.8 Clarifications

- 2.8.1 Bidders requiring any clarification on the RFP may send their queries to the Authority in writing by e-mail so as to reach before the date mentioned in the Schedule of Selection Process at Clause 1.8. The email shall clearly bear the following subject:
  - "Queries concerning RFP for Selection of Consultant for Managing the Operations of Incubation and Innovation Center at Indore Smart City Development Limited" The Authority will post the reply to all such queries on the Official Website.
- 2.8.2 The Authority reserves the right not to respond to any questions or provide any clarifications, in its sole discretion, and nothing in this Clause 2.8 shall be construed as obliging the Authority to respond to any question or to provide any clarification.
- 2.8.3 The bidders are encouraged to send queries in MS Excel File as attachment in their emails, with following format:

S No	RFP Clause Ref	RFP Page No	Clause in the RFP	Query / Clarifications
1				
2				
3				
4				
5				

#### 2.9 Amendment of RFP

At any time prior to the deadline for submission of Proposal, the Authority may, for any reason, whether at its own initiative or in response to clarifications requested by an Bidder, modify the RFP document by the issuance of Addendum/ Amendment and posting it on e-tendering portal.

- 2.9.2 The amendments posted on the e-tendering portal along with the revised RFP containing the amendments will be binding on all Bidders.
- 2.9.3 In order to afford the Bidders a reasonable time for taking an amendment into account, or for any other reason, the Authority may, in its sole discretion, extend the Proposal Due Date

#### **B. PREPARATION AND SUBMISSION OF PROPOSAL**

#### 2.10 Language

The Proposal with all accompanying documents (the "Documents") and all communications in relation to or concerning the Selection Process shall be in English language and strictly on the forms provided in this RFP. No supporting document or printed literature shall be submitted with the Proposal unless specifically asked for and in case any of these Documents is in another language, it must be accompanied by an accurate

ISCDL | 2020 Page 21 of 88



translation of all the relevant passages in English, in which case, for all purposes of interpretation of the Proposal, the translation in English shall prevail.

### 2.11 Format and signing of Proposal

- 2.11.1 The Bidder shall provide all the information sought under this RFP. The Authority would evaluate only those Proposals that are received in the specified forms and complete in all respects. The proposals shall be submitted online as well as physically.
- 2.11.2 The Bidder shall prepare one original set of the Proposal (together with originals/ copies of Documents required to be submitted along therewith pursuant to this RFP). In the event of any discrepancy between the online submission and physically submitted version, the online submission shall prevail.
- 2.11.3 The Proposal shall be duly signed by the authorized signatory of the Bidder who shall initial each page. In case of printed and published Documents, all the pages shall be initiated. All the alterations, omissions, additions, or any other amendments made to the Proposal shall be initiated by the person(s) signing the Proposal. The Proposals must be properly signed by the authorized representative (the "Authorized Representative") as detailed below:
  - (a) By the proprietor, in case of a proprietary firm; or
  - (b) By a partner, in case of a partnership firm and/or a limited liability partnership; or
  - (c) By a duly authorized person holding the Power of Attorney/authorized by board resolution, in case of a Limited Company or a corporation; or
    - A copy of the Power of Attorney in the form specified in Appendix-I (Form-4) shall accompany the Proposal (if required).
- 2.11.4 Bidders should note the PDD, as specified in Clause 1.8, for submission of Proposals. Except as specifically provided in this RFP, no supplementary material will be entertained by the Authority, and that evaluation will be carried out only on the basis of Documents submitted online by the closing time of PDD as specified in Clause 2.15.1 Bidders will ordinarily not be asked to provide additional material information or documents subsequent to the date of submission, and unsolicited material if submitted will be summarily rejected.

#### 2.12 Technical Proposal

- 2.12.1 Bidders shall submit the digitally signed technical proposal online at <a href="www.mptenders.gov.in">www.mptenders.gov.in</a> in the formats at Appendix-I (the "Technical Proposal") and shall also submit the proposal in physical form at the address mentioned in clause 1.11 in original on or before the date and time mentioned in clause 1.8.
- 2.12.2 While submitting the Technical Proposal, the Bidder shall, in particular, ensure that:
  - a. Technical Proposal is divided two parts 1<sup>st</sup> for Conditions for Eligibility (for required documentation as per clause 2.2.2 (A)) and 2<sup>nd</sup> for Technical Proposal with all the required documentations as Technical Evaluation Matrix (as per clause 2.2.2 (B), 2.2.2 (C), 2.2.2 (D) and 3.1) along with all forms of Appendix-I.
  - b. The EMD is provided as per the provisions laid down at clause 2.18;
  - c. All forms are submitted in the prescribed formats and signed by the authorized signatories;
  - d. Copy of Board Resolution or Power of attorney, as and if applicable;
  - e. CVs of all Key Personnel have been included; Key Personnel have been proposed only if they meet the Conditions of Eligibility laid down at Clause 2.2.2 (D) of the RFP;
  - f. No alternative proposal for any Key Personnel is being made and only one CV for each position has been furnished;

ISCDL | 2020 Page 22 of 88



- 2.12.3 Failure to comply with the requirements spelt out in this Clause 2.12 shall make the Proposal liable to be rejected.
- 2.12.4 The Technical Proposal shall not include any financial information relating to the Financial Proposal.
- 2.12.5 The Key Personnel specified in Clause 2.1.4 shall be included in the proposed team of Professional Personnel. The team shall comprise other competent and experienced professional personnel in the relevant areas of expertise (where applicable) as required for successful completion of this Consultancy. Bidder may use resources from its network firms/affiliates to provide services under this proposal, however will solely remain responsible for the services.
- 2.12.6 A Bidder may, from time to time, if it considers necessary, propose suitable Experts/Consultants in specific areas of expertise (where applicable).
- 2.12.7 The Authority reserves the right to verify all statements, information and documents, submitted by the Bidder in response to the RFP. Any such verification or the lack of such verification by the Authority to undertake such verification shall not relieve the Bidder of its obligations or liabilities hereunder nor will it affect any rights of the Authority there under.
- 2.12.8 In case it is found during the evaluation or at any time before signing of the Agreement or after its execution and during the period of subsistence thereof, that one or more of the eligibility conditions have not been met by the Bidder or the Bidder has made material misrepresentation or has given any materially incorrect or false information, the Bidder shall be disqualified forthwith if not yet appointed as the Consultant either by issue of the LOA or entering into of the Agreement, and if the Selected Bidder has already been issued the LOA or has entered into the Agreement, as the case may be, the same shall, notwithstanding anything to the contrary contained therein or in this RFP, be liable to be terminated, by a communication in writing by the Authority without the Authority being liable in any manner whatsoever to the Selected Bidder or Consultant, as the case may be.

In such an event, the Authority shall forfeit and appropriate the Performance Security, if available, as mutually agreed pre-estimated compensation and damages payable to the Authority for, inter alia, time, cost and effort of the Authority, without prejudice to any other right or remedy that may be available to the Authority.

## 2.13 Financial Proposal

- 2.13.1 The Financial Proposal shall be submitted online only and digitally signed in the formats at Appendix-II (the "Financial Proposal") clearly indicating the total cost of the Consultancy (Form-1 of Appendix II) in both figures and words, in Indian Rupees, and signed by the Bidder's Authorized Representative.
- 2.13.2 While submitting the Financial Proposal, the Bidder shall ensure the following:
  - 1. The Financial Proposal shall take into account the following:
    - a. Consulting Fees for the Consultancy as per scope of work provided in ToR of this RFP.
    - b. All expenses for the boarding, logging, salaries, out of pocket expenses, local transportation at Indore and travel to Indore for key personnel and other staff members deployed on project by the successful bidder for successful delivery of this project.
  - 2. The Financial Proposal shall not take into account following:
    - a. All the expenses envisaged towards implementing any event (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation

ISCDL | 2020 Page 23 of 88



- Program or any other event as envisaged in the RFP/ ISCDL) for Incubation and Innovation Center.
- b. Counsel fee, faxes, couriers and postage, collections and deliveries, subscriptions to special services and specifically required stationery and bulk photocopying, printing expenses for various events (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL) at Indore– Incubation and Innovation Center.
- c. Expenses of media & promotion activities, on boarding & other related expenses of incubates, on boarding & other related expenses of mentors, on boarding & other related expenses of Industry partners, etc. incurred for events (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL) at Indore Incubation and Innovation Center.
- d. This will also include various expenses like venue, transportation, food, etc. for events (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL).
- c. Travel, boarding, logging and accommodation expenses of key personnel and other staff members deployed on project by the successful bidder, if they are required to travel outside Indore to any other location / city for any activity related to events at Indore – Incubation and Innovation Center or representing Indore – Incubation and Innovation Center at any event as asked by ISCDL.
- 3. All these expenses as mentioned in point number 2.13.2.2 of this section shall be borne by ISCDL.
- 4. In case of scenario, selected bidder is asked to incur any of the expenses as mentioned in point number 2.13.2.2 of this section. ISCDL will reimburse such expenses on actual after submission of original receipts along with next scheduled payment.
- 5. No escalation on any account will be payable on the above amounts.

## 2.14 Submission of proposal

- 2.14.1 The Bidders shall submit the Technical Proposal online form as per date and time mentioned in Clause 1.8. However, the Financial Proposal shall be submitted online only as mentioned in clause 2.13.1. The bidders shall submit the Technical Proposal form with all pages numbered serially and by giving an index of submissions. Each page of the submission shall be initiated by the Authorized Representative of the Bidder as per the terms of this RFP. In case the Proposals are submitted online and the Bidders are unable to submit before the date and time mentioned in Clause 1.8 then the Bids shall be liable for rejection. Only those physically submitted documents regarding Technical Proposals will be acceptable and considered, if, same are uploaded in the website along with the Financial Proposal.
- 2.14.2 **Hard Copy Submission:** The original copy of the 'Technical Proposal' shall be placed in a two sealed envelope clearly marked 'Pre-Qualification' and "Technical Proposal'. The envelope marked 'Pre-Qualification' should contain Conditions for Eligibility (for required documentation as per clause 2.2.2 (A)). The envelope marked "Technical Proposal' should contain all the required documentations as Technical Evaluation Matrix (as per clause 2.2.2 (B), 2.2.2 (C), 2.2.2 (D) and 3.1) along with all forms of Appendix-I.
- 2.14.3 **Online Submission:** The digitally scanned 'Technical Proposal' shall be placed in two folders clearly marked 'Pre-Qualification' and 'Technical Proposal'. The folder marked 'Pre-qualification' should contain Conditions for Eligibility (for required documentation as per clause 2.2.2 (A)). The folder marked "Technical Proposal' should contain all the required documentations as Technical Evaluation Matrix (as per clause 2.2.2 (B), 2.2.2 (C), 2.2.2 (D) and 3.1) along with all forms of Appendix-I. Similarly, the original 'Financial Proposal' shall be placed in a digitally sealed envelope

ISCDL | 2020 Page 24 of 88



- clearly marked 'Financial Proposal' and shall contain the financial proposal in the prescribed format (Forms 1 of Appendix-II).
- 2.14.4 The completed Proposal must be submitted online on or before the specified time on PDD as per clause 1.8. Proposals submitted by fax, telex, telegram or e-mail shall not be entertained. Technical Proposal shall be submitted shall be uploaded on the www.mptenders.gov.in duly digitally signed. The financial Proposal shall be submitted online only and shall be signed digitally.
- 2.14.5 The rates quoted shall be firm throughout the period of performance of the assignment up to and including discharge of all obligations of the Consultant under the Agreement.

## 2.15 Proposal due date

- 2.15.1 Proposal should be submitted on or before the Proposal Due Date specified in Clause 1.8 at the address provided in Clause 1.11 in the manner and form as detailed in this RFP. A receipt thereof should be obtained from the person specified therein.
- 2.15.2 The Authority may, in its sole discretion, extend the PDD as mentioned in clause 1.8 by issuing an Addendum in accordance with Clause 2.9 uniformly for all Bidders.

#### 2.16 Late Proposals

Proposals received by the Authority after the specified time on Proposal Due Date shall not be eligible for consideration and shall be summarily rejected.

## 2.17 Withdrawal of Proposals

- 2.17.1 The Bidder may withdraw its Proposal after it has been submitted by sending a written Withdrawal Notice, duly signed by an authorized representative, and including a copy of the authorization document. The Withdrawal Notice must be:
  - a. Submitted in accordance with Clause 2.14 and the respective envelopes shall be clearly marked 'WITHDRAWAL'; and
  - b. Received by the Authority prior to the deadline prescribed by the Authority for submission of Proposals.
- 2.17.2 Proposals that are withdrawn in accordance with Clause 2.17.1 shall be returned unopened to the Consultant.
- 2.17.3 No Proposal shall be withdrawn in the interval between the deadline for submission of Proposals and the expiration of the period of Proposal validity specified in the Data Sheet or any extension thereof, except in the case of a request by the Authority to extend the Proposal validity.

## 2.18 Bid Security / Earnest Money Deposit (EMD)

- 2.18.1 The Bidder shall furnish as part of its Proposal, amount of Rs. 10,00,000 (Ten Lakh Rupees Only) amount as "Bid Security" or "EMD", returnable not later than 60 (sixty) days from PDD as per clause 1.8 except in case of the two highest ranked Bidders as required in Clause 2.23.1. The Bid Security of requisite amount stated can be paid by making online payment on the <a href="https://www.mptenders.gov.in">www.mptenders.gov.in</a> website.
- 2.18.2 In the event that the first ranked Bidder commences the assignment as required in Clause 2.28, the second ranked Bidder, who has been kept in reserve, shall be returned its Bid Security forthwith, but in no case not later than 120 (one hundred and twenty) days from PDD as per Clause 1.8. The

ISCDL | 2020 Page 25 of 88



Selected Bidder's Bid Security shall be returned, upon the Bidder signing the Agreement and completing the Deliverables assigned to it for the first 2 (two) months of the Consultancy in accordance with the provisions thereof.

- 2.18.3 Any Bid not accompanied by the Bid Security shall be rejected by the Authority as nonresponsive.
- 2.18.4 The Authority shall not be liable to pay any interest on the Bid Security and the same shall be interest free.
- 2.18.5 The Bidder, by submitting its Application pursuant to this RFP, shall be deemed to have acknowledged that without prejudice to the Authority's any other right or remedy hereunder or in law or otherwise, the Bid Security shall be forfeited and appropriated by the Authority as the mutually agreed pre-estimated compensation and damage payable to the Authority for, inter alia, the time, cost and effort of the Authority in regard to the RFP including the consideration and evaluation of the Proposal under the following conditions:
  - a. If a Bidder engages in any of the Prohibited Practices specified in Section 4 of this RFP;
  - b. If a Bidder withdraws its Proposal during the period of its validity as specified in this RFP and as extended by the Bidder from time to time;
  - c. In the case of the Selected Bidder, if the Bidder fails to reconfirm its commitments during negotiations as required vide Clause 2.23.1;
  - d. In the case of the Selected Bidder, if the Bidder fails to sign the Agreement or commence the assignment as specified in Clauses 2.27 and 2.28 respectively

## 2.19 Performance Security

- 2.19.1 The Bidder, by submitting its Application pursuant to this RFP, shall be deemed to have acknowledged that without prejudice to the Authority's any other right or remedy hereunder or in law or otherwise, its Performance Security in the form of bank guarantee shall be forfeited and appropriated by the Authority as the mutually agreed pre-estimated compensation and damages payable to the Authority for, inter alia, the time, cost and effort of the Authority in regard to the RFP, including the consideration and evaluation of the Proposal, under the following conditions:
  - a. If a Bidder engages in any of the Prohibited Practices specified in Clause 4.1 of this RFP;
  - b. If the selected Bidder commits a breach of the Agreement.
- 2.19.2 An amount equal to 5% (five per cent) of the Agreement Value shall be deemed to be the Performance Security for the purposes of this Clause 2.19, which may be forfeited and appropriated in accordance with the provisions hereof

## C. Evaluation Process

#### 2.20 Evaluation of Proposals

- 2.20.1 The Authority shall open the Proposals on the PDD as specified in clause 1.8, at the place and time specified in Clause 1.11 and in the presence of the Bidders who choose to attend. The envelopes marked "Technical Proposal I" shall be opened first.
- 2.20.2 The envelopes marked "Technical Proposal II" shall be for only those bidders who meet the conditions of basic eligibility as per criteria 2.2.2 (A).
- 2.20.3 Proposals for which a notice of withdrawal has been submitted in accordance with Clause 2.17 shall not be opened. Prior to evaluation of Proposals, the Authority will determine whether each

ISCDL | 2020 Page 26 of 88



Proposal is responsive to the requirements of the RFP. A Proposal shall be considered responsive only if:

- a. The Technical Proposal is received in the form specified at Appendix-I;
- b. it is received by the PDD as per clause 1.8 including any extension thereof pursuant to Clause 2.16;
- c. it is signed, sealed, bound together in hard cover or spiral bound and marked as stipulated in Clauses 2.12 and 2.14;
- d. it is accompanied by the copy of board resolution/Power of Attorney as specified in Clause 2.2.4;
- e. it contains all the information (complete in all respects) as requested in the RFP;
- f. it does not contain any condition or qualification; and
- g. it is not non-responsive in terms hereof.
- 2.20.4 The Authority reserves the right to reject any Proposal, which is non-responsive, and no request for alteration, modification, substitution or withdrawal shall be entertained by the Authority in respect of such Proposals
- 2.20.5 The Authority shall subsequently examine and evaluate Proposals in accordance with the Selection Process specified at Clause 1.6 and the criteria set out in Section 3 of this RFP.
- 2.20.6 After the technical evaluation, the Authority shall prepare a list of pre-qualified Bidders in terms of Clause 3.2 for opening of their Financial Proposals. A date, time and venue will be notified to all Bidders for announcing the result of evaluation and opening of Financial Proposals. Before opening of the Financial Proposals, the list of pre-qualified Bidders along with their Technical Scores will be read out. The opening of Financial Proposals shall be done in presence of respective representatives of Bidders who choose to be present. The Authority will not entertain any query or clarification from Bidders who fail to qualify at any stage of the Selection Process. The financial evaluation and final ranking of the Proposals shall be carried out in terms of Clauses 3.3 and 3.4.
- 2.20.7 Bidders are advised that Selection shall be entirely at the discretion of the Authority. Bidders shall be deemed to have understood and agreed that the Authority shall not be required to provide any explanation or justification in respect of any aspect of the Selection Process or Selection.
- 2.20.8 Any information contained in the Proposal shall not in any way be construed as binding on the Authority, its agents, successors or assigns, but shall be binding against the Bidder if the Consultancy is subsequently awarded to it.

#### 2.21 Confidentiality

Information relating to the examination, clarification, evaluation, and recommendation for the selection of Bidders shall not be disclosed to any person who is not officially concerned with the process or is not a retained professional adviser advising the Authority in relation to matters arising out of or concerning the Selection Process. The Authority shall treat all information, submitted as part of the Proposal, in confidence and shall require all those who have access to such material to treat the same in confidence. The Authority may not divulge any such information unless it is directed to do so by any statutory entity that has the power under law to require its disclosure or is to enforce or assert any right or privilege of the statutory entity and/or the Authority or as may be required by law or in connection with any legal process.

#### 2.22 Clarifications

2.22.1 To facilitate evaluation of Proposals, the Authority may, at its sole discretion, seek clarifications from any Bidder regarding its Proposal. Such clarification(s) shall be provided within the time specified by the Authority for this purpose. Any request for clarification(s) and all clarification(s) in response thereto shall be in writing.

ISCDL | 2020 Page 27 of 88



2.22.2 If a Bidder does not provide clarifications sought under Clause 2.22.1 above within the specified time, its Proposal shall be liable to be rejected. In case the Proposal is not rejected, the Authority may proceed to evaluate the Proposal by construing the particulars requiring clarification to the best of its understanding, and the Bidder shall be barred from subsequently questioning such interpretation of the Authority.

#### D. APPOINTMENT OF CONSULTANT

## 2.23 Negotiations

- 2.23.1 The Selected Bidder may, if necessary, be invited for negotiations. The negotiations shall generally not be for reducing the price of the Proposal, but will be for re-confirming the obligations of the Consultant under this RFP. Issues such as deployment of Key Personnel, understanding of the RFP, methodology and quality of the work plan shall be discussed during negotiations. In case the Selected Bidder fails to reconfirm its commitment, the Authority reserves the right to designate the next ranked Bidder as the Selected Bidder and invite it for negotiations.
- 2.23.2 The Authority will examine the CVs of all other Professional Personnel and those not found suitable shall be replaced by the Bidder to the satisfaction of the Authority.
- 2.23.3 The Authority will examine the credentials of all Sub-Consultants (if any) proposed for this Consultancy and those not found suitable shall be replaced by the Bidder to the satisfaction of the Authority.

## 2.24 Substitution of Key Personnel

- 2.24.1 The Authority will not normally consider any request of the Selected Bidder for substitution of Key Personnel as the ranking of the Bidder is based on the evaluation of Key Personnel and any change therein may upset the ranking. Substitution will, however, be permitted if the Key Personnel is not available for reasons of any incapacity or due to health, subject to equally or better qualified and experienced personnel being provided to the satisfaction of the Authority.
- 2.24.2 The Authority expects all the Key Personnel to be available during implementation of the Agreement. The Authority will not consider substitution of Key Personnel except for reasons of any incapacity or due to health or employee leaving the organization. Such substitution shall be subject to equally or better qualified and experienced personnel being provided to the satisfaction of the Authority.
- 2.24.3 Substitution of the Project Director will only be considered after one-year tenure, post approval from client. This substitution should owe to force majeure such as the resource leaving/ sick/ death etc.

## 2.25 Indemnity

The Consultant shall, subject to the provisions of the Agreement, indemnify the Authority, for an amount not exceeding the Fees paid till date to the consultant, for any direct loss or damage that is caused due to any deficiency in Services.

### 2.26 Award of consultancy

After selection, a Letter of Award (the "LOA") shall be issued, in duplicate, by the Authority to the Selected Bidder and the Selected Bidder shall, within 7 (seven) days of the receipt of the LOA, sign and return the duplicate copy of the LOA in acknowledgement thereof. In the event the duplicate copy of the LOA duly

ISCDL | 2020 Page 28 of 88



signed by the Selected Bidder is not received by the stipulated date, the Authority may, unless it consents to extension of time for submission thereof, appropriate the Bid Security of such Bidder as mutually agreed genuine pre-estimated loss and damage suffered by the Authority on account of failure of the Selected Bidder to acknowledge the LOA, and the next highest ranking Bidder may be considered.

## 2.27 Execution of Agreement

After acknowledgement of the LOA as aforesaid by the Selected Bidder, it shall execute the Agreement within the period prescribed in Clause 1.8. The Selected Bidder shall not be entitled to seek any deviation in the Agreement.

## 2.28 Commencement of assignment

The Consultant shall commence the Services at the Project site within 14 (fourteen) days of the date of the Agreement, or such other date as may be mutually agreed. If the Consultant fails to either sign the Agreement as specified in Clause 2.27 or commence the assignment as specified herein, the Authority may invite the second ranked Bidder for negotiations. In such an event, the Bid Security of the first ranked Bidder shall be forfeited and appropriated in accordance with the provisions of Clause 2.18.5.

#### 2.29 Proprietary data

Subject to the provisions of Clause 2.21, all documents and other information provided by the Authority or submitted by a Bidder to the Authority as deliverable of this project shall remain or become the property of the Authority. Bidders and the Consultant, as the case may be, are to treat all information as strictly confidential. All information collected, analysed, processed or in whatever manner provided by the Consultant to the Authority in relation to this project shall be the property of the Authority. The Consultant shall continue to retain all pre-existing intellectual property rights in all software, designs, utilities, tools, models, systems and other methodologies and know-how that the Consultant already owns or licenses ("Consultant Materials"), including improvements to such Consultant Materials or knowledge developed while performing the Services.

ISCDL | 2020 Page 29 of 88



## 3. Criteria for evaluation

## 3.1 Evaluation of technical proposal

- 3.1.1 In the first stage, the Technical Proposal will be evaluated on the basis of Bidder's / lead member of the consortium experience, its understanding of TOR, proposed methodology and Work Plan, and the experience of Key Personnel. Only those Bidders whose Technical Proposals get a score of 70 (Seventy) marks or more out of 100 (one hundred) shall qualify for further consideration and shall be ranked from highest to the lowest on the basis of their technical score (S<sub>T</sub>).
- 3.1.2 Each Key Personnel must score a minimum of 70% (seventy per cent) marks except as provided herein. A Proposal shall be rejected if the Project Director scores less than 70% (seventy per cent) marks or the remaining Key Personnel score less than 60% (sixty per cent) marks.
- 3.1.3 The scoring criteria to be used for evaluation shall be as follows.

S no	Criteria	Marks	Criteria
1.	Project experience of the Bidder	50	1: Bidder / Any member of consortium should have experience in preparing / supporting Start-up Policy / Incubation Policy for Central / State Government / SPV/ ULB / any premium institute like IIT/IIM/ IIIT/ Amity University etc. in India. Copy of Work order / MoU / Agreement / Client Certificate is to be submitted along with citation as per Form 9 (2.5 Marks for each project, maximum 10 Marks).  2: The Bidder / Any member of the consortium must have carried out one project of similar nature as desired (related to Incubation Centers as per scope of work of this RFP) in Consulting / Technical Capacity within India for Central / State Government / SPV/ ULB / any premium institute like IIT/ /IISc / IIM/ IIIT / Amity University etc Copy of Work order / MoU / Agreement / Client Certificate is to be submitted along with citation as per Form 9. (5 Marks for each project, maximum 15 Marks)
			3: Bidder / Any member of the consortium should also have experience of working in events (Hackathons / Start-up Road shows / Corporate innovation program / Makerspace workshops) in the capacity of an ecosystem partner / Knowledge partner / Mentor in India or Globally. For this criteria bidder is required to submit copy of MoU / agreement/ Client Certificate along with Case Study. (3 Marks for each event, maximum 15 Marks)
			4: Bidder / Any member of the consortium should have experience in supporting partnership between multibillion-dollar companies and Central / State Government / SPV/ULB/any premium institute like IIT//IISc/IIM/IIIT/Amity University etc in India. Copy of Work order / MoU/Agreement/Client Certificate is to be submitted along with

ISCDL | 2020 Page 30 of 88



S no	Criteria	Marks	Criteria
			citation as per Form 9. (2.5 Marks for each partnership, maximum 10 Marks).
2.	Understanding, proposed methodology and work plan	10	Evaluation will be based on the quality of submissions and relevance to terms of reference  Approach and Methodology along with detailed work plan for the appointed consultant
3.	Presentation of Proposed Approach, Methodology and Work Plan	15	Marks shall be on the basis of the presentation on proposed methodology and Work Plan. Presentation shall be made by Project Director /On-site Project lead
4.	Project Director	15	<ul> <li>20% for meeting the qualification</li> <li>20% for additional Qualification/ certifications if any</li> <li>60% for relevant experience</li> <li>20% for each relevant project</li> </ul>
5.	On-Site Project Lead	10	<ul> <li>20% for meeting the qualification</li> <li>20% for additional Qualification/ certifications if any</li> <li>60% for relevant experience</li> <li>20% for each relevant project</li> </ul>
Total Marks		100	

Note: S. No 4 and 5 will be evaluated as per Section 2.2.2 (D) of the RFP.

## 3.2 Short-listing of Bidders

Of the Bidders ranked as aforesaid, bidders qualifying in Pre-Qualification criteria will only be considered for technical evaluation. Bidders qualified in technical evaluation will only be considered for financial bid opening.

## 3.3 Evaluation of Financial Proposal

- 3.3.1 In the second stage, the financial evaluation will be carried out as per this Clause 3.3. Each Financial Proposal will be assigned a financial score (S<sub>F</sub>) as specified in clause 3.3.3.
- 3.3.2 For financial evaluation, the total cost indicated in the Financial Proposal as specified in Form -2 of Appendix-II, will be considered.
- 3.3.3 The Authority will determine whether the Financial Proposals are complete, unqualified and unconditional. The cost indicated in the Financial Proposal shall be deemed as final and reflecting the total cost of services. Omissions, if any, in costing any item shall not entitle the firm to be compensated and the liability to fulfil its obligations as per the TOR within the total quoted price shall be that of the Consultant. The lowest Financial Proposal (F<sub>M</sub>) will be given a financial score (S<sub>F</sub>) of 100 points. The financial scores of other Proposals will be computed as follows:

$$S_F = 100 \times F_M/F$$

(F = amount of Financial Proposal)

ISCDL | 2020 Page 31 of 88



## 3.4 Combined and final proposal

3.4.1 Proposals will finally be ranked according to their combined technical ( $S_T$ ) and financial ( $S_F$ ) scores as follows:

$$S = S_T \times T_w + S_F \times F_w$$

Where S is the combined score, and  $T_w$  and  $F_w$  are weights assigned to Technical Proposal and Financial Proposal, which shall be 0.70 and 0.30 respectively.

3.4.2 The Selected Bidder shall be the first ranked Bidder (having the highest combined score).

# 4. Fraud and corrupt practices

- **4.1** The Bidders and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Selection Process. Notwithstanding anything to the contrary contained in this RFP, the Authority shall reject a Proposal without being liable in any manner whatsoever to the Bidder, if it determines that the Bidder has, directly or indirectly or through an agent, engaged in corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice (collectively the "Prohibited Practices") in the Selection Process. In such an event, the Authority shall, without prejudice to its any other rights or remedies, forfeit and appropriate the Bid Security or Performance Security, as the case may be, as mutually agreed genuine pre-estimated compensation and damages payable to the Authority for, inter alia, time, cost and effort of the Authority, in regard to the RFP, including consideration and evaluation of such Bidder's Proposal.
- **4.2** Without prejudice to the rights of the Authority under Clause 4.1 hereinabove and the rights and remedies which the Authority may have under the LOA or the Agreement, if an Bidder or Consultant, as the case may be, is found by the Authority to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice during the Selection Process, or after the issue of the LOA or the execution of the Agreement, such Bidder or Consultant shall not be eligible to participate in any tender or RFP issued by the Authority during a period of 2 (two) years from the date such Bidder or Consultant, as the case may be, is found by the Authority to have directly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as the case may be.
- **4.3** For the purposes of this Section, the following terms shall have the meaning hereinafter respectively assigned to them:
- (a) "Corrupt practice" means (i) the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of any person connected with the Selection Process (for avoidance of doubt, offering of employment to or employing or engaging in any manner whatsoever, directly or indirectly, any official of the Authority who is or has been associated in any manner, directly or indirectly with the Selection Process or the LOA or has dealt with matters concerning the Agreement or arising there from, before or after the execution thereof, at any time prior to the expiry of one year from the date such official resigns or retires from or otherwise ceases to be in the service of the Authority, shall be deemed to constitute influencing the actions of a person connected with the Selection Process); or (ii) save as provided herein, engaging in any manner whatsoever, whether during the Selection Process or after the issue of the LOA or after the

ISCDL | 2020 Page 32 of 88



execution of the Agreement, as the case may be, any person in respect of any matter relating to the Project or the LOA or the Agreement, who at any time has been or is a legal, financial or technical consultant/ adviser of the Authority in relation to any matter concerning the Project;

- (b) "Fraudulent practice" means a misrepresentation or omission of facts or disclosure of incomplete facts, in order to influence the Selection Process;
- (c) "Coercive practice" means impairing or harming or threatening to impair or harm, directly or indirectly, any persons or property to influence any person's participation or action in the Selection Process:
- (d) "Undesirable practice" means (i) establishing contact with any person connected with or employed or engaged by the Authority with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Selection Process; and Selection of Consultant to Manage the Operations of Incubation Center.
- (e) "Restrictive practice" means forming a cartel or arriving at any understanding or arrangement among Bidders with the objective of restricting or manipulating a full and fair competition in the Selection Process.

# 5. Pre-proposal conference

- **5.1** Pre-Proposal Conference of the Bidders shall be convened at the designated date, time and place. Only those Bidders, who have purchased the RFP document or downloaded the same from the Official Website of the Authority, shall be allowed to participate in the Pre-Proposal Conference. A maximux1xm of two representatives of each Bidder shall be allowed to participate on production of an authority letter from the Bidder.
- **5.2** During the course of Pre-Proposal Conference, the Bidders will be free to seek clarifications and make suggestions for consideration of the Authority. The Authority shall endeavour to provide clarifications and such further information as it may, in its sole discretion, consider appropriate for facilitating a fair, transparent and competitive Selection Process.

## 6. Miscellaneous

**6.1** The Selection Process shall be governed by, and construed in accordance with, the laws of India and the Courts in the State in which the Authority has its headquarters shall have exclusive jurisdiction over all disputes arising under, pursuant to and/or in connection with the Selection

Process.

- **6.2** The Authority, in its sole discretion and without incurring any obligation or liability, reserves the right, at any time, to:
  - (a) Suspend and/or cancel the Selection Process and/or amend and/or supplement the Selection

Process or modify the dates or other terms and conditions relating thereto;

- (b) Consult with any Bidder in order to receive clarification or further information;
- (c) Retain any information and/or evidence submitted to the Authority by, on behalf of and/or in relation to any Bidder; and/or

ISCDL | 2020 Page 33 of 88



- (d) Independently verify, disqualify, reject and/or accept any and all submissions or other information and/or evidence submitted by or on behalf of any Bidder.
- 6.3 It shall be deemed that by submitting the Proposal, the Bidder agrees and releases the Authority,
  - its employees, agents and advisers, irrevocably, unconditionally, fully and finally from any and all liability for claims, losses, damages, costs, expenses or liabilities in any way related to or arising from the exercise of any rights and/or performance of any obligations hereunder, pursuant hereto and/or in connection herewith and waives any and all rights and/or claims it may have in this respect, whether actual or contingent, whether present or future.
- **6.4** All documents and other information supplied by the Authority or submitted by a Bidder shall remain or become, as the case may be, the property of the Authority. The Authority will not return any submissions made hereunder. Bidders are required to treat all such documents and information as strictly confidential

ISCDL | 2020 Page 34 of 88



## **SCHEDULES**

**SCHEDULE-1** 

(See Clause 1.1.3)

Consultancy Services for Managing the Operations of Indore Smart City Incubation and Innovation Center

Terms of Reference (TOR)

ISCDL | 2020 Page 35 of 88



## Terms of Reference for Indore Smart City Incubation and Innovation Center

#### **SECTION 1 - BACKGROUND**

The Indore Smart City Proposal aspires to become "An ideal world-class smart commercial metropolis that thrives on investment opportunities, incubating business and ideas, rich inheritance and inclusive development".

Indore Smart City Development Ltd (ISCDL) has a special focus on continued economic development of the city by leveraging the presence of academic institutions like IIT and IIM as well as leading IT companies such as Infosys and TCS in the city. Accordingly, ISCDL is establishing an Incubation and Innovation Center spread over an area of 10,000 sq. meters. The incubation center is envisaged to be a collaborative hub for businesses, academics, city leaders and entrepreneurs to (i) Connect, develop and create smart city solutions, (ii) Undertake pilot scale testing and commercialization and (iii) build pipeline of innovative products and services.

Based upon the focus areas of ISCDL along with the demand assessment and the economic scenario in the region, the following sectors have been envisaged as the focus sectors of the proposed Incubation Center: a.) Information & Communication Technology (ICT); b.) Water, Sanitation and Solid Waste Management; c.) Electricity, New and Renewable Energy and Environmental sustainability; d.) Transport; e.) Health and Pharmaceuticals. The composition of the key pillars of an Incubation Center viz. Institution, Infrastructure and Finance has been identified and an overall business strategy has been developed comprising of the details w.r.t the requisite in-house capabilities, partnerships and funding mechanisms among others. Accordingly a business plan has been prepared based on the service offerings and the target development stages of the incubatee startups with the aim of ensuring the long term sustainability of the Incubation Center.

The objective of this project is to appoint Consultant who will be responsible to setup and define processes to be followed for Indore Incubation Center in first 3 months of this engagement. After which consultant will run the operations of Indore (Innovation & Incubation Center) for 33 months. Total Duration of this project will be 36 months. Consultant appointed will also get various tie-ups with various Industry firms, Academic Institution, Angel Investors, Legal & Accounting Firms and Marketing Firms.

The broad objectives are outlined as under:

- a) Setting up Innovation & Incubation Center laced with cutting age equipment's
- b) Identification of potential entrepreneurs
- c) Providing technical assistance to the incubates in the development of processes, technology and products along with managed workspace
- d) Provide assistance to ISCDL and incubates in execution of below mentioned services:
  - Business modelling services to help incubates make a business plan
  - Advertising and marketing services such as the provision of lists of potential suppliers, businesses, potential investors etc.
  - Training services providing insight into the principles of market economy, as well as upgraded professional and technical skills
  - Network services such as providing links and relationships with other organizations that can promote and sustain the interests of the incubates
  - Financial advice services related to funding and investments

ISCDL | 2020 Page 36 of 88



 Legal and IPR advisory services to help the incubates through the legal processes linked to registration as well as patents

e) Establishing domestic as well as international partnerships with technology companies, Academics & Research Institutions, Government bodies, VCs and Angel Funders. This will help in creating an ambient environment for the incubates. All the expenses towards executing these activities will be borne by ISCDL

## **SECTION 2 - SCOPE OF WORK**

The Scope of Work for the Consultant for operationalising the Incubation and Innovation Center would entail the following aspects:

- Preparation of a detailed implementation strategy for the Incubation Center in consonance with the ISDCL based upon the business plan. The strategy should consist of the
  - Financial Analysis Framework
  - Implementation timelines and milestones
  - Plan for fund raising for start-up, operational, and capital investment.
- Will be responsible for meeting the targets as mentioned in business plan.
- Developing a robust mechanism for the identification/ admission of the potential entrepreneurs and defining the structure of the Project Incubator Board/ Evaluation team with the qualifications of the constituting members
- Providing technical assistance to the incubates in the development of processes, technology and products along with managed workspace
- Identifying partners for running accelerator programmes for startups
- Designing review process (KPIs) for startups and incubator
- Empanelling mentors, industry experts, academicians, to provide mentorship to incubates with approval of ISCDL
- Planning of infrastructure procurement and selection of vendors for equipment / software/ testing tools/ IPs/ architects (technical & civil) etc. with approval of ISCDL
- Planning of common and specialised infrastructure to be provided to the incubatees
- Assisting the ISCDL in conducting events, workshops, competitions, and roadshows to support
  the overall Start-up ecosystem in the city. The event may include hackathons, awareness
  campaigns, product showcases, technology demonstration, fund raising/ investors
  workshops, mentorship workshops and domain specific idea-thons
- Strive for 100% occupancy of the Incubation Center by conducting regular workshops/ awareness programmes/ Business Plan Competitions at various levels.
- Facilitate to obtain funds for the incubatee companies at a post incubation phase through a network of venture capitalists from all over India or abroad

## **Service Offerings**

Provide assistance to ISCDL and incubates in execution of below mentioned services:

- Equipping the incubatee entity with the necessary facilities, equipment and services that are essential to convert the idea/concept into a successful business proposition
- Providing techno—business mentorship to prune and refine the idea from concept board level to prototyping, development and commercialisation. Some of the services are as follows:
  - o Business advisory services to help incubates in the preparation of a feasible business plan
  - o Legal and IPR advisory services to help the incubates through the legal processes linked to registration as well as patents

ISCDL | 2020 Page 37 of 88



- Advertising and marketing services including the details of the potential suppliers, businesses and potential investors among others
- o Overall training and development of incubatees for upgrading the professional and technical skills to promote Innovation and Entrepreneurship
- Support for identification of the potential markets/ competitor research/ market analysis and sizing/ marketing plan formulation
- Networking services such as providing links and relationships with other organizations for showcasing their technologies and product prototypes
- o Financial advisory services related to funding and investments
- Establishing domestic as well as international partnerships with technology companies, academics & research institutions, government bodies, VCs and funding agencies

# 2.1. Detailed Scope of Services of Consultant

The Scope of Work for the Consultant for Incubation and Innovation Center would entail the following aspects:

- 1. The Consultant shall prepare the vision report for the incubation center in the city. The following activities and subsequent analysis needs to be undertaken:
  - a) Determine the focus sectors for assessing the appetite and demand assessment within the region for an incubator focused on these business sectors.
  - b) Assess the level of community and business support for this business incubator model.
  - c) Design the financial analysis framework of the facility to be self-sustaining.
  - d) Determine long term sustainability of the proposed facility and potential funding sources for creation of the facility as well as operations.
  - e) Identify institutions and organizations that could be partners in the incubator effort.
  - f) Recommend a general operational and management plan for the incubator.
  - g) The consultant shall determine the role of the business incubator in supporting the community's economic development objectives.
  - h) The consultant should detail the level of community and stakeholder support for the incubator and its expected impact on the overall success of the project.
- 2. The Consultant should provide a structure and implementation plan to address the objectives of the project and the methodology for measurement.
  - a) Create the business plan for incubator and designing of Standard Operating Procedures.
    - i. Define the roles and hierarchy of management, staffing and operational model for the facility.
    - ii. Outline plan for fund raising for start-up, operational, and capital investment.
  - b) Identify partners for running accelerator programs for startups. Designing review process (KPIs) for startups and incubator. Defining criteria for short listing and on boarding startups. Design client entrance and exit criteria, pricing guidelines, etc.
  - c) Designing of local, global exchange programs and mentor, corporate, VC, academia engagement framework. Determine all appropriate partners as well as how Colleges shall be most effectively be incorporated into the facility.

ISCDL | 2020 Page 38 of 88



- The consultant is expected to provide technical assistance in the following implementation services;
  - a) Infrastructure Provisioning/Development
    - i. Assist in setting up of ICT infrastructure.
    - ii. Tie-up with a cloud services provider for all hosting requirements. Technology equipment purchase like touch screen collaboration screens, software, testing tools etc.
    - iii. Other allied infrastructure facilities, utilities and accessories as may be required
  - b) Selection of Incubates: Designing of well-defined operations policy with regard to incubates Potential incubates, Incubates Qualification Criteria, Broad criteria for the selection of entrepreneurs
  - c) Networking and Relationship Building Support: The Incubator Management shall strive to establish linkages especially with the demand side and investors that will maximize the commercialization potential of the final product and reduce time to market.
    - Counselling and Mentoring Support: The Incubator Management would develop and maintain a pool of domain specialists willing to serve as mentors, advisors and business counsellors for Incubates.
    - Scalability: In case number of Incubates increases, modalities of the same shall be defined.
    - Graduation/Exit of Incubates: To develop framework strategy for operational exit of Incubate Companies.
    - Events and Programmes (as explained above): To execute the Events and Programmes for ISCDL as desired during the project period.
  - d) Marketing and Capacity Building: Aggressive marketing to stakeholders while also building internal capacity to ensure that the initiative is known to everyone in India as well as internationally.
- 4. Consultant shall be responsible for overall monitoring of the Innovation and Incubation Center programs and operations which includes:
  - a) Keeping a measure of the forecasted physical achievement which includes;
    - i. Number of incubates to be admitted
    - ii. Number of incubates expected to graduate
    - iii. Number of new products/technologies/innovations to be commercialized
    - iv. Number of events related to entrepreneurship
  - b) Assist in reporting to the Empowered Committee for timely review and action. Assisting in day to day coordination with local authorities and other stakeholders.
  - c) Single point of contact for all Incubation & start-up investments/proposals /schemes and project proponents.
  - d) Preparing a scorecard to assess the health of Incubate companies and mentoring the incubate company toward achieving necessary robustness.
  - e) Planning and documenting reporting content and compliance checklists.
  - f) Knowledge creation and management for the center and other government departments to ensure smooth functioning of program.
  - g) Develop the repository of various schemes, incentives and sector specific content.

ISCDL | 2020 Page 39 of 88



Monitor applicability and provide periodic recommendations on various provisioned fiscal & non-fiscal Incentives like Capital assistance, Interest Subsidy, Stamp Duty, Operational assistance, lease rental, etc

Apart from the activities defined above, appointed consultant may be required to implement various events as envisaged in this RFP based on the decision of ISCDL. Expenses for implementing / executing such events will be borne by ISCDL directly. However, as part of the technical bid bidder is required to provide the implementation plan for such events. The value provided for implementation / execution of such programmes will not be evaluated as part of the RFP

## **SECTION 3-GENERAL INFORMATION**

# 3.1 Time Frame for the Assignment

The time for completing the Assignment would be 36 months.

# 3.2 Team Composition

The Key Experts to be proposed in this assignment shall be on payroll of the Bidder Firm. The Qualification Requirement of Key Experts is indicated below:

S No	Role	Minimum Qualification	CV to be submitted and evaluated	Minimum Experience	Expected Minimum Deployment at Client Side (per month) during the project tenure
1	Project Director	B.E. / B.Tech / MCA / MBA	Yes	Minimum 15 years' industry experience with minimum 10 years in Government sector.  Should have 2 years of experience in the field of Incubation Center setup / operations and maintenance.  Project Director should be on Payrolls of the Bidding Entity with minimum 1 year from the date of bid submission.  (Bidder should provide self-certificate for this condition duly signed by HR Head / Authorized signatory).	Part Time with minimum 2 days per fort- night
2	On-site Project lead	B.E. / B.Tech /MCA / MBA	Yes	Minimum 10 years of industry experience with minimum seven years in the field of IT/ITES/ICT Projects.  Should have 2 years of experience in the field of	Full Time

ISCDL | 2020 Page 40 of 88



S No	Role	Minimum Qualification	CV to be submitted and evaluated	Minimum Experience	Expected Minimum Deployment at Client Side (per month) during the project tenure
				Incubation Center setup / operations and maintenance.	
3	Support Associates	B.E./ B.Tech / MCA / MBA / Law / CA	No	Minimum 3 years' of industry experience	Full Time (each resource)
	(2 Nos)			Should have 1 year of experience in the field of Incubation Center setup / operations and maintenance.	

CVs of all the key personnel have to be submitted along with technical proposal, as per instructions given in this RFP. Evaluation of CVs will only be done as per criteria mentioned in technical evaluation framework given in this RFP.

# 3.3 Output, Deliverables, Payment Terms

The following outputs are expected to be delivered in stages in total duration of assignment.

S.No	Deliverables	Number of copies	Time Period (Cumulative) from the date of start of Assignment	Payment Schedule (% of Total Project Value, as quoted in the Financial Proposal, Form - 1)
0	Signing of contract		T1	Nil
1	Submission of "Incubation Center Inception Report"	1 Hard copy with soft copy over email	T1+ 15 days	7.5%
2	Submission of "Target Operating Model Report"	1 Hard copy with soft copy over email	T1+3 Months	12.5%
	This report will comprise of Governance Mecha- nism, Operating Models for various events, schemes and pro- grammes, and progress			
3	Implementation Support Monthly Progress Report	1 Hard copy with soft copy over email	T2+33 Months	Remaining 80% in 11 equal quarterly instalments

ISCDL | 2020 Page 41 of 88



Note: The period between the submission of draft report and its discussion would not be included in the period of assignment.

- 1. T1 = Date of Signing of Contract / Agreement
- 2. T2 = Date of Approval of Target Operating Model

The payment will be become due on approval of the draft reports and on raising of bills/ invoice by the consultant after the approval of the stage report. The processing time of the payment will be 60 days for final payment and 30 days for all other payments.

Formats of the various reports like "Incubation Center Inception Report", "Target Operating Model Report" and "Implementation Support Monthly Progress Report" will be suggested by the appointed consultant and approved by ISCDL.

# 3.4 Procedure for Monitoring & Review of the Assignment

The Consultant's work will be monitored and reviewed by the Evaluation/Audit Committee under the ISCDL assigned Committee.

The Consultants will be provided space in the office of ISCDL during the tenure of project.

**Note: Monthly Progress Reports** 

The Consultant shall submit progress reports on monthly basis to the Client to keep track of the project activities during the entire implementation phase.

Monthly Progress will be assessed based on below KPIs. (Minimum expectation may be defined basis mutual consent with Authority. Any central benchmark study can be referred for revision)

S.No.	КРІ	Monthly / Quarterly / Half yearly / Yearly	Minimum Expectation
1	Number of Enquires		
2	Number of Enquiries Turned into Tenants		
3	Size of Network (total connections)  (MoU signed with Institutes / Organizations for Mentorship Support / Infra Support etc)		
4	Amount of funding generated for the Incubation center  (Including % of Revenue from Public Subsidies, CSR etc.)		
5	Employment Generated (%).		
6	Patents Granted		
7	Incubatee Profit Growth (%).		
8	Incubatee survival rate (Graduated / Successful Exit)		

ISCDL | 2020 Page 42 of 88



9	Number of Events conducted along with number of attendees.	
10	Average Annual Operating Costs	
11	Incubatee satisfaction level	

Note: Minimum expectations from above KPIs will be set by advisory board after submission and review of "Target Operating Model Report". In case the bidder could not meet the minimum expectations in defined frequency, it will trigger penalty of 1.5% against the KPI to successive quarterly payment

ISCDL | 2020 Page 43 of 88



# **SCHEDULE-2**

(See Clause 2.1.3)

# CONTRACT FOR CONSULTANT'S SERVICES

Selection of consultant for Managing the operations of Incubation and Innovation Center at ISCDL

Contract No.

between
[Name of the Authority]
and
_ [Name of the Consultant]
Dated:

ISCDL | 2020 Page 44 of 88



#### CONTENTS

## 1. General

- 1.1 Definitions and Interpretation
- 1.2 Relation between the Parties
- 1.3 Rights and Obligations
- 1.4 Governing law and jurisdiction
- 1.5 Language
- 1.6 Table of contents and headings
- 1.7 Notices
- 1.8 Location
- 1.9 Authority of Member-in-Charge
- 1.10 Authorized representatives
- 1.11 Taxes and duties

## 2. Commencement, Completion and Termination of Agreement

- 2.1 Effectiveness of Agreement
- 2.2 Commencement of Services
- 2.3 Termination of Agreement for failure to commence Services
- 2.4 Expiry of Agreement
- 2.5. Entire Agreement
- 2.6. Modification of Agreement
- 2.7 Force Majeure
- 2.8. Suspension of Agreement
- 2.9 Termination of Agreement

## 3. Obligations of the Consultant

- 3.1 General
- 3.3 Confidentiality
- 3.4 Liability of the Consultant
- 3.5 Insurance to be taken out by the Consultant
- 3.6 Accounting, inspection and auditing
- 3.7 Consultant's actions requiring the Authority's prior approval
- 3.8 Reporting obligations
- 3.9 Documents prepared by the Consultant to be the property of the Authority
- 3.10 Equipment and materials furnished by the Authority
- 3.11 Providing access to the Project Office and Personnel
- 3.12 Accuracy of Documents

#### 4. Consultant's Personnel and Sub-Consultant

- 4.1 General
- 4.2 Deployment of Personnel
- 4.3 Approval of Personnel
- 4.4 Substitution of Key Personnel
- 4.5 Working hours, overtime, leave etc.
- 4.6 Resident Project Director and Project Manager
- 4.7. Sub-Consultants

ISCDL | 2020 Page 45 of 88



# 5. Obligations of the Authority

- 5.1 Assistance in clearances etc.
- 5.2 Access to land and property
- 5.3 Change in Applicable Law
- 5.4 Payment

## 6. Payment to the Consultant

- 6.1 Cost estimates and Agreement Value
- 6.2 Currency of payment
- 6.3 Mode of billing and payment

## 7. Liquidated damages and penalties

- 7.1 Performance Security
- 7.2 Liquidated Damages
- 7.3 Penalty for deficiency in Services

## 8. Fairness and Good Faith

- 8.1 Good Faith
- 8.2 Operation of the Agreement

## 9. Settlement of Disputes

- 9.1 Amicable settlement
- 9.2 Dispute resolution
- 9.3 Conciliation
- 9.4 Arbitration

## **ANNEXES**

Annex-1: Terms of Reference
Annex-2: Deployment of Personnel
Annex-3: Estimate of Personnel Costs
Annex-4: Approved Sub-Consultant(s)

Annex-5: Cost of Services
Annex-6: Payment Schedule

Annex-7: Bank Guarantee for Performance Security

ISCDL | 2020 Page 46 of 88



## **AGREEMENT**

# Selection of consultant for managing the operations of Incubation and Innovation Center at ISCDL

(herein	20, b after ca ted ass	ENT (hereinafter called the "Agreement") is made on the			
respect	ive succ	ressors and permitted assigns).			
WHERE	EAS				
(A)	The Authority vide its Request for Proposal for selection of consultant for managing the operations of Incubation and Innovation Center (hereinafter called the "Consultancy") for the				
(B)	the Consultant submitted its proposals for the aforesaid work, whereby the Consultant represented to the Authority that it had the required professional skills, and in the said proposals the Consultant also agreed to provide the Services to the Authority on the terms and conditions as set forth in the RFP and this Agreement; and				
(C)	The Authority, on acceptance of the aforesaid proposals of the Consultant, awarded the Consultancy to the Consultant vide its Letter of Award dated(The "LOA"); and				
(D)	In pursuance of the LOA, the parties have agreed to enter into this Agreement.				
NOW, T	HEREF(	ORE, the parties hereto hereby agree as follows:			
1. GEN	IERAL				
1.1. De	finition	ns and Interpretation			
1.1.1 T		ls and expressions beginning with capital letters and defined in this Agreement shall, the context otherwise requires, have the meaning hereinafter respectively assigned to			
	(a)	"Agreement" means this Agreement, together with all the Annexes;			
	(b)	"Agreement Value" shall have the meaning set forth in Clause 6.1.2;			
	(c)	"Applicable Laws" means the laws and any other instruments having the force of law in India as they may be issued and in force from time to time;			
	(d)	"Confidential Information" shall have the meaning set forth in Clause 3.3;			
	(e)	"Dispute" shall have the meaning set forth in Clause 9.2.1;			

ISCDL | 2020 Page 47 of 88



- (f) "Effective Date" means the date on which this Agreement comes into force and effect pursuant to Clause 2.1;
- (g) Deleted
- (h) "Government" means the Government of ......;
- (i) "INR, Re. or Rs." means Indian Rupees;
- (l) "Member", in case the Consultant consists of a joint venture or consortium of more than one entity, means any of these entities, and "Members" means all of these entities:
- (m) "Party" means the Authority or the Consultant, as the case may be, and Parties means both of them;
- (n) "Personnel" means persons hired by the Consultant or by any Sub-Consultant as employees and assigned to the performance of the Services or any part thereof;
- (o) "Resident Personnel" means such persons who at the time of being so hired had their domicile inside India;
- (p) "RFP" means the Request for Proposal document in response to which the Consultant's proposal for providing Services was accepted;
- (q) "Services" means the work to be performed by the Consultant pursuant to this Agreement, as described in the Terms of Reference hereto;
- (r) "Sub-Consultant" means any entity to which the Consultant subcontracts any part of the Services in accordance with the provisions of Clause 4.7; and
- (s) "Third Party" means any person or entity other than the Government, the Authority, the Consultant or a Sub-Consultant.

All terms and words not defined herein shall, unless the context otherwise requires, have the meaning assigned to them in the RFP.

- 1.1.2 The following documents along with all addenda issued thereto shall be deemed to form and be read and construed as integral parts of this Agreement and in case of any contradiction between or among them the priority in which a document would prevail over another would be as laid down below beginning from the highest priority to the lowest priority:
  - (a) Agreement;
  - (b) Annexes of Agreement;
  - (c) RFP; and
  - (d) Letter of Award.

#### 1.2. Relation between the Parties

Nothing contained herein shall be construed as establishing a relation of master and servant or of agent and principal as between the Authority and the Consultant. The Consultant shall,

ISCDL | 2020 Page 48 of 88



subject to this Agreement, have complete charge of Personnel performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

## 1.3 Rights and obligations

The mutual rights and obligations of the Authority and the Consultant shall be as set forth in the Agreement, in particular:

- (a) The Consultant shall carry out the Services in accordance with the provisions of the Agreement; and
- (b) The Authority shall make payments to the Consultant in accordance with the provisions of the Agreement.

## 1.4 Governing law and jurisdiction

This Agreement shall be construed and interpreted in accordance with and governed by the laws of India, and the courts in the State in which the Authority has its headquarters shall have exclusive jurisdiction over matters arising out of or relating to this Agreement.

#### 1.5 Language

All notices required to be given by one Party to the other Party and all other communications, documentation and proceedings which are in any way relevant to this Agreement shall be in writing and in English language.

## 1.6 Table of contents and headings

The table of contents, headings or sub-headings in this Agreement is for convenience of reference only and shall not be used in, and shall not affect, the construction or interpretation of this Agreement.

#### 1.7 Notices

Any notice or other communication to be given by any Party to the other Party under or in connection with the matters contemplated by this Agreement shall be in writing and shall:

- (a) in the case of the Consultant, be given by e-mail and by letter delivered by hand to the address given and marked for attention of the Consultant's Representative set out below in Clause 1.10 or to such other person as the Consultant may from time to time designate by notice to the Authority; provided that notices or other communications to be given to an address outside the city specified in Sub-clause (b) below may, if they are subsequently confirmed by sending a copy thereof by registered acknowledgement due, air mail or by courier, be sent by e-mail to the number as the Consultant may from time to time specify by notice to the Authority;
- (b) in the case of the Authority, be given by e-mail and by letter delivered by hand and be addressed to the Authority with a copy delivered to the Authority Representative set out below in Clause 1.10 or to such other person as the Authority may from time to time designate by notice to the Consultant; provided that if the Consultant does not have an office in the same city as the Authority's office, it may send such notice by e-mail and by registered acknowledgement due, air mail or by courier; and

ISCDL | 2020 Page 49 of 88



(c) any notice or communication by a Party to the other Party, given in accordance herewith, shall be deemed to have been delivered when in the normal course of post it ought to have been delivered and in all other cases, it shall be deemed to have been delivered on the actual date and time of delivery; provided that in the case of e-mail, it shall be deemed to have been delivered on the working days following the date of its delivery.

#### 1.8 Location

The Services shall be performed at the site of the Project in accordance with the provisions of RFP and at such locations as are incidental thereto, including the offices of the Consultant.

## 1.9 Authority of Member-in-charge

In case the Consultant consists of a consortium of more than one entity, the Parties agree that the Lead Member shall act on behalf of the Members in exercising all the Consultant's rights and obligations towards the Authority under this Agreement, including without limitation the receiving of instructions and payments from the Authority.

## 1.10 Authorized Representatives

- 1.10.1 Any action required or permitted to be taken, and any document required or permitted to be executed, under this Agreement by the Authority or the Consultant, as the case may be, may be taken or executed by the officials specified in this Clause 1.10.
- 1.10.2 The Authority may, from time to time, designate one of its officials as the Authority Representative. Unless otherwise notified, the Authority Representative shall be:

  ........

  Tel: ........

  Mobile: ........

  Email: ........

  1.10.3 The Consultant may designate one of its employees as Consultant's Representative. Unless otherwise notified, the Consultant's Representative shall be:

  ........

  Tel: ........

  Mobile: .........

  Email: .........

ISCDL | 2020 Page 50 of 88



#### 1.11 Taxes and duties

Unless otherwise specified in the Agreement, the Consultant shall pay all such taxes, duties, fees and other impositions as may be levied under the Applicable Laws and the Authority shall perform such duties in regard to the deduction of such taxes as may be lawfully imposed on it.

#### 2. COMMENCEMENT, COMPLETION AND TERMINATION OFAGREEMENT

#### 2.1. Effectiveness of Agreement

This Agreement shall come into force and effect on the date of this Agreement (the "Effective Date").

#### 2.2. Commencement of Services

The Consultant shall commence the Services within a period of 7 (seven) days from the Effective Date, unless otherwise agreed by the Parties.

## 2.3 Termination of Agreement for failure to commence Services

If the Consultant does not commence the Services within the period specified in Clause 2.2 above, the Authority may, by not less than 2 (two) weeks' notice to the Consultant, declare this Agreement to be null and void, and in the event of such a declaration, the Bid Security of the Consultant shall stand forfeited.

## 2.4 Expiry of Agreement

- a) Unless terminated earlier pursuant to Clauses 2.3 or 2.9 hereof, this Agreement shall, unless extended by the Parties by mutual consent, expire upon the earlier of (i) expiry of a period of 90 (ninety) days after the delivery of the final deliverable to the Authority; and (ii) the expiry of [3 (three) years] from the Effective Date. Upon Termination, the Authority shall make payments of all amounts due to the Consultant hereunder.
- b) The Contract will be initially for a period of 3 (three) years from the date of contract. Based on satisfactory performance (the key measuring criteria will be self-sustainability of the Incubation Center, i.e. if the operational cost can be managed with the fund being generated by Incubation Center) of the Consultant responsible for managing and operating Incubation Center the Contract may extend maximum up to for 2 additional years on a yearly basis on mutually agreed terms and Conditions.

## 2.5 Entire Agreement

This Agreement and the Annexes together constitute a complete and exclusive statement of the terms of the agreement between the Parties on the subject hereof, and no amendment or modification hereto shall be valid and effective unless such modification or amendment is agreed to in writing by the Parties and duly executed by persons especially empowered in this behalf by the respective Parties. All prior written or oral understandings offers or other communications of every kind pertaining to this Agreement are abrogated and withdrawn; provided, however, that the obligations of the Consultant arising out of the provisions of the RFP shall continue to subsist and shall be deemed to form part of this Agreement.

2.5.1 Without prejudice to the generality of the provisions of Clause 2.5.1, on matters not covered by this Agreement, the provisions of RFP shall apply.

ISCDL | 2020 Page 51 of 88



## 2.6 Modification of Agreement

Modification of the terms and conditions of this Agreement, including any modification of the scope of the Services, may only be made by written agreement between the Parties. Pursuant to Clauses 4.2.3 and 6.1.3 hereof, however, each Party shall give due consideration to any proposals for modification made by the other Party.

#### 2.7 Force Majeure

#### 2.7.1 Definition

- (a) For the purposes of this Agreement, "Force Majeure" means an event which is beyond the reasonable control of a Party, and which makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible in the circumstances, and includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action (except where such strikes, lockouts or other industrial action are within the power of the Party invoking Force Majeure to prevent), confiscation or any other action by government agencies.
- (b) Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Sub-Consultant or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both (A) take into account at the time of the conclusion of this Agreement, and (B) avoid or overcome in the carrying out of its obligations hereunder.
- (c) Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.

## 2.7.2 No breach of Agreement

The failure of a Party to fulfil any of its obligations hereunder shall not be considered to be a breach of, or default under, this Agreement insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Agreement.

## 2.7.3 Measures to be taken

- (a) A Party affected by an event of Force Majeure shall take all reasonable measures to remove such Party's inability to fulfill its obligations hereunder with a minimum of delay.
- (b) A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any event not later than 14 (fourteen) days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give notice of the restoration of normal conditions as soon as possible.
- (c) The Parties shall take all reasonable measures to minimize the consequences of any event of Force Majeure.

#### 2.7.4 Extension of time

ISCDL | 2020 Page 52 of 88



Any period within which a Party shall, pursuant to this Agreement, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

### 2.7.5 Payments

During the period of its inability to perform the Services as a result of an event of Force Majeure, the Consultant shall be entitled to be reimbursed for Additional Costs reasonably and necessarily incurred by it during such period for the purposes of the Services and in reactivating the Services after the end of such period.

#### 2.7.6 Consultation

Not later than 30 (thirty) days after the Consultant has, as the result of an event of Force Majeure, become unable to perform a material portion of the Services, the Parties shall consult with each other with a view to agreeing on appropriate measures to be taken in the circumstances.

## 2.8 Suspension of Agreement

The Authority may, by written notice of suspension to the Consultant, suspend all payments to the Consultant hereunder if the Consultant shall be in breach of this Agreement or shall fail to perform any of its obligations under this Agreement, including the carrying out of the Services; provided that such notice of suspension (i) shall specify the nature of the breach or failure, and (ii) shall provide an opportunity to the Consultant to remedy such breach or failure within a period not exceeding 30 (thirty) days after receipt by the Consultant of such notice of suspension.

## 2.9 Termination of Agreement

## 2.9.1 By the Authority

The Authority may, by not less than 30 (thirty) days' written notice of termination to the Consultant, such notice to be given after the occurrence of any of the events specified in this Clause 2.9.1, terminate this Agreement if:

- (a) the Consultant fails to remedy any breach hereof or any failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause 2.8 hereinabove, within 30 (thirty) days of receipt of such notice of suspension or within such further period as the Authority may have subsequently granted in writing;
- (b) the Consultant becomes insolvent or bankrupt or enters into any agreement with its creditors for relief of debt or take advantage of any law for the benefit of debtors or goes into liquidation or receivership whether compulsory or voluntary;
- (c) The Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause 9 hereof;
- (d) The Consultant submits to the Authority a statement which has a material effect on the rights, obligations or interests of the Authority and which the Consultant knows to be false;

ISCDL | 2020 Page 53 of 88



- (e) any document, information, data or statement submitted by the Consultant in its Proposals, based on which the Consultant was considered eligible or successful, is found to be false, incorrect or misleading;
- (f) As the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than 60 (sixty) days; or
- (g) The Authority, in its sole discretion and for any reason whatsoever, decides to terminate this Agreement.

## 2.9.2 By the Consultant

The Consultant may, by not less than 30 (thirty) days' written notice to the Authority, such notice to be given after the occurrence of any of the events specified in this Clause 2.9.2, terminate this Agreement if:

- (a) the Authority fails to pay any money due to the Consultant pursuant to this Agreement and not subject to dispute pursuant to Clause 9 hereof within 45 (forty five) days after receiving written notice from the Consultant that such payment is overdue;
- (b) the Authority is in material breach of its obligations pursuant to this Agreement and has not remedied the same within 45 (forty five) days (or such longer period as the Consultant may have subsequently granted in writing) following the receipt by the Authority of the Consultant's notice specifying such breach;
- (c) as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than 60 (sixty) days; or
- (d) The Authority fails to comply with any final decision reached as a result of arbitration pursuant to Clause 9 hereof.

## 2.9.3 Cessation of rights and obligations

Upon termination of this Agreement pursuant to Clauses 2.3 or 2.9 hereof, or upon expiration of this Agreement pursuant to Clause 2.4 hereof, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, or which expressly survive such Termination; (ii) the obligation of confidentiality set forth in Clause 3.3 hereof; (iii) the Consultant's obligation to permit inspection, copying and auditing of such of its accounts and records set forth in Clause 3.6, as relate to the Consultant's Services provided under this Agreement; and (iv) any right or remedy which a Party may have under this Agreement or the Applicable Law.

#### 2.9.4 Cessation of Services

Upon termination of this Agreement by notice of either Party to the other pursuant to Clauses 2.9.1 or 2.9.2 hereof, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Authority, the Consultant shall proceed as provided respectively by Clauses 3.9 or 3.10 hereof.

#### 2.9.5 Payment upon Termination

ISCDL | 2020 Page 54 of 88



Upon termination of this Agreement pursuant to Clauses 2.9.1 or 2.9.2 hereof, the Authority shall make the following payments to the Consultant (after offsetting against these payments any amount that may be due from the Consultant to the Authority):

- (i) Remuneration pursuant to Clause 6 hereof for Services satisfactorily performed prior to the date of termination;
- (ii) Reimbursable expenditures pursuant to Clause 6 hereof for expenditures actually incurred prior to the date of termination; and
- (iii) Except in the case of termination pursuant to sub-clauses (a) through (e) of Clause 2.9.1 hereof, reimbursement of any reasonable cost incidental to the prompt and orderly termination of the Agreement including the cost of the return travel of the Consultant's personnel.

## 2.9.6 Disputes about Events of Termination

If either Party disputes whether an event specified in Clause 2.9.1 or in Clause 2.9.2 hereof has occurred, such Party may, within 30 (thirty) days after receipt of notice of termination from the other Party, refer the matter to arbitration pursuant to Clause 9 hereof, and this Agreement shall not be terminated on account of such event except in accordance with the terms of any resulting arbitral award.

## 3. OBLIGATIONS OF THE CONSULTANT

#### 3.1. General

## 3.1.1 Standards of Performance

The Consultant shall perform the Services and carry out its obligations hereunder with all due diligence, efficiency and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Agreement or to the Services, as a faithful adviser to the Authority, and shall at all times support and safeguard the Authority's legitimate interests in any dealings with Sub-Consultants or Third Parties.

#### 3.1.2. Terms of Reference

The scope of services to be performed by the Consultant is specified in the Terms of Reference (the "TOR") at Annex-1 of this Agreement. The Consultant shall provide the Deliverables specified therein in conformity with the time schedule stated therein.

## 3.1.3 Applicable Laws

The Consultant shall perform the Services in accordance with the Applicable Laws and shall take all practicable steps to ensure that any Sub-Consultant, as well as the Personnel and agents of the Consultant and any Sub-Consultant, comply with the Applicable Laws.

#### 3.2 Confidentiality

The Consultant, its Sub-Consultants and the Personnel of either of them shall not, either during the term or within two years after the expiration or termination of this Agreement disclose any proprietary information, including information relating to reports, data, drawings, design software or other

ISCDL | 2020 Page 55 of 88



material, whether written or oral, in electronic or magnetic format, and the contents thereof; and any reports, digests or summaries created or derived from any of the foregoing that is provided by the Authority to the Consultant, its Sub-Consultants and the Personnel; any information provided by or relating to the Authority, its technology, technical processes, business affairs or finances or any information relating to the Authority's employees, officers or other professionals or suppliers, customers, or contractors of the Authority; and any other information which the Consultant is under an obligation to keep confidential in relation to the Project, the Services or this Agreement ("Confidential Information"), without the prior written consent of the Authority.

Notwithstanding the aforesaid, the Consultant, its Sub-Consultants and the Personnel of either of them may disclose Confidential Information to the extent that such Confidential Information:

- (i) Was in the public domain prior to its delivery to the Consultant, its Sub-Consultants and the Personnel of either of them or becomes a part of the public knowledge from a source other than the Consultant, its Sub-Consultants and the Personnel of either of them;
- (ii) Was obtained from a third party with no known duty to maintain its confidentiality;
- (iii) is required to be disclosed by Applicable Laws or judicial or administrative or arbitral process or by any governmental instrumentalities, provided that for any such disclosure, the Consultant, its Sub-Consultants and the Personnel of either of them shall give the Authority, prompt written notice, and use reasonable efforts to ensure that such disclosure is accorded confidential treatment; and
- (iv) is provided to the professional advisers, agents, auditors or representatives of the Consultant or its Sub-Consultants or Personnel of either of them, as is reasonable under the circumstances; provided, however, that the Consultant or its Sub Consultants or Personnel of either of them, as the case may be, shall require their professional advisers, agents, auditors or its representatives, to undertake in writing to keep such Confidential Information, confidential and shall use its best efforts to ensure compliance with such undertaking.
- 3.3 Liability of the Consultant
- 3.3.1 The Consultant's liability under this Agreement shall be determined by the Applicable Laws and the provisions hereof.
- 3.3.2 The Consultant shall, subject to the limitation specified in Clause 3.3.3, be liable to the Authority for any direct loss or damage accrued or likely to accrue due to deficiency in Services rendered by it.
- 3.3.3 The Parties hereto agree that in case of negligence or wilful misconduct on the part of the Consultant or on the part of any person or firm acting on behalf of the Consultant in carrying out the Services, the Consultant, shall not be liable to the Authority:
  - (i) For any indirect or consequential loss or damage; and
  - (ii) For any direct loss or damage that exceeds the Agreement Value set forth in Clause 6.1.2 of this Agreement.
- 3.4 Insurance to be taken out by the Consultant
- 3.4.1 The Consultant shall, for the duration of this Agreement, maintain, and shall cause any Sub Consultant to maintain at its own cost, adequate insurance as may be required under by statute/act in accordance with good industry practice.

ISCDL | 2020 Page 56 of 88



#### 3.5 Accounting, inspection and auditing

The Consultant shall:

- (a) keep accurate and systematic accounts and records in respect of the Services provided under this Agreement, in accordance with generally accepted accounting principles and in such form and detail as will clearly identify all relevant time charges and cost, and the basis thereof (including the basis of the Consultant's costs and charges); and
- (b) Permit the Authority or its designated representative with prior notice, and up to one year from the expiration or termination of this Agreement, to inspect the invoice. The fee related to such audit will be borne by the Authority. However, such audit shall be restricted to physical files only and subject to the Consultant's confidentiality policy.

#### 3.6 Consultant's actions requiring the Authority's prior approval

The Consultant shall obtain the Authority's prior approval in writing before taking any of the following actions:

- (a) entering into a subcontract for the performance of any part of the Services, it being understood (i) that the selection of the Sub-Consultant and the terms and conditions of the subcontract shall have been approved in writing by the Authority prior to the execution of the subcontract, and (ii) that the Consultant shall remain fully liable for the performance of the Services by the Sub-Consultant and its Personnel pursuant to this Agreement; or
- (b) Any other action that is specified in this Agreement.

## 3.7 Reporting obligations

The Consultant shall submit to the Authority the reports and documents specified in the Agreement, in the form, in the numbers and within the time periods set forth therein.

- 3.8 Documents prepared by the Consultant to be property of the Authority
- 3.8.1 Bidder will own the intellectual property rights in the deliverables created under this agreement and authority will have non-transferable license to use the deliverable for its own internal purposes.
- 3.8.2 The Consultant shall, not later than termination or expiration of this Agreement, deliver all Consultancy Documents to the Authority, together with a detailed inventory thereof. The Consultant may retain a copy of such Consultancy Documents as working papers and also the information/documents which are automatically backed up in central servers. The Consultant, its Sub-Consultants or a Third Party shall not use these Consultancy Documents for purposes unrelated to this Agreement without the prior written approval of the Authority.
- 3.8.3 The Consultant shall be liable to the Authority for any losses, claims, damages, expenses (including all legal expenses), awards, penalties or injuries (collectively referred to as 'Claims') which may arise from or due to any unauthorized use of such Consultancy Documents, or due to any breach or failure on part of the Consultant or its Sub Consultants or a Third Party to perform any of its duties or obligations in relation to securing the aforementioned rights of the Authority.

#### 3.9 Equipment and materials furnished by the Authority

Equipment and materials made available to the Consultant by the Authority shall be the property of the Authority and shall be marked accordingly. Upon termination or expiration of

ISCDL | 2020 Page 57 of 88



this Agreement, the Consultant shall furnish forthwith to the Authority, an inventory of such equipment and materials and shall dispose of such equipment and materials in accordance with the instructions of the Authority subject to 3.8.2.

#### 4. CONSULTANT'S PERSONNEL AND SUB-CONSULTANTS

#### 4.1 General

The Consultant shall employ and provide such qualified and experienced Personnel as may be required to carry out the Services.

#### 4.2 Deployment of Personnel

- 4.2.1 The designations, names and the estimated periods of engagement in carrying out the Services by each of the Consultant's Personnel are described in Annex-2 of this Agreement.
- 4.2.2 Adjustments with respect to the estimated periods of engagement of Personnel set forth in the aforementioned Annex-3 may be made by the Consultant by written notice to the Authority, provided that: (i) such adjustments shall not alter the originally estimated period of engagement of any individual by more than 20% (twenty percent) or one week, whichever is greater, and (ii) the aggregate of such adjustments shall not cause payments under the Agreement to exceed the Agreement Value set forth in Clause 6.1.2 of this Agreement. Any other adjustments shall only be made with the written approval of the Authority.
- 4.2.3 If additional work is required beyond the scope of the Services specified in the Terms of Reference, the estimated periods of engagement of Personnel, set forth in the Annexes of the Agreement may be increased by agreement in writing between the Authority and the Consultant, subject to additional fee as may be specifically agreed between the parties in writing.

## 4.3 Approval of Personnel

4.3.1 The Professional Personnel listed in Annex-2 of the Agreement are hereby approved by the Authority. Based on the requirement of the project, consultant may involve other resources while ensuring that all the resources are competent and have relevant experience.

## 4.4 Substitution of Key Personnel

The Authority expects all the Key Personnel specified in the Proposal to be available during implementation of the Agreement. If there is any change in Key Personnel, consultant shall ensure to substitute an equally or better qualified and experienced personnel.

#### 4.5 Sub-Consultants

Sub-Consultants listed in Annex-4 of this Agreement are hereby approved by the Authority. The Consultant may, with prior written approval of the Authority, engage additional Sub Consultants or substitute an existing Sub-Consultant. The hiring of Personnel by the Sub Consultants shall be subject to the same conditions as applicable to Personnel of the Consultant under this Clause 4.

#### 5. OBLIGATIONS OF THE AUTHORITY

#### 5.1 Assistance in clearances etc.

Unless otherwise specified in the Agreement, the Authority shall make best efforts to ensure that the Government shall:

ISCDL | 2020 Page 58 of 88



- (a) provide the Consultant, its Sub-Consultants and Personnel with work permits and such other documents as may be necessary to enable the Consultant, its Sub-Consultants or Personnel to perform the Services;
- (b) Facilitate prompt clearance through customs of any property required for the Services; and
- (c) Issue to officials, agents and representatives of the Government all such instructions as may be necessary or appropriate for the prompt and effective implementation of the Services.

#### 5.2 Access to land and property

The Authority warrants that the Consultant shall have, free of charge, unimpeded access to the site of the project in respect of which access is required for the performance of Services; provided that if such access shall not be made available to the Consultant as and when so required, the Parties shall agree on (i) the time extension, as may be appropriate, for the performance of Services, and (ii) the additional payments, if any, to be made to the Consultant as a result thereof pursuant to Clause 6.1.3.

#### 5.3 Changes in Applicable Law

If, after the date of this Agreement, there is any change in the Applicable Laws with respect to taxes and duties which increases or decreases the cost or reimbursable expenses incurred by the Consultant in performing the Services, by an amount exceeding 2% (two per cent) of the Agreement Value specified in Clause 6.1.2, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Agreement shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the aforesaid Agreement Value.

## 5.4 Payment

In consideration of the Services performed by the Consultant under this Agreement, the Authority shall make to the Consultant such payments and in such manner as is provided in Clause 6 of this Agreement.

#### 6. PAYMENT TO THE CONSULTANT

- 6.1. Cost estimates and Agreement Value
- 6.1.1 An abstract of the cost of the Services payable to the Consultant is set forth in Annex-5 of the Agreement.
- 6.1.3 Notwithstanding anything to the contrary contained in Clause 6.1.2, if pursuant to the provisions of Clauses 2.6 and 2.7, the Parties agree that additional payments shall be made to the Consultant in order to cover any additional expenditures not envisaged in the cost estimates referred to in Clause 6.1.1 above, the Agreement Value set forth in Clause 6.1.2 above shall be increased by the amount or amounts, as the case may be, of any such additional payments.
- 6.1.4 All the cost incurred towards organizing, and implementing any event for Indore Smart City Incubation and Innovation Centers shall be borne by ISCDL to implementing agencies. Appointed consultant will only assist ISCDL in conducting and managing the event.

ISCDL | 2020 Page 59 of 88



#### 6.2. Currency of payment

All payments shall be made in Indian Rupees. The Consultant shall be free to convert Rupees into any foreign currency as per Applicable Laws.

### 6.3. Mode of billing and payment

Billing and payments in respect of the Services shall be made as follows;

- (a) The Consultant shall be paid for its services as per the Payment Schedule at Annex-6 of this Agreement, subject to the Consultant fulfilling the following conditions:
  - (i) No payment shall be due for the next stage till the Consultant completes, to the satisfaction of the Authority, the work pertaining to the preceding stage.
  - (ii) The Authority shall pay to the Consultant, only the undisputed amount.
- (b) The Authority shall cause the payment due to the Consultant to be made within 30 (thirty) days after the receipt by the Authority of duly completed bills with necessary particulars (the "Due Date"). Interest at the rate of 10% (ten per cent) per annum shall become payable as from the Due Date on any amount due by, but not paid on or before, such Due Date.
- (c) Any amount which the Authority has paid or caused to be paid in excess of the amounts actually payable in accordance with the provisions of this Agreement shall be reimbursed by the Consultant to the Authority within 30 (thirty) days after receipt by the Consultant of notice thereof. Any such claim by the Authority for reimbursement must be made within 1 (one) year after receipt by the Authority of a final report in accordance with Clause 6.3 (d). Any delay by the Consultant in reimbursement by the due date shall attract simple interest @ 10% (ten percent) per annum.
- (d) All payments under this Agreement shall be made to the account of the Consultant as may be notified to the Authority by the Consultant.

## 7. LIQUIDATED DAMAGES AND PENALTIES

## 7.1 Performance Security

7.1.1 The Authority shall retain by way of performance security (the "Performance Security"), 5% (five per cent) of all the amounts due and payable to the Consultant, to be appropriated against breach of this Agreement or for recovery of liquidated damages as specified in Clause 7.2. The balance remaining out of the Performance Security shall be returned to the Consultant at the end of 3 (three) months after the expiry of this Agreement pursuant to Clause 2.4 hereof. For the avoidance of doubt, the parties hereto expressly agree that in addition to appropriation of the amounts withheld hereunder, in the event of any default requiring the appropriation of further amounts comprising the Performance Security, the Authority may make deductions from any subsequent payments due and payable to the Consultant hereunder, as if it is appropriating the Performance Security in accordance with the provisions of this Agreement.

7.1.2 The Consultant may, in lieu of retention of the amounts as referred to in Clause 7.1.1 above, furnish a Bank Guarantee substantially in the form specified at Annex-7 of this Agreement.

## 7.2 Liquidated Damages

## 7.2.1 Liquidated Damages for error/variation

ISCDL | 2020 Page 60 of 88



In case any error or variation is detected in the reports submitted by the Consultant and such error or variation is the result of negligence or lack of due diligence on the part of the Consultant, the consequential damages thereof shall be quantified by the Authority in a reasonable manner and recovered from the Consultant by way of deemed liquidated damages, subject to a maximum of 5% (ten percent) of the Agreement Value.

#### 7.2.2 Liquidated Damages for delay

In case of delay in submission of deliverables beyond two weeks from due date of submission of deliverable, liquidated damages not exceeding an amount equal to 0.5% (Zero point five percent) of the milestone payment per day, subject to a maximum of 5% (five percent) of the milestone payment will be imposed and shall be recovered by appropriation from the Performance Security or otherwise. However, in case of delay due to reasons beyond the control of the Consultant, suitable extension of time shall be granted.

## 7.2.3 Encashment and appropriation of Performance Security

The Authority shall have the right to invoke and appropriate the proceeds of the Performance Security, in whole or in part, without notice to the Consultant in the event of breach of this Agreement or for recovery of liquidated damages specified in this Clause 7.2.

## 7.3 Penalty for deficiency in Services

In addition to the liquidated damages not amounting to penalty, as specified in Clause 7.2, warning may be issued to the Consultant for minor deficiencies on its part. In the case of significant deficiencies in Services causing adverse effect on the Project or on the reputation of the Authority, other penal action including debarring for a specified period may also be initiated as per policy of the Authority.

### 8. FAIRNESS AND GOOD FAITH

### 8.1 Good Faith

The Parties undertake to act in good faith with respect to each other's rights under this Agreement and to adopt all reasonable measures to ensure the realization of the objectives of this Agreement.

## 8.2 Operation of the Agreement

The Parties recognize that it is impractical in this Agreement to provide for every contingency which may arise during the life of the Agreement, and the Parties hereby agree that it is their intention that this Agreement shall operate fairly as between them, and without detriment to the interest of either of them, and that, if during the term of this Agreement either Party believes that this Agreement is operating unfairly, the Parties will use their best efforts to agree on such action as may be necessary to remove the cause or causes of such unfairness, but failure to agree on any action pursuant to this Clause shall not give rise to a dispute subject to arbitration in accordance with Clause 9 hereof.

## 9. SETTLEMENT OF DISPUTES

#### 9.1 Amicable settlement

The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Agreement or the interpretation thereof.

ISCDL | 2020 Page 61 of 88



#### 9.2 Dispute resolution

- 9.2.1 Any dispute, difference or controversy of whatever nature howsoever arising under or out of or in relation to this Agreement (including its interpretation) between the Parties, and so notified in writing by either Party to the other Party (the "Dispute") shall, in the first instance, be attempted to be resolved amicably in accordance with the conciliation procedure set forth in Clause 9.3.
- 9.2.2 The Parties agree to use their best efforts for resolving all Disputes arising under or in respect of this Agreement promptly, equitably and in good faith, and further agree to provide each other with reasonable access during normal business hours to all non-privileged records, information and data pertaining to any Dispute.

#### 9.3 Conciliation

In the event of any Dispute between the Parties, either Party may call upon [Commissioner, UADD, Bhopal] and the Chairman of the Board of Directors of the Consultant or a substitute thereof for amicable settlement, and upon such reference, the said persons shall meet no later than 10 (ten) days from the date of reference to discuss and attempt to amicably resolve the Dispute. If such meeting does not take place within the 10 (ten) day period or the Dispute is not amicably settled within 15 (fifteen) days of the meeting or the Dispute is not resolved as evidenced by the signing of written terms of settlement within 30 (thirty) days of the notice in writing referred to in Clause 9.2.1 or such longer period as may be mutually agreed by the Parties, either Party may refer the Dispute to arbitration in accordance with the provisions of Clause 9.4.

#### 9.4 Arbitration

- 9.4.1 Any Dispute which is not resolved amicably by conciliation, as provided in Clause 9.3, shall be finally decided by reference to arbitration by an Arbitral Tribunal appointed in accordance with Clause 9.4.2. Such arbitration shall be held in accordance with the Rules of Arbitration of the International Centre for Alternative Dispute Resolution, New Delhi (the "Rules"), or such other rules as may be mutually agreed by the Parties, and shall be subject to the provisions of the Arbitration and Conciliation Act,1996. The place of such arbitration shall be Indore, Madhya Pradesh where the Authority has its headquarters and the language of arbitration proceedings shall be English.
- 9.4.2 There shall be [a sole arbitrator whose appointment] / [an Arbitral Tribunal of three arbitrators, of whom each Party shall select one, and the third arbitrator shall be appointed by the two arbitrators so selected, and in the event of disagreement between the two arbitrators, the appointment shall be made in accordance with the Rules.
- 9.4.3 The arbitrators shall make a reasoned award (the "Award"). Any Award made in any arbitration held pursuant to this Clause 9 shall be final and binding on the Parties as from the date it is made, and the Consultant and the Authority agree and undertake to carry out such Award without delay.
- 9.4.4 The Consultant and the Authority agree that an Award may be enforced against the Consultant and/or the Authority, as the case may be, and their respective assets wherever situated.
- 9.4.5 This Agreement and the rights and obligations of the Parties shall remain in full force and effect, pending the Award in any arbitration proceedings hereunder.

IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be signed in their respective names as of the day and year first above written.

ISCDL | 2020 Page 62 of 88



SIGNED, SEALED AND DELIVE	RED	SIGNED, SEALED AND DELIVERED	
For and on behalf of		For and on behalf of	
Consultant:		Authority	
	(Signature)		(Signature)
	(Name)		(Name)
	(Designation)		(Designation)
	(Address)		(Address)
In the presence of:			
1.		2.	

Annex-1

Terms of Reference (Refer Schedule 1 of RFP)

(Reproduce Schedule-1 of RFP)

ISCDL | 2020 Page 63 of 88



Annex-2

Deployment of Key Personnel (Refer Clause 4.2 of contract)

(Reproduce as per Form-7 of Appendix-I)

ISCDL | 2020 Page 64 of 88



## Annex-3

Approved Sub-Consultant(s) Format to be defined by Consultant in agreement with Authority.

ISCDL | 2020 Page 65 of 88



Annex-4

**Cost of Services** 

(Refer Clause 6.1 of contract)

(Reproduce as per Form-2 of Appendix-II)

ISCDL | 2020 Page 66 of 88



Annex-5

Payment Schedule (Refer Clause 3.4 of RFP)

ISCDL | 2020 Page 67 of 88



## Annex-6

# Bank Guarantee for Performance Security (Refer Clause 7.1.2)

To

[The President of India/Governor of] Acting through
In consideration of Indore Smart City Development Limited acting on behalf of the [President of India/Governor of
We,
2. We,
3. We, (indicate the name of Bank) undertake to pay to the Authority any money so demanded notwithstanding any dispute or disputes raised by the Consultant in any suit or proceeding pending before any court or tribunal relating thereto, our liability under this present being absolute and unequivocal. The payment so made by us under this bond shall be a valid discharge of our liability for payment there under and the Consultant shall have no claim against us for making such payment.
4. We,

ISCDL | 2020 Page 68 of 88



under this Guarantee is made on us in writing on or before a period of one year from the date of this Guarantee, we shall be discharged from all liability under this Guarantee thereafter.

5. We, (indicate the name of Bank) further agree with the Authority that the
Authority shall have the fullest liberty without our consent and without affecting in any manner our
obligations hereunder to vary any of the terms and conditions of the said Agreement or to extend time
of performance by the said Consultant from time to time or to postpone for any time or from time to
time any of the powers exercisable by the Authority against the said Consultant and to forbear or
enforce any of the terms and conditions relating to the said Agreement and we shall not be relieved
from our liability by reason of any such variation, or extension being granted to the said Consultant or
for any forbearance, act or omission on the part of the Authority or any indulgence by the Authority to
the said Consultant or any such matter or thing whatsoever which under the law relating to sureties
would, but for this provision, have the effect of so relieving us.
6. This Guarantee will not be discharged due to the change in the constitution of the Bank or the
Consultant(s).
7. We, (indicate the name of Bank) lastly undertake not to revoke this Guarantee
during its currency except with the previous consent of the Authority in writing.
8. For the avoidance of doubt, the Bank's liability under this Guarantee shall be restricted to Rs.
crore (Rupees crore) only. The Bank shall be liable to pay the said amount
or any part thereof only if the Authority serves a written claim on the Bank in accordance with
paragraph 2 hereof, on or before [(indicate the date falling 365days after the Bid Due Date
specified in the RFP)].
Dated, the day of 20
For
TUI
(Name of Bank)

NOTES:

(i) The Bank Guarantee should contain the name, designation and code number of the officer(s) signing the Guarantee.

(Signature, name and designation of the authorized signatory)

Seal of the Bank:

(ii) The address, telephone no. and other details of the Head Office of the Bank as well as of issuing Branch should be mentioned on the covering letter of issuing Branch.

ISCDL | 2020 Page 69 of 88





# **APPENDICES**

ISCDL | 2020 Page 70 of



## APPENDIX-I

(See Clause 2.1.3)

## **TECHNICAL PROPOSAL**

## Form-1

## Letter of Proposal

(On Bidder's letter head)

(Date and Reference)
То,
Sub: Selection of Consultant to Manage the Operations of Indore Smart City Incubation and Innovation Center
Dear Sir,

- 2. I/We acknowledge that the Authority will be relying on the information provided in the Proposal and the documents accompanying the Proposal for selection of the Consultant, and we certify that all information provided in the Proposal and in the Appendices is true and correct, nothing has been omitted which renders such information misleading; and all documents accompanying such Proposal are true copies of their respective originals.
- 3. This statement is made for the express purpose of appointment as the Consultant for the aforesaid Project.
- 4. I/We shall make available to the Authority any additional information it may deem necessary or require for supplementing or authenticating the Proposal.
- 5. I/We acknowledge the right of the Authority to reject our application without assigning any reason or otherwise and hereby waive our right to challenge the same on any account whatsoever.
- 6. I/We certify that in the last three years, we or any of our Associates have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the Bidder, nor been expelled from any project or contract by any public authority nor have had any contract terminated by any public authority for breach on our part.



## 7. I/We declare that:

- (a) I/We have examined and have no reservations to the RFP Documents, including any Addendum issued by the Authority;
- (b) I/We have not directly or indirectly or through an agent engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as defined in Clause 4.3 of the RFP document, in respect of any tender or request for proposal issued by or any agreement entered into with the Authority or any other public sector enterprise or any government, Central or State; and
- (d) I/We hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RFP, no person acting for us or on our behalf will engage in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice.
- 8. I/We understand that you may cancel the Selection Process at any time and that you are neither bound to accept any Proposal that you may receive nor to select the Consultant, without incurring any liability to the Bidders in accordance with Clause 2.8 of the RFP document.
- 9. I/We declare that we are not a member of any other Consortium applying for Selection as a Consultant.
- 10. I/We certify that in regard to matters other than security and integrity of the country, we or any of our Associates have not been convicted by a Court of Law or indicted or adverse orders passed by a regulatory authority which would cast a doubt on our ability to undertake the Consultancy for the Project or which relates to a grave offence that outrages the moral sense of the community.
- 11. /We further certify that in regard to matters relating to security and integrity of the country, we have not been charge-sheeted by any agency of the Government or convicted by a Court of Law for any offence committed by us or by any of our Associates.
- 12. I/We further certify that no investigation by a regulatory authority is pending either against us or against to be engaged team members.
- 13. I/We hereby irrevocably waive any right or remedy which we may have at any stage at law or howsoever otherwise arising to challenge or question any decision taken by the Authority [and/ or the Government of India] in connection with the selection of Consultant or in connection with the Selection Process itself in respect of the above mentioned Project.
- 14. I/We agree and understand that the proposal is subject to the provisions of the RFP document. In no case, shall I/we have any claim or right of whatsoever nature if the Consultancy for the Project is not awarded to me/us or our proposal is not opened or rejected.
- 15. I/We agree to keep this offer valid for 120 (ninety) days from the PDD as specified in the clause 1.8 of RFP.



- 16. A Power of Attorney/company's board resolution in favour of the authorized signatory to sign and submit this Proposal and documents is attached herewith in Form 4.
- 17. In the event of my/our firm being selected as the Consultant, I/we agree to enter into an Agreement in accordance with the form at Schedule–2 of the RFP. We agree not to seek any changes in the aforesaid form and agree to abide by the same.
- 18. In the event of my/our firm being selected as the Consultant, I/we agree to enter into an Agreement in accordance with the form at Schedule -2 of the RFP. We agree not to seek changes in the aforesaid form and agree to abide by the same.
- 19. I/We have studied RFP and all other documents carefully. We understand that except to the extent as expressly set forth in the Agreement, we shall have no claim, right or title arising out of any documents or information provided to us by the Authority or in respect of any matter arising out of or concerning or relating to the Selection Process including the award of Consultancy.
- 20. The Financial Proposal is being submitted in a separate cover. This Technical Proposal read with the Financial Proposal shall constitute the Application which shall be binding on us.
  - 21. I/We agree and undertake to abide by all the terms and conditions of the RFP Document.

In witness thereof, I/we submit this Proposal under and in accordance with the terms of the RFP Document.

Yours faithfully,

(Signature, name and designation of the authorized signatory)

(Name and seal of the Bidder/ Lead Member)

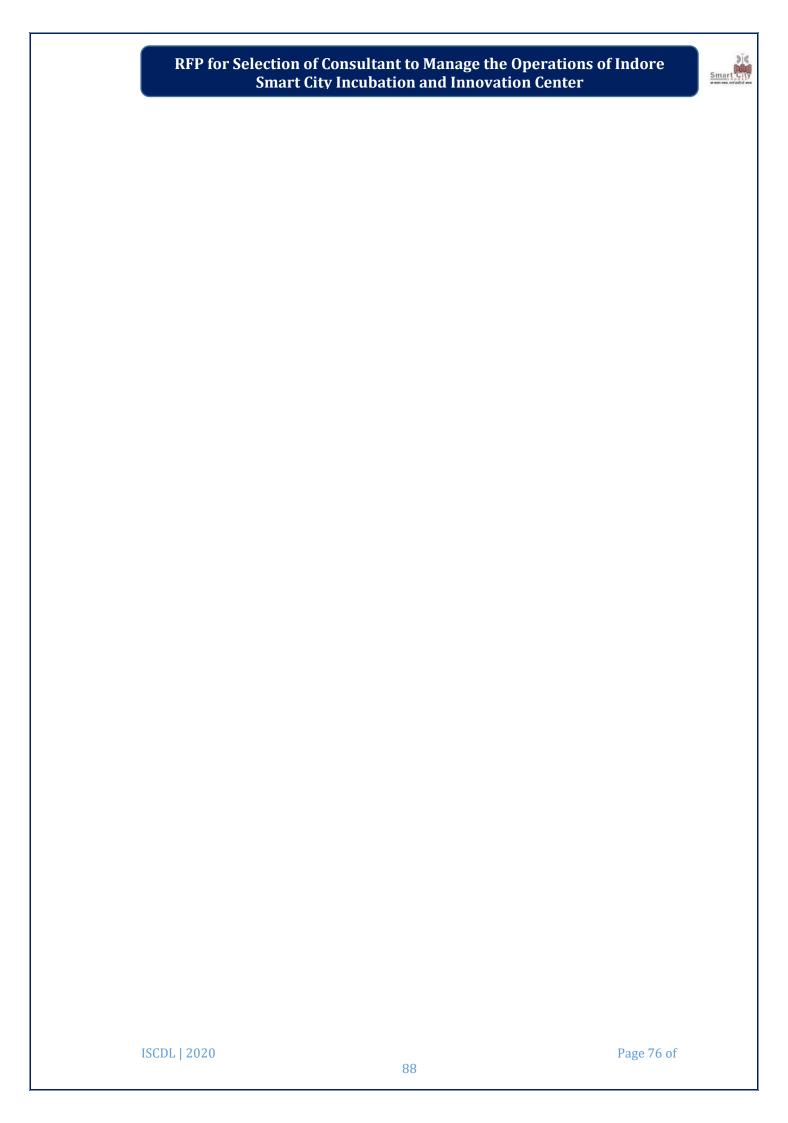


# APPENDIX-I Form-2

Particulars of the Bidder <b>S No</b>	
1.1	Title of Consultancy:
1.2	Title of Project:Project
1.3	State whether applying as Sole Firm or Lead Member of a consortium: Sole Firm or Lead Member of a consortium
1.4	State the following:  Name of Firm:  Legal status (e.g. sole proprietorship or partnership):  Country of incorporation:  Registered address:  Year of Incorporation:  Year of commencement of business:  Principal place of business:  Name, designation, address and phone numbers of authorized signatory of the Bidder:  Name:  Designation:  Company:  Address:  Phone No.:



Particulars of the Bidder <b>S</b> No	Particulars
	E-mail address:
1.5	If the Bidder is Lead Member of a consortium, state the following for each of the other Member Firms:  (i) Name of Firm:  (ii) Legal Status and country of incorporation
	(iii) Registered address and principal place of business.
1.6	For the Bidder, (in case of a consortium, for each Member), state the following information:
	(i) In case of non-Indian Firm, does the Firm have business presence in India?
	Yes/No
	If so, provide the office address(es) in India.
	(ii) Has the Bidder or any of the Members in case of a consortium been penalized by any organization for poor quality of work or breach of contract in the last five years?
	Yes/No
	(iii) Has the Bidder/ or any of its Associates ever failed to complete any work awarded to it by any public authority/ entity in last five years?
	Yes/No
	(iv) Has the Bidder or any member of the consortium been blacklisted by any Government department/Public Sector Undertaking in the last two years?
	Yes/No
	(v) Has the Bidder or any of its Associates, in case of a consortium, suffered bankruptcy/insolvency in the last five years?
	Yes/No
	Note: If answer to any of the questions at (ii) to (v) is yes, the Bidder is not eligible for this consultancy assignment.
1.7	(Signature, name and designation of the authorized signatory) For and on behalf of





# APPENDIX-I Form-3

# Statement of Legal Capacity

(To be forwarded on the letter head of the Bidder)

Ref. Date:
То,
Dear Sir,
Sub: RFP for Selection of Consultant to Manage the Operations of Indore Smart City Incubation and Innovation Center.
I/We hereby confirm that we, the Bidder (along with other members in case of consortium, the constitution of which has been described in the Proposal), satisfy the terms and conditions laid down in the RFP document.
I/We have agreed that
I/We have agreed that
Yours faithfully,
(Signature, name and designation of the authorized signatory
For and on behalf of



# APPENDIX-I Form-4

# Power of Attorney

Know all men by these presents, we, (name of Firm and address of the
registered office) do hereby constitute, nominate, appoint and authorise Mr / Msnumson/daughter/wife and presently residing atsum, who is
presently employed with/ retained by us and holding the position of
AND, we do hereby agree to ratify and confirm all acts, deeds and things lawfully done or caused to be done by our said Authorized Representative pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Authorized Representative in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.
IN WITNESS WHEREOF WE,THE ABOVE NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THISDAY OFDAY OF
For
(Signature, name, designation and address)
Witnesses:
1.
2.
Notarised
Accepted



(Signature, name, designation and address of the Attorney)

#### Notes:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.
- Wherever required, the Bidder should submit for verification the extract of the charter documents and other documents such as a resolution/power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Bidder.
- For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarized in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Bidders from countries that have signed the Hague Legislation Convention, 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Apostille certificate.



#### APPENDIX-I Form-5

# Financial Capacity of the Bidder (Refer Clause 2.2.2 (B))

S. No.	Financial Year	Annual Professional Fee from Advisory and Consultancy Services (Rs)				
1	2016-17					
2	2017-18					
3	2018-19					
	Average					
Certificate from the Statutory Auditor*  This is to certify that(name of the Bidder) has received the payments shown above against the respective years on account of professional fees from advisory and consulting services.						

(Signature, name and designation of the authorized

signatory) Date: Name and seal of the audit firm:

#### Note:

- In case the Bidder does not have a statutory auditor, it shall provide the certificate from its chartered accountant that ordinarily audits the annual accounts of the Bidder.
- The above provided figures are required to be based on audited balance sheets of the bidder.



## APPENDIX-I Form-6

# DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN IN RESPONDING TO THE TERMS OF REFERENCE

A description of the approach, methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing.

#### Suggested structure:

- a) <u>Technical Approach and Methodology</u>: Explain understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. Please do not repeat/copy the TORs in here.
- b) <u>Work Plan</u>: Outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations and tentative delivery dates of the monthly progress reports. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here.
- c) <u>Organization and Staffing</u>: Describe the structure and composition of your team, including the list of the Key Personnel, Sector Experts, Support Team and relevant technical and administrative support staff.

Notes: Each Page of this form should be signed by the Authorized Signatory of the bidder firm with company seal

ISCDL | 2020 Page 81 of 88



# APPENDIX-I <u>Form-7</u> Team Composition, Assignment, and Key Expert's Inputs

No	Name	Expert's input (in person days per month)						Total time-input (in days)				
		Position		Month 1	Month 2	Month 3		Month		Home	Field	Total
KEY STAF		IEL / SECT	TOR EXPI	ERTS / SI	UPPORT T	EAM / O	THER					
1	{e.g., Mr. aaaa}	[Project Director]	[Home]	[2 days]	[10]	[14]						
			[Field]	[20]	[12]	[8]						
2												
									•			
	Subtotal											

#### Note:

- 1 For Key Experts, the input should be indicated individually for the same positions as required under the Clause 3.2 of Schedule 1.
- 2 Months are counted from the start of the assignment/mobilization. One working day shall be not less than 8 (eight) working-hours.
- 3 "Home" means work in the office of the expert's place of residence or Bidder's office. "Field" work means work carried out in the Authority's office or any place assigned by the Authority to carry out the services.
- 4 Form should be signed by the Authorized Signatory of the bidder firm with company seal

ISCDL | 2020 Page 82 of 88



# Form-8

# Particulars of Key Personnel

S. No.	Designation of Key Personnel	Name	Educational Qualification	Length of Professional Experience
(1)	(2)	(3)	(4)	(5)
1.				
2.				
3. 4.				

#### Note:

1. This form should be signed by the Authorized Signatory of the bidder firm with company seal.

ISCDL | 2020 Page 83 of 88



#### APPENDIX-I Form-9

# Assignments of Bidder (Refer Clause 2.2.2, B)

	1.	Name of company:
	2.	Assignment name:
,	3.	Description of Assignment:
	4.	Approx. value of the contract (in Rupees):
	5.	Approx. value of the services provided by your company under the contract (in Rupees):
	6.	Country:
	7.	Location within country:
1	8.	Duration of Assignment/job (months):
	9.	Name of Client:
	10.	In case of consortium, whether your company was Lead member or other consortium member:
	11.	Total No of staff-months of the Assignment:
	12.	Total No of staff-months provided by your company:
	13.	Start date (month/year):
	14.	Completion date (month/year):
	15.	Name of associated Consultants, if any:
	16.	Name of senior professional staff of your firm involved and functions performed.
	17.	Description of actual Assignment/ provided by your staff within the Assignment:

#### Note:

- 1. Use separate sheet for each Assignment.
- 2. Exchange rate for conversion of US \$ shall be as per Clause 1.7.

ISCDL | 2020 Page 84 of 88



3. Each Assignment should be signed by the Authorized Signatory of the bidder firm with company seal.

## APPENDIX-I Form-10

#### Curriculum Vitae (CV) of Professional Personnel

- 1. Proposed Position:[For each position of key professional separate form will be prepared]:
- 2. Name of Firm:[Insert name of firm proposing the staff]:
- 3. Name of Staff:[Insert full name]:
- 4. Brief Profile of Proposed Staff: [Provide summary of proposed staff experience, qualifications and achievements in no more than 250 words]
- 5. Date of Birth:
- 6. Nationality:
- 7. Education:[Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]:
- 8. Membership of Professional Associations:
- 9. Other Training:

From [Year]:

Year:

- 10. Countries of Work Experience: [List countries where staff has worked in the last ten years]:
- 11. Languages [For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing]:
- 12. Employment Record: [Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held.]:

To [Year]:

Location:

Employer:	
Positions held:	
13. Detailed Tasks Assigned: [List al	ll tasks to be performed under this Assignment]
Assignment/jobs in which the staff	lustrates Capability to Handle the Tasks Assigned [Among the has been involved, indicate the following information for those staff capability to handle the tasks listed under point 12.]
Name of Assignment or project:	Employer:

ISCDL | 2020 Page 85 of 88



Main project features:
Positions held:
Activities performed:
(Signature and name of the authorized signatory of the Bidder)
Note:
1.Use separate form for each Key Personnel.
2. Each page of the CV shall be signed by the Personnel and countersigned by the Authorized signatory of

the Bidder firm along with the seal of the firm. Photocopies will not be considered for evaluation.

ISCDL | 2020 Page 86 of 88



#### APPENDIX-II

#### FINANCIAL PROPOSAL

#### Form-1

Financial Proposal for Consultant to Manage the Operations of Indore Smart City Incubation and Innovation Center

S.No	Description of Services	Amount (In INR)
1	Providing Consultancy and Managing the operations of Incubation Center - Indore Smart City Incubation and Innovation Center as per the terms and conditions mentioned in the RFP for the period of 3 years	

#### Note:

- 1. The Financial Proposal is to be submitted online only.
- 2. The Financial Proposal shall be exclusive of all taxes, duties and cess. Taxes, Duties and Cess shall be paid as per GST and other norms defined by Government of India at the time of actual payment.
- 3. The Financial Proposal shall take into account the following:
  - a. Consulting Fees for the Consultancy as per scope of work provided in TOR
  - b. All expenses for the boarding, logging, salaries, out of pocket expenses, local transportation at Indore and travel to Indore for key personnel and other staff members deployed on project by the successful bidder for successful delivery of this project.
- 4. The Financial Proposal shall not take into account following:
  - a. All the expenses envisaged towards implementing any event (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL) for Indore Smart City Incubation and Innovation Center.
  - b. Counsel fee, faxes, couriers and postage, collections and deliveries, subscriptions to special services and specifically required stationery and bulk photocopying, printing expenses for various events (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL) at Indore Smart City Incubation and Innovation Center.

ISCDL | 2020 Page 87 of 88



- c. Expenses of media & promotion activities, on boarding & other related expenses of incubates, on boarding & other related expenses of mentors, on boarding & other related expenses of Industry partners, etc. incurred for events (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL) at Indore Smart City Incubation and Innovation Center
- d. This will also include various expenses like venue, transportation, food, etc. for events (like Accelerator Program, Community Connect, Hackathon, Ideathon, Mentorship Program, Corporate Innovation Program or any other event as envisaged in the RFP/ ISCDL).
- e. Travel, boarding, logging and accommodation expenses of key personnel and other staff members deployed on project by the successful bidder, if they are required to travel outside Indore to any other location / city for any activity related to events at Indore Smart City Incubation and Innovation Center or representing Indore Smart City Incubation and Innovation Center at any event as asked by ISCDL.
- 5. All these expenses as mentioned in point number 3 of this section shall be borne by ISCDL.
- 6. In case of scenario, selected bidder is asked to incur any of the expenses as mentioned in point number 3 of this section. ISCDL will reimburse such expenses on actual after submission of original receipts along with next scheduled payment.
- 7. No escalation on any account will be payable on the above amounts.

END OF DOCUMENT

ISCDL | 2020 Page 88 of 88